

PINK FORM

THIS FORM IS TO BE USED ONLY FOR STATES WHERE THE FOLLOWING CONDITIONS APPLY:
REVISIONS HAVE BEEN MADE, and
ADDITIONAL REVISIONS ARE SCHEDULED FOR IMPLEMENTATION OR
ARE BEING CONSIDERED.

1. What modifications to the five standard federal categories have been made and what others are being considered in your state?

For each possible modification that I will describe to you, please indicate if it has been made, is scheduled for implementation, is being considered, or if none of these options apply to your state.

	Already made	Scheduled for implementation	Under consideration	None apply	
A. Add generalized categories, such as:					
A1. "Multiracial" or "multi-ethnic?"....	1	2	3	4	(IF 1-3, ASK A1a)
A1a. With space for specification?					
YES _____					
NO _____					
A2. "Other" or "undesignated?"	1	2	3	4	(IF 1-3, ASK A2a)
A2a. With space for specification?					
YES _____					
NO _____					
A3. "Unknown?"	1	2	3	4	
A4. Some other general category?.....	1	2	3	4	(IF 1-3, ASK A4a)
A4a. What is the name of this category?					

B. Add specific racial or ethnic categories, such as Filipino? (RECORD ALL SPECIFIC CATEGORIES NAMED)					
B1. _____	1	2	3		
B2. _____	1	2	3		
B3. _____	1	2	3		
B4. _____	1	2	3		
C. Rename any existing categories? (RECORD THE CATEGORY AND NEW NAME)					
C1. _____	1	2	3		
C2. _____	1	2	3		

	Already made	Scheduled for implementation	Under consideration
D. Redefine any existing categories? (RECORD THE CATEGORY AND THE KIND OF REDEFINITION)			

D1. _____	1	2	3
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D2. _____	1	2	3
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E. Are there any other modifications that I didn't name? If so, please describe them to me and indicate if they have been made, are scheduled to be implemented, or are being considered?

E1. _____	1	2	3
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E2. _____	1	2	3
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2A. Does your state provide schools/school district with specific definitions of the modifications or additions that have already been made?

Yes.....	1	(GO TO 2B)
No.....	2	(SKIP TO Q3)

2B. Can you send us a copy of these definitions?

Yes.....	1	(GIVE ADDRESS OR FAX #)
No.....	2	

3. Does your state modify racial and ethnic data classified according to the revised categories you are using prior to reporting the data to the federal government (i.e., in order to conform to the federal categories)?

Yes.....	1	(GO TO Q4)
No, data are left as submitted.....	2	(SKIP TO Q5)

4. What procedures does your state follow to modify these data? That is, do you prorate students among the five standard categories by some formula, or by some other kind of aggregating system? Please describe the procedures you use to modify these data.

(PROBE SPECIFICALLY ABOUT ANY REVISED CATEGORIES THAT WERE IDENTIFIED AS "ALREADY MADE" IN Q1.)

5. To what institutions or agencies do the revisions that **have already been made** apply?

	Yes	No	
A. All school districts?	1	2	
B. Entire department of education?	1	2	
C. State agency that deals with K-12 schools?	1	2	
D. Other state agencies or departments?	1	2	(IF YES, ASK D1)

D1. What are the other agencies?

D1a. _____

D1b. _____

D1c. _____

6. To what institutions or agencies will the revisions **that are scheduled for implementation** apply?

	Yes	No	
A. All school districts?	1	2	
B. Entire department of education?	1	2	
C. Other state agencies or departments?	1	2	(IF YES, ASK C1)

C1. What are the other agencies?

C1a. _____

C1b. _____

C1c. _____

7. How have these revisions or additions been authorized -- by state law, state regulation, state guidelines issued to schools? When were they passed, and when were they adopted for use?

If yes,

	Yes	No	Date passed (MO/YR)	Date adopted (MO/YR)	
A. State law passed	1	2	_____	_____	
B. State regulation adopted	1	2	_____	_____	
C. State guidelines issued to schools	1	2	_____	_____	
D. Some other procedure	1	2	_____	_____	(IF YES, ASK D1)

D1. Please describe the procedure

8. What has prompted your state to revise or add to the five standard federal categories?

	Yes	No	
A. For instance, was it prompted by requests or complaints from outside the state government, such as parents or school districts?	1	2	
B. Did data collection requirements from within the state government prompt the revisions?	1	2	
C. Are there any other reasons why your state made these revisions?.....	1	2	(IF YES, ASK C1)

C1. Please explain any other reasons.

(IF RESPONDENT ANSWERED NO TO Q8A, GO TO Q9; OTHERWISE, SKIP TO Q10A)

9. In the past 5 years, approximately how many complaints or requests for revisions to the categories used to classify students' race and ethnicity are you aware of receiving in your state?

Number of complaints _____

(IF RESPONDENT ANSWERS "NONE," SKIP TO Q12A)

10A. From whom have these requests or complaints come? For instance, have you heard from:

	Yes	No	
A1. Schools or school districts?.....	1	2	
A2. Parents?	1	2	
A3. Organizations?.....	1	2	(IF YES, ASK A3a)

A3a. Which ones?

A3a1. _____

A3a2. _____

A4. Anyone else?	1	2	(IF YES, ASK A4a)
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A4a. Who else?

10B. Have you received more complaints from any one particular group?	1	2	(IF YES, ASK B1)
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B1. Which group is this?

11. What kinds of requests or complaints have you received?

For example, have you received requests to modify the current categories by:

A. Adding a generalized category, such as:	Yes	No	
A1. "Multiracial" or "multi-ethnic?"	1	2	(IF YES, ASK A1a)
A1a. With space for specification?	1	2	
A2. "Other" or "undesigned?"	1	2	(IF YES, ASK A2a)
A2a. With space for specification?	1	2	
A3. "Unknown?"	1	2	
A4. Some other general category?	1	2	(IF YES, ASK A4a)
A4a. What is that category?			

B. Adding specific racial or ethnic categories, such as Filipino?	1	2	(IF YES, ASK B1)
B1. Please tell me which specific categories.			
B1a. _____			
B1b. _____			
B1c. _____			
B1d. _____			
C. Renaming any existing categories?.....	1	2	(IF YES, ASK C1)
C1. Please tell me which existing categories, and the suggested names.			
C1a. _____			
C1b. _____			

	Yes	No
D. Redefining any existing categories?	1	2 (IF YES, ASK D1)

D1. Please tell me which existing categories and their suggested new definitions.

D1a. _____

D1b. _____

E. Eliminating the collection of these data?.....	1	2
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F. Any other requests or complaints?	1	2 (IF YES, ASK F1)
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F1. What are they?

F1a. _____

F1b. _____

12A. How does your Department of Education obtain racial and ethnic data from school districts?

On paper forms	1
On diskette.....	2
By E-mail.....	3
Other (<i>specify</i>) _____	4

12B. Are you aware of your state's Department of Education receiving from school districts any racial and ethnic data that differ from the categories your state now uses?

Yes	1	(GO TO 12C)
No	2	(SKIP TO Q13)

12C. Can you tell me any specific categories that you are aware of? For example, are there school districts that use a generalized category, such as "other" or "multiracial," or some specific alternative racial or ethnic categories, such as Filipino?

Yes	1	(GO TO C1)
No	2	(SKIP TO Q13)

C1. Please tell me the ones you recall.

C1a. _____

C1b. _____

C1c. _____

C1d. _____

13. Does your state *accept* data from schools or school districts that differ from the categories your state uses, or do you *require* schools or districts to aggregate or otherwise modify their data prior to submitting it to the state department of education?

- State accepts variations by schools/districts..... 1
- State requires prior aggregation by schools/districts..... 2

14. Does your state provide schools and school districts with guidelines or instructions for modifying data that differ from the categories your state uses?

- Yes 1 **(GO TO Q15)**
- No 2 **(SKIP TO Q16A)**

15. What kind of guidelines do you provide? That is, do you request school districts to prorate students among other categories by some formula, or by some other kind of aggregating system? Please describe your guidelines. **(IF ANY CATEGORIES WERE IDENTIFIED IN Q12B, PROBE SPECIFICALLY ABOUT EACH.)**

16A. What does your state do with data it receives from schools or school districts that do not conform to the categories your state uses?

- The data are aggregated or otherwise modified..... 1 **(GO TO 16B)**
- The data are left as submitted..... 2 **(SKIP TO Q18)**

16B. Please describe the procedures your state uses to modify these data.

(IF ANY CATEGORIES WERE IDENTIFIED IN Q12B, PROBE SPECIFICALLY ABOUT EACH.)

17. What has been the impact of implementing the modifications that your state has made on the following procedures:

A. Revising forms? _____

B. Revising computer systems? _____

C. Training employees? _____

18. In general, approximately how many months did it take to fully implement these changes?

NUMBER OF MONTHS _____

19. Do you maintain historical files on the racial and ethnic data collected in your state?

Yes 1 **(GO TO Q20)**
No 2 **(SKIP TO Q21)**

20. Do you issue reports on these data?

Yes 1 **(GO TO Q21)**
No 2 **(SKIP TO Q22)**

21. How have the changes you have made affected your state's ability to report trends in the racial/ethnic makeup of students?

22. Given what you know about the demographics of your state's student population, or your awareness of changes or trends in these demographics, such as immigration or migration trends, to what extent do you think there is a need to change the current system of classifying racial and ethnic data?
