

BLUE FORM

**THIS FORM IS TO BE USED ONLY FOR STATES WHERE THE FOLLOWING CONDITIONS APPLY:
 ONLY THE 5 STANDARD CATEGORIES ARE USED, but
 REVISIONS ARE SCHEDULED FOR IMPLEMENTATION OR ARE BEING
 CONSIDERED.**

1. What modifications to the five standard federal categories are scheduled for implementation or are being considered in your state?

For each possible modification that I will describe to you, please indicate if it is scheduled for implementation, is being considered, or if neither of these options apply to your state.

	Scheduled for implementation	Under consideration	None apply	
A.	Adding a generalized category, such as:			
A1.	1	2	3	(IF 1 OR 2, ASK A2a)
	A1a. With space for specification?			
				YES _____
				NO _____
A2.	1	2	3	(IF 1 OR 2, ASK A2a)
	A2a. With space for specification?			
				YES _____
				NO _____
A3.	1	2	3	
A4.	1	2	3	(IF 1 OR 2, ASK A4a)
	A4a. What is the name of this category?			

B. Adding specific racial or ethnic categories, such as Filipino?
(RECORD ALL SPECIFIC CATEGORIES NAMED)

B1.	_____	1	2
B2.	_____	1	2
B3.	_____	1	2
B4.	_____	1	2

		Scheduled for implementation	Under consideration
C.	Renaming any existing categories? (RECORD THE CATEGORY AND NEW NAME)		
	C1. _____	1	2
	C2. _____	1	2
D.	Redefining any existing categories? (RECORD THE CATEGORY AND THE KIND OF REDEFINITION)		
	D1. _____	1	2
	D2. _____	1	2
E.	Are there any other modifications scheduled for implementation or under consideration? If so, please describe them and indicate if they are scheduled for implementation or are being considered.		
	E1. _____	1	2
	E2. _____	1	2

REFER TO QUESTION 1 WHEN ASKING QUESTIONS 2-4.

2A. **(IF NO ITEMS WERE CIRCLED IN COLUMN 1 OF Q1, SKIP TO Q2B)** What institutions or agencies will be affected by the revisions that are scheduled for implementation?

	Yes	No	
A.	All school districts?	1	2
B.	Entire department of education?	1	2
C.	State agency that deals with K-12 schools?	1	2
D.	Other state agencies or departments?	1	2

(IF YES, ASK D1)

D1. What are the other agencies?

D1a. _____

D1b. _____

D1c. _____

2B. **(IF NO ITEMS WERE CIRCLED IN COLUMN 2 OF Q1, SKIP TO Q3)** What institutions or agencies will be affected by those revisions that are being considered?

	Yes	No	
A. All school districts?	1	2	
B. Entire department of education?	1	2	
C. State agency that deals with K-12 schools?	1	2	
D. Other state agencies or departments?	1	2	(IF YES, ASK D1)

D1. What are the other agencies?

D1a. _____

D1b. _____

D1c. _____

(IF NO ITEMS WERE CIRCLED IN COLUMN 1 OF Q1, SKIP TO Q4.)

3. How were the revisions or additions that are scheduled for implementation authorized -- by state law, state regulation, state guidelines issued to schools? When were they passed, and when must they be adopted?
If yes,

	Yes	No	Date passed (MO/YR)	Date adopted (MO/YR)	
A. State law passed	1	2	_____	_____	
B. State regulation adopted	1	2	_____	_____	
C. State guidelines issued to schools	1	2	_____	_____	
D. Some other procedure.	1	2	_____	_____	(IF YES, ASK D1)

D1. Please describe the procedure

4. What has prompted your state to revise or add to the five standard federal categories?

	Yes	No	
A. For instance, was it prompted by requests or complaints from outside the state government, such as parents or school districts?	1	2	
B. Did data collection requirements from within the state government prompt the revisions?	1	2	
C. Are there any other reasons why your state made these revisions?.....	1	2	(IF YES, ASK C1)

C1. Please explain any other reasons.

(IF RESPONDENT ANSWERED NO TO Q4A, GO TO Q5; OTHERWISE, SKIP TO Q6A)

5. In the past 5 years, approximately how many complaints or requests for revisions to the categories used to classify students' race and ethnicity are you aware of receiving in your state?

Number of complaints _____

(IF RESPONDENT ANSWERS "NONE," SKIP TO Q8A)

6A. From whom have the requests or complaints about the five standard categories come?

For instance, have you heard from:

	Yes	No	
A1. Schools or school districts?.....	1	2	
A2. Parents?	1	2	
A3. Organizations?.....	1	2	(IF YES, ASK A3a)

A3a. Which ones?

A3a1. _____

A3a2. _____

A4. Anyone else?	1	2	(IF YES, ASK A4a)
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A4a. Who else?

6B. Have you received more complaints from any one particular group?	1	2	(IF YES, ASK B1)
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B1. Which group is this?

7. What kinds of requests or complaints have you received?

For example, have you received requests to modify the current categories by:

A. Adding a generalized category, such as:	Yes	No	
A1. "Multiracial" or "multi-ethnic?"	1	2	(IF YES, ASK A1a)
A1a. With space for specification?	1	2	
A2. "Other" or "undesigned?"	1	2	(IF YES, ASK A2a)
A2a. With space for specification?	1	2	
A3. "Unknown?"	1	2	
A4. Some other general category?	1	2	(IF YES, ASK A4a)
A4a. What is that category?			

B. Adding specific racial or ethnic categories, such as Filipino?	1	2	(IF YES, ASK B1)
B1. Please tell me which specific categories.			
B1a. _____			
B1b. _____			
B1c. _____			
B1d. _____			
C. Renaming any existing categories?.....	1	2	(IF YES, ASK C1)
C1. Please tell me which existing categories and their suggested names.			
C1a. _____			
C1b. _____			

Yes No

D. Redefining any existing categories? 1 2 (IF YES, ASK D1)

D1. Please tell me which existing categories and their suggested new definitions.

D1a. _____

D1b. _____

E. Eliminating the collection of these data?..... 1 2

F. Any other requests or complaints? 1 2 (IF YES, ASK F1)

F1. What are they?

F1a. _____

F1b. _____

8A. How does your Department of Education obtain racial and ethnic data from school districts?

- On paper forms 1
- On diskette..... 2
- By E-mail..... 3
- Other (*specify*) _____ 4

8B. Are you aware of your state’s Department of Education receiving from school districts any racial and ethnic data that differ from the five standard federal categories?

- Yes..... 1 (GO TO 8C)
- No 2 (SKIP TO Q9)

8C. Can you tell me any specific categories that you are aware of? For example, are there school districts that use a generalized category, such as “other” or “multiracial,” or some specific alternative racial or ethnic categories, such as Filipino?

- Yes 1 (GO TO C1)
- No 2 (SKIP TO Q9)

C1. Please tell me the ones you recall.

C1a. _____

C1b. _____

C1c. _____

C1d. _____

9. Does your state *accept* data from schools or school districts that differ from the five standard federal categories, or do you *require* schools or districts to aggregate or otherwise modify their data prior to submitting it to the state department of education?

- State accepts variations by schools/districts..... 1
- State requires prior aggregation by schools/districts..... 2

10. Does your state provide schools and school districts with guidelines or instructions for modifying data that differ from the five standard federal categories?

- Yes 1 **(GO TO Q11)**
- No 2 **(SKIP TO Q12A)**

11. What kind of guidelines do you provide? That is, do you request school districts to prorate students among the five standard categories by some formula, or by some other kind of aggregating system? Please describe your state's guidelines.

(IF ANY CATEGORIES WERE IDENTIFIED IN Q8B, PROBE SPECIFICALLY ABOUT EACH.)

12A. What does your state do with data it receives from schools or school districts that do not conform to the five standard federal categories?

- The data are aggregated or otherwise modified..... 1 **(GO TO 12B)**
- The data are left as submitted..... 2 **(SKIP TO Q13)**

12B. Please describe the procedures your state uses to modify these data.

(IF ANY CATEGORIES WERE IDENTIFIED IN Q8B, PROBE SPECIFICALLY ABOUT EACH.)

13. Please describe the impact that the scheduled revisions may have had, or that you expect will be encountered when the changes are in effect, on the following procedures:

A. Revising forms? _____

B. Revising computer systems? _____

C. Training employees? _____

14. In general, approximately how many months do you think it will take to fully implement the scheduled changes?
NUMBER OF MONTHS _____

15. Do you maintain historical files on the racial and ethnic data collected in your state?
Yes..... 1 (GO TO Q16)
No 2 (SKIP TO Q17)

16. Do you issue reports on these data?
Yes..... 1 (GO TO Q17)
No 2 (SKIP TO Q18)

17. How do you think changes to the current system would affect your state's ability to report trends in the racial/ethnic makeup of students?

18. Given what you know about the demographics of your state's student population, or your awareness of changes or trends in these demographics, such as immigration or migration trends, to what extent do you think there is a need to change the current system of classifying racial and ethnic data?
