

**YELLOW FORM**

**THIS FORM IS TO BE USED ONLY FOR STATES WHERE THE FOLLOWING CONDITIONS APPLY:  
REVISIONS HAVE BEEN MADE, but  
NO ADDITIONAL REVISIONS ARE ANTICIPATED.**

1. What modifications to the five standard federal categories have been made in your state?

Please indicate from the following list of possible modifications which ones your state has made.

- | A.  | Added a generalized category, such as:   | Yes | No |                          |
|-----|--|-----|----|--------------------------|
| A1. | “Multiracial” or “multi-ethnic?” .....   | 1   | 2  | <b>(IF YES, ASK A1a)</b> |
|     | A1a. With space for specification? ..... | 1   | 2  |                          |
| A2. | “Other” or “undesignated?” .....         | 1   | 2  | <b>(IF YES, ASK A2a)</b> |
|     | A2a. With space for specification? ..... | 1   | 2  |                          |
| A3. | “Unknown?” .....                         | 1   | 2  |                          |
| A4. | Some other general category? .....       | 1   | 2  | <b>(IF YES, ASK A4a)</b> |
|     | A4a. What is that category?              |     |    |                          |

\_\_\_\_\_

B. Added specific racial or ethnic categories, such as Filipino? ..... 1 2 **(IF YES, ASK B1)**

- B1. Please tell me which specific categories.
- B1a. \_\_\_\_\_
- B1b. \_\_\_\_\_
- B1c. \_\_\_\_\_
- B1d. \_\_\_\_\_

C. Renamed any existing categories?..... 1 2 **(IF YES, ASK C1)**

- C1. Please tell me which existing categories were changed and their new names.
- C1a. \_\_\_\_\_
- C1b. \_\_\_\_\_

Yes No

D. Redefined any existing categories? ..... 1 2 (IF YES, ASK D1)

D1. Please tell me which existing categories, and the new definitions.

D1a. \_\_\_\_\_

D1b. \_\_\_\_\_

E. Are there any other modifications you have made that I didn't name? ..... 1 2 (IF YES, ASK E1)

E1. What are they?

E1a. \_\_\_\_\_

E1b. \_\_\_\_\_

2A. Does your state provide schools/school districts with specific definitions of these modifications or additions?

Yes..... 1 (GO TO 2B)
No ..... 2 (SKIP TO Q3)

2B. Can you send us a copy of these definitions?

Yes..... 1 (GIVE ADDRESS OR FAX #)
No ..... 2

3. Does your state modify racial and ethnic data classified according to these revised categories prior to reporting the data to the federal government (i.e., in order to conform to the federal categories)?

Yes..... 1 (GO TO Q4)
No, data are left as submitted ..... 2 (SKIP TO Q5)

4. What procedures does your state follow to aggregate these data? That is, do you prorate students among the five standard categories by some formula, or by some other kind of aggregating system? Please describe the procedures you use to modify these data. (PROBE SPECIFICALLY ABOUT THOSE REVISIONS THAT WERE IDENTIFIED IN Q1.)

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

5. To what institutions or agencies do the revisions that have been made apply?

	Yes	No	
A. All school districts? .....	1	2	
B. Entire department of education? .....	1	2	
C. Other state agencies or departments? .....	1	2	<b>(IF YES, ASK C1)</b>

C1. What are the other agencies?

C1a. \_\_\_\_\_

C1b. \_\_\_\_\_

C1c. \_\_\_\_\_

6. How have these revisions or additions been authorized -- by state law, state regulation, state guidelines issued to schools? When were they passed, and when were they adopted for use?

If yes,

	Yes	No	Date passed (MO/YR)	Date adopted (MO/YR)	
A. State law passed.....	1	2	_____	_____	
B. State regulation adopted .....	1	2	_____	_____	
C. State guidelines issued to schools.....	1	2	_____	_____	
D. Some other procedure. ....	1	2	_____	_____	<b>(IF YES, ASK D1)</b>

D1. Please describe the procedure.

\_\_\_\_\_  
\_\_\_\_\_

7. What prompted your state to revise or add to the five standard federal categories?

	Yes	No	
A. For instance, was it prompted by requests or complaints from outside the state government, such as parents or school districts?	1	2	
B. Did data collection requirements from within the state government prompt the revisions? .....	1	2	
C. Are there any other reasons why your state made these revisions?.....	1	2	(IF YES, ASK C1)

C1. Please explain any other reasons.

---

---

**(IF RESPONDENT ANSWERED NO TO PART A, GO TO Q8; OTHERWISE, SKIP TO Q9A)**

8. In the past 5 years, approximately how many complaints or requests for revisions to the categories used to classify students' race and ethnicity are you aware of receiving in your state?

Number of complaints \_\_\_\_\_

**(IF RESPONDENT ANSWERS "NONE," SKIP TO Q11A)**

9A. From whom have these requests or complaints come? For instance, have you heard from:

	Yes	No	
A1. Schools or school districts?.....	1	2	
A2. Parents? .....	1	2	
A3. Organizations?.....	1	2	<b>(IF YES, ASK A3a)</b>

A3a. Which ones?

A3a1. \_\_\_\_\_

A3a2. \_\_\_\_\_

A4. Anyone else? .....	1	2	<b>(IF YES, ASK A4a)</b>
------------------------	---	---	--------------------------

A4a. Who else?

\_\_\_\_\_

9B. Have you received more complaints from any one particular group?.....	1	2	<b>(IF YES, ASK B1)</b>
---	---	---	-------------------------

B1. Which group is this?

\_\_\_\_\_

10. What kinds of requests or complaints have you received?

For example, have you received requests to modify the current categories by:

- | A.  | Adding a generalized category, such as:  | Yes | No |                          |
|-----|--|-----|----|--------------------------|
| A1. | “Multiracial” or “multi-ethnic?” .....   | 1   | 2  | <b>(IF YES, ASK A1a)</b> |
|     | A1a. With space for specification? ..... | 1   | 2  |                          |
| A2. | “Other” or “undesigned?” .....           | 1   | 2  | <b>(IF YES, ASK A2a)</b> |
|     | A2a. With space for specification? ..... | 1   | 2  |                          |
| A3. | “Unknown?” .....                         | 1   | 2  |                          |
| A4. | Some other general category? .....       | 1   | 2  | <b>(IF YES, ASK A4a)</b> |
|     | A4a. What is that category?              |     |    |                          |

\_\_\_\_\_

- |    |  |   |   |                         |
|----|--|---|---|-------------------------|
| B. | Adding specific racial or ethnic categories, such as Filipino? ..... | 1 | 2 | <b>(IF YES, ASK B1)</b> |
|----|--|---|---|-------------------------|

- B1. Please tell me which specific categories.
- B1a. \_\_\_\_\_
- B1b. \_\_\_\_\_
- B1c. \_\_\_\_\_
- B1d. \_\_\_\_\_

- |    |  |   |   |                         |
|----|--|---|---|-------------------------|
| C. | Renaming any existing categories?..... | 1 | 2 | <b>(IF YES, ASK C1)</b> |
|----|--|---|---|-------------------------|

- C1. Please tell me which existing categories and their suggested names.
- C1a. \_\_\_\_\_
- C1b. \_\_\_\_\_

Yes No

D. Redefining any existing categories? ..... 1 2 (IF YES, ASK D1)

D1. Please tell me which existing categories and their suggested new definitions.

D1a. \_\_\_\_\_

D1b. \_\_\_\_\_

E. Eliminating the collection of these data?..... 1 2

F. Any other requests or complaints? ..... 1 2 (IF YES, ASK F1)

F1. What are they?

F1a. \_\_\_\_\_

F1b. \_\_\_\_\_

11A. How does your Department of Education obtain racial and ethnic data from school districts?

On paper forms ..... 1

On diskette ..... 2

By E-mail ..... 3

Other (specify) \_\_\_\_\_ ..... 4

11B. Are you aware of your state’s Department of Education receiving from school districts any racial and ethnic data that differ from categories your state now uses?

Yes ..... 1 (GO TO 11C)

No ..... 2 (SKIP TO Q12)

11C. Can you tell me any specific categories that you are aware of? For example, are there school districts that use a generalized category, such as “other” or “multiracial,” or some specific alternative racial or ethnic categories, such as Filipino?

Yes ..... 1 (GO TO C1)

No ..... 2 (SKIP TO Q12)

C1. Please tell me the ones you recall.

C1a. \_\_\_\_\_

C1b. \_\_\_\_\_

C1c. \_\_\_\_\_

C1d. \_\_\_\_\_

12. Does your state *accept* data from schools or school districts that differ from the categories your state uses, or do you *require* schools or districts to aggregate or otherwise modify their data prior to submitting it to the state department of education?

- State accepts variations by schools/districts..... 1
- State requires prior aggregation by schools/districts..... 2

13. Does your state provide schools and school districts with guidelines or instructions for modifying data that differ from the categories your state uses?

- Yes ..... 1 **(GO TO Q14)**
- No ..... 2 **(SKIP TO Q15A)**

14. What kind of guidelines do you provide? That is, do you request school districts to prorate students among other categories by some formula, or by some other kind of aggregating system? Please describe your guidelines. **(IF ANY CATEGORIES WERE IDENTIFIED IN Q11B, PROBE SPECIFICALLY ABOUT EACH.)**

---

---

---

15A. What does your state do with data it receives from schools or school districts that do not conform to the categories your state uses?

- The data are aggregated or otherwise modified..... 1 **(GO TO Q15B)**
- The data are left as submitted..... 2 **(SKIP TO Q16)**

15B. Please describe the procedures your state uses to modify these data.

**(IF ANY CATEGORIES WERE IDENTIFIED IN Q11B, PROBE SPECIFICALLY ABOUT EACH.)**

---

---

---

---



16. What has been the impact of implementing the modifications that your state has made on the following procedures:

A. Revising forms? \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

B. Revising computer systems? \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

C. Training employees? \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

17. In general, approximately how many months did it take to fully implement these changes?

NUMBER OF MONTHS \_\_\_\_\_

18. Do you maintain historical files on the racial and ethnic data collected in your state?

Yes ..... 1 **(GO TO Q19)**  
No ..... 2 **(SKIP TO Q20)**

19. Do you issue reports on these data?

Yes ..... 1 **(GO TO Q20)**  
No ..... 2 **(SKIP TO Q21)**

20. How have the changes you have made affected your state's ability to report trends in the racial/ethnic makeup of students?

\_\_\_\_\_  
\_\_\_\_\_

21. Given what you know about the demographics of your state's student population, or your awareness of changes or trends in these demographics, such as immigration or migration trends, to what extent do you think there is a need to change the current system of classifying racial and ethnic data?

---

---