

## APPENDIX A

# Guide to Sources

## Sources and Comparability of Data

The information presented in this report was obtained from many sources, including federal and state agencies, private research organizations, and professional associations. The data were collected using many research methods, including surveys of a universe (such as all colleges) or of a sample, compilations of administrative records, and statistical projections. *Digest* users should take particular care when comparing data from different sources. Differences in sampling, data collection procedures, coverage of target population, timing, phrasing of questions, scope of nonresponse, interviewer training, and data processing and coding mean that results from different sources may not be strictly comparable. Following the general discussion of data accuracy below, descriptions of the information sources and data collection methods are presented, grouped by sponsoring organization. More extensive documentation of a particular survey's procedures does not imply more problems with the data, only that more information is available.

## Accuracy of Data

The joint effects of "sampling" and "nonsampling" errors determine the accuracy of any statistic. Estimates based on a sample will differ somewhat from the figures that would have been obtained if a complete census had been taken using the same survey instruments, instructions, and procedures. In addition to such sampling errors, all surveys, both universe and sample, are subject to design, reporting, and processing errors and errors due to nonresponse. To the extent possible, these nonsampling errors are kept to a minimum by methods built into the survey procedures. In general, however, the effects of nonsampling errors are more difficult to gauge than those produced by sampling variability.

## Sampling Errors

The samples used in surveys are selected from large numbers of possible samples of the same size that could have been selected using the same sample design. Estimates derived from the different samples would differ from each other. The difference between a sample estimate and the average of all possible samples is called the sampling deviation. The stan-

dard, or sampling, error of a survey estimate is a measure of the variation among the estimates from all possible samples and thus is a measure of the precision with which an estimate from a particular sample approximates the average result of all possible samples.

The sample estimate and its estimated standard error permit us to construct interval estimates with prescribed confidence that the interval includes the average result of all possible samples. If all possible samples were selected under essentially the same conditions and an estimate and its estimated standard error were calculated from each sample, then (1) approximately 66.7 percent of the intervals from one standard error below the estimate to one standard error above the estimate would include the average value of all possible samples; and (2) approximately 95.0 percent of the intervals constructed from two standard errors below the estimate to two standard errors above the estimate would include the average value of all possible samples. We call an interval from two standard errors below the estimate to two standard errors above the estimate a *95 percent confidence interval*.

To illustrate this concept, consider the data and standard errors appearing in table 115. For the 2009 estimate that 8.1 percent of 16- to 24-year-olds were high school dropouts, the table shows that the standard error is 0.20 percent. The sampling error above and below the stated figure, corresponding to a 95 percent confidence interval, is approximately double (1.96) the standard error, or about 0.40 percentage points. Therefore, we can create a 95 percent confidence interval, which is approximately 7.7 to 8.5 (8.1 percent  $\pm$  1.96 x 0.20 percent).

Analysis of standard errors can help assess how valid a comparison between two estimates might be. The *standard error of a difference* between two independent sample estimates is equal to the square root of the sum of the squared standard errors of the estimates. The standard error (*se*) of the difference between independent sample estimates *a* and *b* is

$$se_{a-b} = (se_a^2 + se_b^2)^{1/2}$$

It should be noted that most of the standard error estimates presented in the *Digest* and in the original documents are approximations. That is, to derive estimates of standard errors that would be applicable to a wide variety of items and could be prepared at a moderate cost, a number of approximations were required. As a result, the standard error esti-

mates provide a general order of magnitude rather than the exact standard error for any specific item. The preceding discussion on sampling variability was directed toward a situation concerning one or two estimates. Determining the accuracy of statistical projections is more difficult. In general, the further away the projection date is from the date of the actual data being used for the projection, the greater the probable error in the projections. If, for instance, annual data from 1970 to 2008 are being used to project enrollment in institutions of higher education, the further beyond 2008 one projects, the more variability there is in the projection. One will be less sure of the 2018 enrollment projection than of the 2008 projection. A detailed discussion of the projections methodology is contained in *Projections of Education Statistics to 2019* (National Center for Education Statistics [NCES] 2011-017).

## Nonsampling Errors

Universe and sample surveys are subject to nonsampling errors. Nonsampling errors may arise when respondents or interviewers interpret questions differently; when respondents must estimate values, or when coders, keyers, and other processors handle answers differently; when people who should be included in the universe are not; or when people fail to respond (completely or partially). Nonsampling errors usually, but not always, result in an underestimate of total survey error and thus an overestimate of the precision of survey estimates. Since estimating the magnitude of nonsampling errors often would require special experiments or access to independent data, these nonsampling errors are seldom measured.

To compensate for nonresponse, adjustments of the sample estimates are often made. For universe surveys, an adjustment made for either type of nonresponse, total or partial, is often referred to as an imputation, which is often a substitution of the “average” questionnaire response for the nonresponse. For universe surveys, imputations are usually made separately within various groups of sample members that have similar survey characteristics. For sample surveys, missing cases (i.e., total nonresponse) are handled through nonresponse adjustments to the sample weights. For sample surveys, imputation for item nonresponse is usually made by substituting for a missing item the response to that item of a respondent having characteristics that are similar to those of the nonrespondent. For more information, see the *NCES Statistical Standards* (NCES 2003-601).

Although the magnitude of nonsampling error in the data compiled in this *Digest* is frequently unknown, idiosyncrasies that have been identified are noted in the appropriate tables.

## National Center for Education Statistics (NCES)

### *Baccalaureate and Beyond Longitudinal Study*

The Baccalaureate and Beyond Longitudinal Study (B&B) is based on the National Postsecondary Student Aid Study (NPSAS) and provides information concerning education and work experience after completing a bachelor’s degree. A special emphasis of B&B is on those entering teaching. B&B provides cross-sectional information 1 year after bachelor’s degree completion (comparable to the information that was provided in the Recent College Graduates study), while at the same time providing longitudinal data concerning entry into and progress through graduate-level education and the workforce. This information has not been available through follow-ups involving high school cohorts or even college-entry cohorts, because these cohorts have limited numbers who actually complete a bachelor’s degree and continue their graduate education.

B&B followed NPSAS baccalaureate degree completers for a 10-year period after completion, beginning with NPSAS:93. About 11,000 students who completed their degrees in the 1992–93 academic year were included in the first B&B (B&B:93/94). In addition to the student data, B&B collected postsecondary transcripts covering the undergraduate period, which provided complete information on progress and persistence at the undergraduate level. The second B&B follow-up took place in spring 1997 (B&B:93/97) and gathered information on employment history, family formation, and enrollment in graduate programs. The third B&B follow-up occurred in 2003 (B&B:93/03) and provided information concerning graduate study and long-term employment experiences after degree completion.

The second B&B cohort, which was associated with NPSAS:2000, included 11,700 students who completed their degrees in the 1999–2000 academic year. The first and only planned follow-up survey of this cohort was conducted in 2001 (B&B:2000/01) and focused on time to degree completion, participation in postbaccalaureate education and employment, and the activities of newly qualified teachers.

The third B&B cohort, which is associated with NPSAS:08, included 18,000 students who completed their degrees in the 2007–08 academic year. The first B&B follow-up took place in 2009, and the second B&B follow-up will take place in 2012.

Further information on B&B may be obtained from

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<http://nces.ed.gov/surveys/b&b>

## **Beginning Postsecondary Students Longitudinal Study**

The Beginning Postsecondary Students Longitudinal Study (BPS) provides information on persistence, progress, and attainment from initial time of entry into postsecondary education through completion and entry into the workforce. BPS includes traditional and nontraditional (e.g., older) students and is representative of all beginning students in postsecondary education in a given year. Initially, these individuals are surveyed in the National Postsecondary Student Aid Study (NPSAS) during the year in which they first begin their postsecondary education. These same students are surveyed again 2 and 5 years later through BPS. By starting with a cohort that has already entered postsecondary education and following it for 6 years, BPS can determine to what extent students who start postsecondary education at various ages differ in their progress, persistence, and attainment. The first BPS was conducted in 1989–90, with follow-ups in 1992 and 1994. The second BPS was conducted in 1995–96, with follow-ups in 1998 and 2001. The third BPS was conducted in 2003–04, with follow-ups in 2006 and 2009. The fourth BPS is scheduled for 2012, with follow-ups in 2014 and 2017.

Further information on BPS may be obtained from

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## **Common Core of Data**

NCES uses the Common Core of Data (CCD) to acquire and maintain statistical data from each of the 50 states, the District of Columbia, the Bureau of Indian Education, Department of Defense dependents schools (overseas and

domestic), and other jurisdictions. Information about staff and students is reported annually at the school, local education agency (LEA) or school district, and state levels. Information about revenues and expenditures is also collected at the state and LEA levels.

Data are collected for a particular school year via an online reporting system open to state education agencies during the school year. Beginning with the 2006–07 school year, nonfiscal CCD data are collected through the Department of Education's Education Data Exchange Network (EDEN). Since the CCD is a universe collection, CCD data are not subject to sampling errors. However, nonsampling errors could come from two sources: nonresponse and inaccurate reporting. Almost all of the states submit the five CCD survey instruments each year, but submissions are sometimes incomplete.

Misreporting can occur when 58 education agencies compile and submit data for approximately 100,000 public schools and over 18,000 local education agencies. Typically, this results from varying interpretations of NCES definitions and differing record-keeping systems. NCES attempts to minimize these errors by working closely with the state education agencies through the National Forum on Education Statistics.

The state education agencies report data to NCES that come from data collected and edited in their regular reporting cycles. NCES encourages the agencies to incorporate into their own survey systems the NCES items they do not already collect so that these items will also be available for the subsequent CCD survey. Over time, this has meant fewer missing data cells in each state's response, reducing the need to impute data.

NCES subjects data from the state education agencies to a comprehensive edit. Where data are determined to be inconsistent, missing, or out of range, NCES contacts the agencies for verification. NCES-prepared state summary forms are returned to the agencies for verification. Each year, states are also given an opportunity to revise their state-level aggregates from the previous survey cycle.

The following text table lists the CCD file versions used in the current edition of the *Digest of Education Statistics*:

**Table G. Common Core of Data (CCD) file versions used in current edition of *Digest of Education Statistics: 1986–87 through 2008–09***

Year	State Nonfiscal Survey of Public Elementary and Secondary Education	NCES Common Core of Data State Dropout and Completion Data	National Public Education Financial Survey	Local Education Agency Universe Survey	School District Finance Survey	Public Elementary/Secondary School Universe File
1986–87 (FY 1987)	v.1c	†	v.1b-Revised	v.1	†	v.1
1987–88 (FY 1988)	v.1c	†	v.1b-Revised	v.1	†	v.1
1988–89 (FY 1989)	v.1c	†	v.1b-Revised	v.1	†	v.1
1989–90 (FY 1990)	v.1c	†	v.1b-Revised	v.1	v.1a-Final <sup>1</sup>	v.1
1990–91 (FY 1991)	v.1c	†	v.1b-Revised	v.1	†	v.1
1991–92 (FY 1992)	v.1c	†	v.1b-Revised	v.1	v.1a-Final <sup>1</sup>	Revised
1992–93 (FY 1993)	v.1c	†	v.1b-Revised	v.1	†	v.1
1993–94 (FY 1994)	v.1b	†	v.1b-Revised	v.1	†	Revised
1994–95 (FY 1995)	v.1b	†	v.1b-Revised	Revised	v.1d-Revised <sup>1</sup>	Revised
1995–96 (FY 1996)	v.1b	†	v.1b-Revised	v.1	v.1b-Revised <sup>1</sup>	v.1
1996–97 (FY 1997)	v.1c	†	v.1b-Revised	v.1	v.1a-Final <sup>1</sup>	v.1
1997–98 (FY 1998)	v.1c	†	v.1b-Revised	v.1	v.1e-Revised <sup>1</sup>	v.1
1998–99 (FY 1999)	v.1b	†	v.1b-Revised	v.1c	v.1c-Revised <sup>1</sup>	v.1c
1999–2000 (FY 2000)	v.1b	†	v.1b-Revised	v.1b	v.1d-Revised <sup>1</sup>	v.1b
2000–01 (FY 2001)	v.1c	†	v.1b-Revised	v.1a	v.1d-Revised <sup>1</sup>	v.1a
2001–02 (FY 2002)	v.1c	†	v.1c-Revised	v.1a	v.1c-Revised <sup>1</sup>	v.1a
2002–03 (FY 2003)	v.1b	†	v.1b-Revised	v.1a	v.1b-Revised <sup>1</sup>	v.1a
2003–04 (FY 2004)	v.1b	†	v.1b-Revised	v.1b	v.1b-Revised <sup>1</sup>	v.1a
2004–05 (FY 2005)	v.1f	†	v.1b-Revised	v.1c	v.1c-Revised <sup>1</sup>	v.1b
2005–06 (FY 2006)	v.1b	v.1b	v.1b-Revised	v.1a	v.1a-Final <sup>1</sup>	v.1a
2006–07 (FY 2007)	v.1c	v.1a	v.1b-Revised	v.1c	v.1a-Final <sup>1</sup>	v.1c
2007–08 (FY 2008)	v.1b	v.1a	v.1a-Final	v.1b	v.1a-Final	v.1b
2008–09	v.1c	†	†	v.1a	†	v.1b

†Not applicable. Survey not conducted.

<sup>1</sup>Data not used in current edition of *Digest of Education Statistics*.

SOURCE: U.S. Department of Education, National Center for Education Statistics, Common Core of Data (CCD), retrieved November 1, 2010, from <http://nces.ed.gov/ccd/ccodata.asp>. (This table was prepared November 2010.)

Further information on the nonfiscal CCD data may be obtained from

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Further information on the fiscal CCD data may be obtained from

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### ***Early Childhood Longitudinal Study, Birth Cohort of 2001***

The Early Childhood Longitudinal Study, Birth Cohort (ECLS-B) is designed to provide decisionmakers, researchers, child care providers, teachers, and parents with nationally representative information about children’s early learning experiences and the transition to child care and school. Children’s cognitive and physical development, care, and learning experiences at home and school are measured using standardized assessments and interviews with adults in the children’s lives from birth through kindergarten entry.

Data were collected from a sample of about 10,700 children born in the United States in 2001, representing a population of approximately 4 million. The children participating in the study came from diverse socioeconomic and racial/ethnic backgrounds, with oversamples of Chinese, other Asian and Pacific Islander, American Indian/Alaska Native, twin, and moderately low and very low birth weight children. Children, their parents (including nonresident and resident fathers), their child care and early education providers, and their teachers provided information on children’s cognitive, social, emotional, and physical development across multiple settings (e.g., home, child care, and school).

Information about the ECLS-B children was collected when they were approximately 9 months old (2001–02), 2 years old (2003–04), and 4 years old/preschool age (2005–06). Additionally, in the fall of 2006, data were collected from all participating sample children, approximately 75 percent of whom were in kindergarten or higher. In the fall of 2007, data were collected from the approximately 25 percent of participating sample children who had not yet entered kindergarten or higher in the previous collection, as well as children who were repeating kindergarten in the 2007–08 school year.

In every round of data collection, children participated in assessment activities and parent respondents (usually the children’s mothers) were asked about themselves, their families, and their children. Resident fathers were asked about themselves and their role in the ECLS-B children’s lives in the 9-month, 2-year, and preschool collections. Similar information was collected from nonresident biological fathers in the 9-month and 2-year collections. In addition, beginning when the children were 2 years old, their child care and early education providers were asked to provide information about their own experience and training and their setting’s learning environment. At 2 years and preschool, a subsample of children in regular nonparental care and education arrangements had their arrangements observed to obtain information on the quality of those arrangements. When the ECLS-B children were in kindergarten, their teachers were asked to provide information about children’s early learning experiences and the school and classroom environments. Also, the before- and after-school care and education providers of children enrolled in kindergarten were asked to provide information about their own experience, their training, and their setting’s learning environment. School-level data, taken from other NCES data sets (the Common Core of Data and the Private School Universe Survey), and residential zip codes collected at each wave supported community descriptions.

Further information on the ECLS-B may be obtained from

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### ***Early Childhood Longitudinal Study, Kindergarten Class of 1998–99***

The Early Childhood Longitudinal Study, Kindergarten Class of 1998–99 (ECLS-K) was designed to provide detailed information on children’s early school experiences. The study began in the fall of 1998. A nationally representative sample of 22,780 children enrolled in 1,280 kindergarten programs during the 1998–99 school year was selected to participate in the ECLS-K. The children attended both

public and private kindergartens, and full-day and part-day programs. The sample included children from different racial/ethnic and socioeconomic backgrounds and oversamples of Asian and Pacific Islander children and private school kindergartners. Base-year data were collected in the fall and spring of the kindergarten year. Data were collected again in the fall of first grade in 1999 (from a 30 percent subsample of schools) and the spring of first grade in 2000, and then in the spring of third grade in 2002, the spring of fifth grade in 2004, and the spring of eighth grade in 2007.

From kindergarten to fifth grade, the ECLS-K included a direct child cognitive assessment that was administered one-on-one with each child in the study. The assessment used a computer-assisted personal interview (CAPI) approach and a two-stage adaptive testing methodology. In the eighth grade, a two-stage adaptive paper-and-pencil assessment was administered in small groups. At kindergarten and first grade, the assessment included three cognitive domains—reading, mathematics, and general knowledge. General knowledge was replaced by science at the third, fifth, and eighth grades. Children’s height and weight were measured at each data collection point, and a direct measure of children’s psychomotor development was administered in the fall of the kindergarten year only. In addition to these measures, the ECLS-K collected information about children’s social skills and academic achievement through teacher reports, and through student reports at the third, fifth, and eighth grades.

A computer-assisted telephone interview with the children’s parents/guardians was conducted at each data collection point. Parents/guardians were asked to provide key information about the ECLS-K sample children on subjects such as family demographics (e.g., family members, age, relation to child, race/ethnicity), family structure (e.g., household members and composition), parent involvement, home educational activities (e.g., reading to the child), child health, parental education and employment status, and the social skills and behaviors of their children.

Data on the schools that children attended and their classrooms were collected through self-administered questionnaires completed by school administrators and classroom teachers. Administrators provided information about the school population, programs, and policies. At the classroom level, data were collected from the teachers on the composition of the classroom, teaching practices, curriculum, and teacher qualifications and experience. In addition, special education teachers and related services staff provided reports on the services received by children with disabilities.

Further information on the ECLS-K may be obtained from

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## **Education Longitudinal Study of 2002**

The Education Longitudinal Study of 2002 (ELS:2002) is a longitudinal survey that is monitoring the transitions of a national probability sample of 10th-graders in public, Catholic, and other private schools. Survey waves follow both students and high school dropouts and monitor the transition of the cohort to postsecondary education, the labor force, and family formation.

In the base year of the study, of 1,220 eligible contacted schools, 750 participated, for an overall weighted school participation rate of approximately 68 percent (62 percent unweighted). Of 17,590 selected eligible students, 15,360 participated, for an overall weighted student response rate of approximately 87 percent. (School and student weighted response rates reflect use of the base weight [design weight] and do not include nonresponse adjustments.) Information for the study is obtained not just from students and their school records, but also from the students' parents, their teachers, their librarians, and the administrators of their schools.

The first follow-up was conducted in 2004, when most sample members were high school seniors. Base-year students who remained in their base schools were resurveyed and tested in mathematics, along with a freshening sample to make the study representative of spring 2004 high school seniors nationwide. Students who were not still at their base schools were administered a questionnaire.

The second follow-up, completed in 2006, continued to follow the sample of students into postsecondary education, the workforce, or both. The next follow-up is scheduled for 2012.

Further information on ELS:2002 may be obtained from

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## **Fast Response Survey System**

The Fast Response Survey System (FRSS) was established in 1975 to collect issue-oriented data quickly, with a minimal burden on respondents. The FRSS, whose surveys collect and report data on key education issues at the elementary and secondary levels, was designed to meet the data needs of Department of Education analysts, planners, and decisionmakers when information could not be collected quickly through NCES's large recurring surveys. Findings from FRSS surveys have been included in congressional reports, testimony to congressional subcommittees, NCES reports, and other Department of Education reports. The findings are also often used by state and local education officials.

Data collected through FRSS surveys are representative at the national level, drawing from a universe that is appropriate for each study. The FRSS collects data from state edu-

cation agencies and national samples of other educational organizations and participants, including local education agencies, public and private elementary and secondary schools, elementary and secondary school teachers and principals, and public libraries and school libraries. To ensure a minimal burden on respondents, the surveys are generally limited to three pages of questions, with a response burden of about 30 minutes per respondent. Sample sizes are relatively small (usually about 1,000 to 1,500 respondents per survey) so that data collection can be completed quickly.

Further information on the FRSS may be obtained from

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## **Condition of America's Public School Facilities: 1999**

This report (NCES 2000-032) provides national data about the condition of public schools in 1999 based on a survey conducted by NCES using its Fast Response Survey System (FRSS). Specifically, this report provides information about the condition of school facilities and the costs of bringing them into good condition; school plans for repairs, renovations, and replacements; the age of public schools; and overcrowding and practices used to address overcrowding. The results presented in this report are based on questionnaire data for 900 public elementary and secondary schools in the United States. The responses were weighted to produce national estimates that represent all regular public schools in the United States.

Further information on the contents of this report may be obtained from

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## **Public School Principals Report on Their Facilities**

This report presents current information on the extent of the match between the enrollment and the capacity of the school buildings, environmental factors that can affect the use of classrooms and school buildings, the extent and ways in which schools use portable buildings and the reasons for using them, the availability of dedicated rooms for particular

subject areas (such as science labs or music rooms), and the cleanliness and maintenance of student restrooms.

The survey was mailed to school principals, who were asked to complete it themselves. The sample included 1,210 public schools in the 50 states and the District of Columbia. The sample was selected from the 2002–03 Common Core of Data (CCD) Public Elementary/Secondary School Universe File, the most current available at the time of selection. The sampling frame includes approximately 84,500 elementary/secondary schools. Data have been weighted to yield national estimates of public elementary/secondary schools. The unweighted response rate was 90 percent, and the weighted response rate was 91 percent.

Further information on this survey may be obtained from

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#### Internet Access in U.S. Public Schools and Classrooms

The Internet Access in U.S. Public Schools and Classrooms survey is part of the NCES Fast Response Survey System (FRSS). It was designed to assess the federal government's commitment to assist every school and classroom in connecting to the Internet by the year 2000. In 1994, NCES began surveying approximately 1,000 public schools each year about their access to the Internet, access in classrooms, and, since 1996, their type of internet connections. Later administrations of this survey were expanded to cover emerging issues. The 2003 survey was designed to update the questions in the 2002 survey and covered the following topics: school connectivity, student access to computers and the Internet, school websites, technologies and procedures to prevent student access to inappropriate websites, and teacher professional development on how to incorporate the Internet into the curriculum.

In 2005, respondents were asked about the number of instructional computers with access to the Internet, the types of internet connections, technologies and procedures used to prevent student access to inappropriate material on the Internet, and the availability of handheld and laptop computers for students and teachers. Respondents also provided information on teacher professional development in integrating the use of the Internet into the curriculum and using the Internet to provide opportunities and information for teaching and learning.

For fall 2008, this technology study was redesigned and expanded to incorporate surveys at the district, school, and teacher levels. These three surveys provide complementary information and together cover a broader range of topics than

would be possible with one survey alone. The set of 2008 surveys collected data on availability and use for a range of educational technology resources, such as district and school networks, computers, devices that enhance the capabilities of computers for instruction, and computer software. They also collected information on leadership and staff support for educational technology within districts and schools.

Further information on Internet access in public schools and classrooms may be obtained from

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#### **Federal Support for Education**

NCES prepares an annual compilation of federal funds for education for the *Digest*. Data for U.S. Department of Education programs come from the *Budget of the United States Government*. Budget offices of other federal agencies provide information for all other federal program support except for research funds, which are obligations reported by the National Science Foundation in *Federal Funds for Research and Development*. Some data are estimated, based on reports from the federal agencies contacted and the *Budget of the United States Government*.

Except for money spent on research, outlays are used to report program funds to the extent possible. Some *Digest* tables report program funds as obligations, as noted in the title of the table. Some federal program funds not commonly recognized as education assistance are also included in the totals reported. For example, portions of federal funds paid to some states and counties as shared revenues resulting from the sale of timber and minerals from public lands have been estimated as funds used for education purposes. Parts of the funds received by states (in 1980) and localities (in all years) under the General Revenue Sharing Program are also included, as are portions of federal funds received by the District of Columbia. The share of these funds allocated to education is assumed to be equal to the share of general funds expended for elementary and secondary education by states and localities in the same year, as reported by the U.S. Census Bureau in its annual publication, *Government Finances*.

The share of federal funds assigned to education for the District of Columbia is assumed to be equal to the share of the city's general fund expenditures for each level of education.

For the job training programs conducted by the Department of Labor, only estimated sums spent on classroom training have been reported as educational program support.

During the 1970s, the Office of Management and Budget (OMB) prepared an annual analysis of federal education

program support. These were published in the *Budget of the United States Government, Special Analyses*. The information presented in this report is not, however, a continuation of the OMB series. A number of differences in the two series should be noted. OMB required all federal agencies to report outlays for education-related programs using a standardized form, thereby assuring agency compliance in reporting. The scope of education programs reported in the Digest differs from the scope of programs reported in the OMB reports. Off-budget items such as the annual volume of guaranteed student loans were not included in OMB's reports. Finally, while some mention is made of an annual estimate of federal tax expenditures, OMB did not include them in its annual analysis of federal education support. Estimated federal tax expenditures for education are the difference between current federal tax receipts and what these receipts would be without existing education deductions to income allowed by federal tax provisions.

Recipients' data are estimated based on *Estimating Federal Funds for Education: A New Approach Applied to Fiscal Year 1980* (Miller, V., and Noell, J., 1982, *Journal of Education Finance*); *Federal Support for Education*, various years; and the *Catalog of Federal Domestic Assistance* ([cfda.gov](http://cfda.gov)). The recipients' data are estimated and tend to undercount institutions of higher education, students, and local education agencies. This is because some of the federal programs have more than one recipient receiving funds. In these cases, the recipients were put into a "mixed recipients" category, because there was no way to disaggregate the amount each recipient received.

Further information on federal support for education may be obtained from

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### **High School and Beyond Longitudinal Study**

The High School and Beyond Longitudinal Study (HS&B) is a national longitudinal survey of individuals who were high school sophomores and seniors in 1980. The base-year survey (conducted in 1980) was a probability sample of 1,015 high schools with a target number of 36 sophomores and 36 seniors in each school. A total of 58,270 students participated in the base-year survey. Substitutions were made for nonparticipating schools—but not for students—in those strata where it was possible. Overall, 1,120 schools were selected in the original sample and 810 of these schools participated in the survey. An additional 200 schools were drawn in a

replacement sample. Student refusals and absences resulted in an 82 percent completion rate for the survey.

Several small groups in the population were oversampled to allow for special study of certain types of schools and students. Students completed questionnaires and took a battery of cognitive tests. In addition, a sample of parents of sophomores and seniors (about 3,600 for each cohort) was surveyed.

HS&B first follow-up activities took place in the spring of 1982. The sample for the first follow-up survey included approximately 30,000 people who were sophomores in 1980. The completion rate for sample members eligible for on-campus survey administration was about 96 percent. About 89 percent of the students who left school between the base-year and first follow-up surveys (e.g., dropouts, transfer students, and early graduates) completed the first follow-up sophomore questionnaire.

As part of the first follow-up survey of HS&B, transcripts were requested in fall 1982 for an 18,150-member subsample of the sophomore cohort. Of the 15,940 transcripts actually obtained, 1,970 were excluded because the students had dropped out of school before graduation, 800 were excluded because they were incomplete, and 1,060 were excluded because the students graduated before 1982 or the transcripts indicated neither a dropout status nor graduation. Thus, 12,120 transcripts were utilized for the overall curriculum analysis presented in this publication. All courses in each transcript were assigned a 6-digit code based on the Classification of Secondary School Courses (a coding system developed to standardize course descriptions; see <http://nces.ed.gov/surveys/hst/courses.asp>). Credits earned in each course are expressed in Carnegie units. (The Carnegie unit is a standard of measurement that represents one credit for the completion of a 1-year course. To receive credit for a course, the student must have received a passing grade—"pass," "D," or higher.) Students who transferred from public to private schools or from private to public schools between their sophomore and senior years were eliminated from public/private analyses.

In designing the senior cohort first follow-up survey, one of the goals was to reduce the size of the retained sample while still keeping sufficient numbers of various racial/ethnic groups to allow important policy analyses. A total of about 11,230 (93.6 percent) of the 12,000 people subsampled completed the questionnaire. Information was obtained about the respondents' school and employment experiences, family status, and attitudes and plans.

The samples for the second follow-up, which took place in spring 1984, consisted of about 12,000 members of the senior cohort and about 15,000 members of the sophomore cohort. The completion rate for the senior cohort was 91 percent, and the completion rate for the sophomore cohort was 92 percent.

HS&B third follow-up data collection activities were performed in spring 1986. Both the sophomore and senior cohort samples for this round of data collection were the same as those used for the second follow-up survey. The



completion rates for the sophomore and senior cohort samples were 91 percent and 88 percent, respectively.

HS&B fourth follow-up data collection activities were performed in 1992, but only surveyed the 1980 sophomore class. They examined aspects of these students' early adult years, such as enrollment in postsecondary education, experience in the labor market, marriage and child rearing, and voting behavior.

Appendix table A-1 contains the maximum number of HS&B cases that are available for tabulations of specific classification variables used throughout this publication.

The standard error (*se*) of an individual percentage (*p*) based on HS&B data can be approximated by the formula

$$se_p = DEFT [p(100 - p)/n]^{1/2}$$

where *n* is the sample size and DEFT, the square root of the design effect, is a factor used to adjust for the particular sample design used in HS&B. Appendix table A-2 provides the DEFT factors for different HS&B samples and subsamples.

In evaluating a difference between two independent percentages, the standard error of the difference may be conservatively approximated by taking the square root of the sum of the squared standard errors of the two percentages. For example, in the 1986 follow-up of 1980 sophomores, 84.0 percent of the men and 77.2 percent of the women felt that being successful in work was "very important," a difference of 6.8 percentage points. Using the formula and the sample sizes from table A-1 and the DEFT factors from table A-2, the standard errors of the two percentages being compared are calculated to be

$$1.43[(84.0)(16.0)/(5,391)]^{1/2} = .714$$

$$1.43[(77.2)(22.8)/(5,857)]^{1/2} = .784$$

Therefore, the standard error of the difference is

$$(.714^2 + .784^2)^{1/2} = (.510 + .615)^{1/2} = 1.06$$

The sampling error of the difference is approximately double the standard error, or approximately 2.1 percentage points, and the 95 percent confidence interval for the difference is 4.7 to 8.9 percentage points, or  $(6.8 \pm 1.96 \times 1.06)$ .

The standard error estimation procedure outlined above does not compensate for survey item nonresponse, which is a source of nonsampling error. (Table A-1 reflects the maximum number of responses that could be tabulated by demographic characteristics.) For example, of the 10,930 respondents in the 1984 follow-up survey of 1980 high school graduates, 370, or 3.4 percent, did not respond to the particular question on whether they had ever used a pocket calculator. Item nonresponse varied considerably. A very low nonresponse rate of 0.1 percent was obtained for a question asking whether the respondent had attended a postsecondary institution. A much higher item nonresponse rate of 12.2 percent was obtained for a question asking if the respondent had

used a micro- or minicomputer in high school. Typical item nonresponse rates ranged from 3 to 4 percent.

The Hispanic analyses presented in this publication rely on students' self-identification as members of one of four Hispanic subgroups: Mexican, Mexican-American, Chicano; Cuban; Puerto Rican, Puertorriqueño, Boricuan; or other Hispanic ethnicities.

An NCES series of technical reports and data file user's manuals, available electronically, provides additional information on the survey methodology.

Further information on HS&B may be obtained from

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## High School Transcript Study

High school transcript studies have been conducted since 1982 and are associated with a major NCES data collection. The studies collect information that is contained in a student's high school record—courses taken while attending secondary school, information on credits earned, when specific courses were taken, and final grades.

A high school transcript study was conducted in 2004 as part of the Education Longitudinal Study of 2002 (ELS:2002/2004). A total of 1,550 schools participated in the request for transcripts, for an unweighted participation rate of approximately 79 percent. Transcript information was received on 14,920 members of the student sample (not just graduates), for an unweighted response rate of 91 percent.

Similar studies were conducted of the coursetaking patterns of 1982, 1987, 1990, 1992, 1994, 1998, 2000, and 2005 high school graduates. The 1982 data are based on approximately 12,000 transcripts collected by the High School and Beyond Longitudinal Study (HS&B). The 1987 data are based on approximately 25,000 transcripts from 430 schools obtained as part of the 1987 NAEP High School Transcript Study, a scope comparable to that of the NAEP transcript studies conducted in 1990, 1994, 1998, and 2000. The 1992 data are based on approximately 15,000 transcripts collected by the National Education Longitudinal Study of 1988 (NELS:88/92). The 2005 NAEP High School Transcript Study collected a sample of over 26,000 transcripts from 640 public schools and 80 private schools.

Because the 1982 HS&B transcript study used a different method for identifying students with disabilities than was used in NAEP transcript studies after 1982, and in order to make the statistical summaries as comparable as possible, all the counts and percentages in this report are restricted to students whose records indicate that they had not participated in

a special education program. This restriction lowers the number of 1990 graduates represented in the tables to 20,870.

Further information on NAEP high school transcript studies may be obtained from

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Further information on all other high school transcript studies may be obtained from

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### ***Integrated Postsecondary Education Data System***

The Integrated Postsecondary Education Data System (IPEDS) surveys approximately 6,800 postsecondary institutions, including universities and colleges, as well as institutions offering technical and vocational education beyond the high school level. IPEDS, which began in 1986, replaced the Higher Education General Information Survey (HEGIS).

IPEDS consists of nine interrelated components that are collected over three collection periods (fall, winter, and spring) each year. These components obtain information on who provides postsecondary education (institutions), who participates in it and completes it (students), what programs are offered and what programs are completed, and both the human and financial resources involved in the provision of institutionally based postsecondary education. Until 2000, these components included institutional characteristics, fall enrollment, completions, salaries, finance, and fall staff. Beginning in 2000, data were collected in the fall for institutional characteristics and completions; in the winter for employees by assigned position (EAP), salaries, and fall staff; and in the spring for enrollment, student financial aid, finances, and graduation rates. With the winter 2005–06 survey, the employees by assigned position, fall staff, and salaries components were merged into the human resources component. In 2007–08, the enrollment component was broken into two separate components: 12-

month enrollment (collected in the fall) and fall enrollment (collected in the spring).

The degree-granting institutions portion of IPEDS is a census of colleges that award associate's or higher degrees and are eligible to participate in Title IV financial aid programs. Prior to 1993, data from technical and vocational institutions were collected through a sample survey. Beginning in 1993, all data are gathered in a census of all postsecondary institutions. The tabulations on "institutional characteristics" developed for editions of the *Digest* from 1993 forward are based on lists of all institutions and are not subject to sampling errors.

The classification of institutions offering college and university education changed as of 1996. Prior to 1996, institutions that had courses leading to an associate's or higher degree or that had courses accepted for credit toward those degrees were considered higher education institutions. Higher education institutions were accredited by an agency or association that was recognized by the U.S. Department of Education or were recognized directly by the Secretary of Education. Tables, or portions of tables, that use only this standard are noted as "higher education" in the *Digest*. The newer standard includes institutions that award associate's or higher degrees and that are eligible to participate in Title IV federal financial aid programs. Tables that contain any data according to this standard are titled "degree-granting" institutions. Time-series tables may contain data from both series, and they are noted accordingly. The impact of this change on data collected in 1996 was not large. For example, tables on faculty salaries and benefits were only affected to a very small extent. Also, degrees awarded at the bachelor's level or higher were not heavily affected. The largest impact was on private 2-year college enrollment. In contrast, most of the data on public 4-year colleges were affected to a minimal extent. The impact on enrollment in public 2-year colleges was noticeable in certain states, but was relatively small at the national level. Overall, total enrollment for all institutions was about one-half of a percent higher in 1996 for degree-granting institutions than for higher education institutions.

Prior to the establishment of IPEDS in 1986, HEGIS acquired and maintained statistical data on the characteristics and operations of institutions of higher education. Implemented in 1966, HEGIS was an annual universe survey of institutions accredited at the college level by an agency recognized by the Secretary of the U.S. Department of Education. These institutions were listed in NCES's Education Directory, Colleges and Universities.

HEGIS surveys collected information on institutional characteristics, faculty salaries, finances, enrollment, and degrees. Since these surveys, like IPEDS, were distributed to all higher education institutions, the data presented are not subject to sampling error. However, they are subject to nonsampling error, the sources of which varied with the survey instrument.

The NCES Taskforce for IPEDS Redesign recognized that there were issues related to the consistency of data definitions as well as the accuracy, reliability, and validity of

other quality measures within and across surveys. The IPEDS redesign in 2000 provided institution-specific web-based data forms. While the new system shortened data processing time and provided better data consistency, it did not address the accuracy of the data provided by institutions.

Beginning in 2003–04 with the Prior Year Data Revision System, prior-year data have been available to institutions entering current data. This allows institutions to make changes to their prior-year entries either by adjusting the data or by providing missing data. These revisions allow the evaluation of the data's accuracy by looking at the changes made.

NCES conducted a study (NCES 2005-175) of the 2002–03 data that were revised in 2003–04 to determine the accuracy of the imputations, track the institutions that submitted revised data, and analyze the revised data they submitted. When institutions made changes to their data, it was assumed that the revised data were the “true” data. The data were analyzed for the number and type of institutions making changes, the type of changes, the magnitude of the changes, and the impact on published data.

Because NCES imputes missing data, imputation procedures were also addressed by the Redesign Taskforce. For the 2003–04 assessment, differences between revised values and values that were imputed in the original files were compared (i.e., revised value minus imputed value). These differences were then used to provide an assessment of the effectiveness of imputation procedures. The size of the differences also provides an indication of the accuracy of imputation procedures. To assess the overall impact of changes on aggregate IPEDS estimates, published tables for each component were reconstructed using the revised 2002–03 data. These reconstructed tables were then compared to the published tables to determine the magnitude of aggregate bias and the direction of this bias.

Though IPEDS provides the most comprehensive data system for postsecondary education, there are 100 or more entities that collect their own information from postsecondary institutions. This raises the issue of how valid IPEDS data are when compared to education data collected by non-IPEDS sources. In the Data Quality Study, Thomson Peterson data were chosen to assess the validity of IPEDS data because Thomson Peterson is one of the largest and most comprehensive sources of postsecondary data available.

Not all IPEDS components could be compared to Thomson Peterson. Either Thomson Peterson did not collect data related to a particular IPEDS component, or the data items collected by Thomson Peterson were not comparable to the IPEDS items (i.e., the data items were defined differently). Comparisons were made for a selected number of data items in five areas—tuition and price, employees by assigned position, enrollment, student financial aid, and finance. More details on the accuracy and reliability of IPEDS data can be found in the *Integrated Postsecondary Education Data System Data Quality Study* (NCES 2005-175).

Further information on IPEDS may be obtained from

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#### Fall (12-Month Enrollment)

Data on 12-month enrollment are collected for award levels ranging from postsecondary certificates of less than 1 year to doctoral degrees. The 12-month period during which data are collected is selected by the institution and can be either July 1 through June 30 or September 1 through August 31. Data are collected by race/ethnicity and gender and include unduplicated headcounts and instructional activity (contact or credit hours). These data are also used to calculate a full-time-equivalent (FTE) enrollment based on instructional activity. FTE enrollment is useful for gauging the size of the educational enterprise at the institution. Prior to the 2007–08 IPEDS data collection, the data collected in the 12-Month Enrollment survey were part of the Fall Enrollment survey (Spring data collection period). However, to improve the timeliness of the data, a separate survey component was developed in 2007. The data are now collected in the fall for the previous academic year.

Further information on the IPEDS 12-Month Enrollment survey may be obtained from

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#### Fall (Completions)

This survey was part of the HEGIS series throughout its existence. However, the degree classification taxonomy was revised in 1970–71, 1982–83, 1991–92, and 2002–03. Collection of degree data has been maintained through IPEDS.

Degrees-conferred trend tables arranged by the 2002–03 classification are included in the *Digest* to provide consistent data from 1970–71 through the most recent year. Data in this edition on associate's and other formal awards below the baccalaureate degree, by field of study, cannot be made comparable with figures from prior to 1982–83. The nonresponse rate does not appear to be a significant source of nonsampling error for this survey. The response rate over the years has been high, with the degree-granting institution response rate at 100.0 percent and the overall response rate for non-degree-granting institutions at 99.9 percent in fall 2009. Because of

the high response rate for degree-granting institutions, non-sampling error caused by imputation is also minimal. Imputation methods and the response bias analysis for the fall 2009 survey are discussed in *Postsecondary Institutions and Price of Attendance in the United States: Fall 2009, and Degrees and Other Awards Conferred: 2008–09, and 12-Month Enrollment: 2008–09* (NCES 2010-161).

The *Integrated Postsecondary Education Data System Data Quality Study* (NCES 2005-175) indicated that most Title IV institutions supplying revised data on completions in 2003–04 were able to supply missing data for the prior year. The small differences between imputed data for the prior year and the revised actual data supplied by the institution indicated that the imputed values produced by NCES were acceptable.

Further information on the IPEDS Completions survey may be obtained from

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#### Fall (Institutional Characteristics)

This survey collects the basic information necessary to classify institutions, including control, level, and types of programs offered, as well as information on tuition, fees, and room and board charges. Beginning in 2000, the survey collected institutional pricing data from institutions with first-time, full-time, degree/certificate-seeking undergraduate students. Unduplicated full-year enrollment counts and instructional activity are now collected in the Fall Enrollment survey. The overall response rate was 100.0 percent for Title IV degree-granting institutions for 2009 data.

The *Integrated Postsecondary Education Data System Data Quality Study* (NCES 2005-175) looked at tuition and price in Title IV institutions. Only 8 percent of institutions in 2002–03 and 2003–04 reported the same data to IPEDS and Thomson Peterson consistently across all selected data items. Differences in wordings or survey items may account for some of these inconsistencies.

Further information on the IPEDS Institutional Characteristics survey may be obtained from

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#### Winter (Human Resources)

The IPEDS Human Resources (HR) component comprises three sections: Employees by Assigned Position (EAP), Fall Staff, and Salaries.

##### *Employees by Assigned Position*

Data gathered by the Employees by Assigned Position (EAP) section categorizes all employees by full- or part-time status, faculty status, and primary function/occupational activity. Institutions with M.D. or D.O. programs are required to report their medical school employees separately. EAP was required of all 6,858 Title IV institutions and administrative offices in the U.S. and other jurisdictions for winter 2008–09 and 6,845, or 99.8 percent, responded.

The primary functions/occupational activities of the EAP section are primarily instruction, instruction combined with research and/or public service, primarily research, primarily public service, executive/administrative/managerial, other professionals (support/service), graduate assistants, technical and paraprofessionals, clerical and secretarial, skilled crafts, and service/maintenance.

All full-time instructional faculty classified in the EAP full-time non-medical school part as either (1) primarily instruction or (2) instruction combined with research and/or public service are included in the Salaries section, unless they are exempt.

##### *Fall Staff*

The section categorizes all staff on the institution's payroll as of November 1 of the collection year, by employment status (full time or part time), primary function/occupational activity, gender, and race/ethnicity. These data elements are collected from degree-granting and non-degree-granting institutions; however, additional data elements are collected from degree-granting institutions and related administrative offices with 15 or more full-time staff. These elements include faculty status, contract length/teaching period, academic rank, salary class intervals, and newly hired full-time permanent staff.

The Fall Staff section, which is required only in odd-numbered reporting years, was not required during the 2008–09 HR data collection. However, of the 6,858 Title IV institutions and administrative offices, 3,295, or 48.0 percent, did provide data in 2008–09.

The most recent data quality study, *Integrated Postsecondary Education Data System Data Quality Study* (NCES 2005-175), found that for 2003–04 employee data items, changes were made by 1.2 percent (77) of the institutions that responded. All who made changes made changes that resulted in different employee counts. For both institutional and aggregate differences, the changes had little impact on the original employee count submissions. A large number of institutions reported different staff data to IPEDS and Thomson Peterson; however, the magnitude of the differences was small—usually no more than 17 faculty members for any faculty variable.

## Salaries

This section collects data for full-time instructional faculty on the institution's payroll as of November 1 of the collection year (except those in medical schools of the EAP section, as described above), by contract length/teaching period, gender, and academic rank. The reporting of data by faculty status in the Salaries section is required from 4-year degree-granting institutions and above only. Salary outlays and fringe benefits are also collected for full-time instructional staff on 9/10- and 11/12-month contracts/teaching periods. This section is applicable to degree-granting institutions unless exempt.

This institutional survey was conducted for most years from 1966–67 to 1987–88; it has been conducted annually since 1989–90, except for 2000–01. Although the survey form has changed a number of times during these years, only comparable data are presented.

Between 1966–67 and 1985–86, this survey differed from other HEGIS surveys in that imputations were not made for nonrespondents. Thus, there is some possibility that the salary averages presented in this report may differ from the results of a complete enumeration of all colleges and universities. Beginning with the surveys for 1987–88, the IPEDS data tabulation procedures included imputations for survey nonrespondents. The response rate for the 2008–09 survey was 99.9 percent (4,360 of the 4,364 required institutions responded). Imputation methods and the response bias analysis for the 2008–09 survey are discussed in *Employees in Postsecondary Institutions, Fall 2008, and Salaries of Full-Time Instructional Staff, 2008–09* (NCES 2010-165). Although data from this survey are not subject to sampling error, sources of nonsampling error may include computational errors and misclassification in reporting and processing. The electronic reporting system does allow corrections to prior-year reported or missing data, and this should help with these problems. Also, NCES reviews individual institutions' data for internal and longitudinal consistency and contacts institutions to check inconsistent data.

The *Integrated Postsecondary Education Data System Data Quality Study* (NCES 2005-175) found that only 1.3 percent of the responding Title IV institutions in 2003–04 made changes to their salaries data. The differences between the imputed data and the revised data were small and found to have little impact on the published data.

Further information on the Human Resources component may be obtained from

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## Spring (Fall Enrollment)

This survey has been part of the HEGIS and IPEDS series since 1966. Response rates for this survey have been relatively high, generally exceeding 85 percent. Beginning in 2000, with web-based data collection, higher response rates were attained. In 2008–09, the overall response rate was 99.9 percent for degree-granting institutions. The response rate for 4-year private not-for-profit institutions was 99.8 percent, while 4-year public, 4-year private-for-profit, 2-year public, 2-year private not-for-profit, and 2-year private for-profit institutions had response rates of 100.0 percent. Imputation methods and the response bias analysis for the 2008–09 survey are discussed in *Enrollment in Postsecondary Institutions, Fall 2008; Graduation Rates, 2002 and 2005 Cohorts; and Financial Statistics, Fiscal Year 2008* (NCES 2010-152).

Beginning with the fall 1986 survey and the introduction of IPEDS (see above), the survey was redesigned. The survey allows (in alternating years) for the collection of age and residence data. Beginning in 2000, the survey collected instructional activity and unduplicated headcount data, which are needed to compute a standardized, full-time-equivalent (FTE) enrollment statistic for the entire academic year.

The *Integrated Postsecondary Education Data System Data Quality Study* (NCES 2005-175) showed that public institutions made the majority of changes to enrollment data during the 2004 revision period. The majority of changes were made to unduplicated headcount data, with the net differences between the original data and the revised data at about 1 percent. Part-time students in general and enrollment in private not-for-profit institutions were often underestimated. The fewest changes by institutions were to Classification of Instructional Programs (CIP) code data. (The CIP is a taxonomic coding scheme that contains titles and descriptions of primarily postsecondary instructional programs.) More institutions provided enrollment data to IPEDS than to Thomson Peterson. A fairly high percentage of institutions that provided data to both provided the same data, and among those that did not, the difference in magnitude was less than 10 percent.

Further information on the IPEDS Fall Enrollment survey may be obtained from

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## Spring (Finance)

This survey was part of the HEGIS series and has been continued under IPEDS. Substantial changes were made in the financial survey instruments in fiscal year (FY) 1976, FY 82, FY 87, FY 97, and FY 02. While these changes were significant, considerable effort has been made to present only

comparable information on trends in this report and to note inconsistencies. The FY 76 survey instrument contained numerous revisions to earlier survey forms, which made direct comparisons of line items very difficult. Beginning in FY 82, Pell Grant data were collected in the categories of federal restricted grant and contract revenues and restricted scholarship and fellowship expenditures. Finance tables for this publication have been adjusted by subtracting the largely duplicative Pell Grant amounts from the later data to maintain comparability with pre-FY 82 data. The introduction of IPEDS in the FY 87 survey included several important changes to the survey instrument and data processing procedures. Beginning in FY 97, data for private institutions were collected using new financial concepts consistent with Financial Accounting Standards Board (FASB) reporting standards, which provide a more comprehensive view of college finance activities. The data for public institutions continued to be collected using the older survey form. The data for public and private institutions were no longer comparable and, as a result, no longer presented together in analysis tables. In FY 01, public institutions had the option of either continuing to report using Government Accounting Standards Board (GASB) standards or using the new FASB reporting standards. Beginning in FY 02, public institutions had three options: the original GASB standards, the FASB standards, or the new GASB Statement 35 standards (GASB35). Because of the complexity of the multiple forms used by public institutions, finance data for public institutions for some recent years are not presented in the *Digest*.

Possible sources of nonsampling error in the financial statistics include nonresponse, imputation, and misclassification. The response rate has been about 85 to 90 percent for most of the historic years presented in the *Digest*; however, in more recent years, response rates have been much higher because Title IV institutions are required to respond. The 2002 IPEDS data collection was a full-scale web-based collection, which offered features that improved the quality and timeliness of the data. The ability of IPEDS to tailor online data entry forms for each institution based on characteristics such as institutional control, level of institution, and calendar system, and the institutions' ability to submit their data online, were two such features that improved response. The response rate for the FY 08 Finance survey was 99.9 percent for degree-granting institutions. Imputation methods and the response bias analysis for the FY 08 survey are discussed in *Enrollment in Postsecondary Institutions, Fall 2008; Graduation Rates, 2002 and 2005 Cohorts; and Financial Statistics, Fiscal Year 2008* (NCES 2010-152).

Two general methods of imputation were used in HEGIS. If prior-year data were available for a nonresponding institution, they were inflated using the Higher Education Price Index and adjusted according to changes in enrollments. If prior-year data were not available, current data were used from peer institutions selected for location (state or region), control, level, and enrollment size of institution. In most

cases, estimates for nonreporting institutions in HEGIS were made using data from peer institutions.

Beginning with FY 87, IPEDS included all postsecondary institutions, but maintained comparability with earlier surveys by allowing 2- and 4-year institutions to be tabulated separately. For FY 87 through FY 91, in order to maintain comparability with the historical time series of HEGIS institutions, data were combined from two of the three different survey forms that make up IPEDS. The vast majority of the data were tabulated from form 1, which was used to collect information from public and private not-for-profit 2- and 4-year colleges. Form 2, a condensed form, was used to gather data for 2-year for-profit institutions. Because of the differences in the data requested on the two forms, several assumptions were made about the form 2 reports so that their figures could be included in the degree-granting institution totals.

In IPEDS, the form 2 institutions were not asked to separate appropriations from grants and contracts, nor were they asked to separate state from local sources of funding. For the form 2 institutions, all federal revenues were assumed to be federal grants and contracts, and all state and local revenues were assumed to be restricted state grants and contracts. All other form 2 sources of revenue, except for tuition and fees and sales and services of educational activities, were included under "other." Similar adjustments were made to the expenditure accounts. The form 2 institutions reported instruction and scholarship and fellowship expenditures only. All other educational and general expenditures were allocated to academic support.

The *Integrated Postsecondary Education Data System Data Quality Study* (NCES 2005-175) found that only a small percentage (2.9 percent, or 168) of postsecondary institutions either revised 2002–03 data or submitted data for items they previously left unreported. Though relatively few institutions made changes, the changes made were relatively large—greater than 10 percent of the original data. With a few exceptions, these changes, large as they were, did not greatly affect the aggregate totals.

Again, institutions were more likely to report data to IPEDS than to Thomson Peterson, and there was a higher percentage reporting different values among those reporting to both. The magnitude of the difference was generally greater for research expenditures. It is likely that the large differences are a function of the way institutions report these data to both entities.

Further information on the IPEDS Finance survey may be obtained from

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### Spring (Financial Aid)

Financial aid data are collected for undergraduate students. Data are collected regarding federal grants, state and local government grants, institutional grants, and loans. The collected data include the number of students receiving each type of financial assistance and the average amount of aid received by type of aid.

Further information on the IPEDS Financial Aid survey may be obtained from

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### Spring (Graduation Rates and Graduation Rates 200 Percent)

Graduation rates data are collected for full-time, first-time degree and certificate-seeking undergraduate students. Data included are the number of students entering the institution as full-time, first-time degree or certificate-seeking students in a particular year (cohort), by race/ethnicity and gender; the number of students completing their program within a time period equal to one and a half times (150 percent) the normal period of time; and the number of students who transferred to other institutions.

The Graduation Rates 200 Percent survey is new to the Spring 2010 collection. Data in this survey are collected as for the graduation rates survey, but the survey also asks for the number of students who completed their program within 200 percent of the normal time period. This survey was developed to fulfill requirements in the Higher Education Opportunity Act of 2008.

Further information on the IPEDS Graduation surveys may be obtained from

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### **Library Statistics**

On October 1, 2007, the administration of the Public Libraries Survey (PLS) and the State Library Agencies (StLA) Survey was transferred to the Institute of Museum and Library Services (IMLS) (see below). The transfer of these surveys to IMLS is the result of the fiscal year (FY) 2007 President's budget request.

NCES administered the Academic Libraries Survey (ALS) on a 3-year cycle between 1966 and 1988. From 1988 through 1999, ALS was a component of the Integrated Postsecondary Education Data System (IPEDS) and was on a 2-year cycle. Since FY 2000, ALS has not been a component of IPEDS, but it remains on a 2-year cycle. ALS provides data on about 3,700 academic libraries. In aggregate, these data provide an overview of the status of academic libraries nationally and statewide. The survey collects data on the libraries in the entire universe of degree-granting institutions. Beginning with the collection of FY 2000 data, the ALS changed to web-based data collection. ALS produces descriptive statistics on academic libraries in postsecondary institutions in the 50 states, the District of Columbia, and the outlying areas.

School library data were collected on the School and Principal Surveys during the 1990–91 Schools and Staffing Survey (SASS). The School Library Media Centers (LMC) Survey became a component of SASS with the 1993–94 administration of the survey. Since then, the LMC Survey has been conducted during the 1999–2000, 2003–04, and 2007–08 school years. During the 2007–08 administration, only the public and Bureau of Indian Education (BIE) school library media centers were surveyed. School library questions focus on facilities, services and policies, staffing, technology, information literacy, collections and expenditures, and media equipment. New or revised topics include access to online licensed databases, resource availability, and additional elements on information literacy.

Further information on library statistics may be obtained from

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### **National Adult Literacy Survey**

The National Adult Literacy Survey (NALS), funded by the U.S. Department of Education and 12 states, was created in 1992 as a new measure of literacy. The aim of the survey was to profile the English literacy of adults in the United States based on their performance across a wide array of tasks that reflect the types of materials and demands they encounter in their daily lives.

To gather information on adults' literacy skills, trained staff interviewed a nationally representative sample of nearly 13,600 individuals ages 16 and older during the first 8 months of 1992. These participants had been randomly selected to represent the adult population in the country as a whole. Black and Hispanic households were oversampled to ensure reliable estimates of literacy proficiencies and to permit analyses of the performance of these subpopulations.

In addition, some 1,100 inmates from 80 federal and state prisons were interviewed to gather information on the proficiencies of the prison population. In total, nearly 26,000 adults were surveyed.

Each survey participant was asked to spend approximately an hour responding to a series of diverse literacy tasks, as well as to questions about his or her demographic characteristics, educational background, reading practices, and other areas related to literacy. Based on their responses to the survey tasks, adults received proficiency scores along three scales that reflect varying degrees of skill in prose, document, and quantitative literacy. The results of the 1992 survey were first published in a report, *Adult Literacy in America: A First Look at the Findings of the National Adult Literacy Survey* (NCES 93-275), in September 1993. See National Assessment of Adult Literacy (below) for later surveys on adult literacy.

Further information on NALS may be obtained from

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### **National Assessment of Adult Literacy**

The 2003 National Assessment of Adult Literacy (NAAL) was conducted to measure both English literacy and health literacy. The assessment was administered to 19,000 adults (including 1,200 prison inmates) age 16 and over in all 50 states and the District of Columbia. Components of the assessment included a background questionnaire; a prison component that assesses the literacy skills of adults in federal and state prisons; the State Assessment of Adult Literacy (SAAL), a voluntary survey given in conjunction with NAAL; a health literacy component; the Fluency Addition to NAAL (FAN), an oral reading assessment; and the Adult Literacy Supplemental Assessment (ALSA). ALSA is an alternative to main NAAL for those with very low scores on seven core screening questions. NAAL assesses literacy directly through the completion of tasks that covered quantitative literacy, document literacy, and prose literacy. Results were reported using the following achievement levels: *Below Basic*, *Basic*, *Intermediate*, and *Proficient*.

By comparing the 1992 NALS and 2003 NAAL results, NAAL provides an indicator of the progress of adult literacy in the nation.

Further information on NAAL may be obtained from

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### **National Assessment of Educational Progress**

The National Assessment of Educational Progress (NAEP) is a series of cross-sectional studies initially implemented in 1969 to gather information about selected levels of educational achievement across the country. At the national level, NAEP is divided into two assessments: long-term trend NAEP and main NAEP. NAEP has surveyed students at specific ages (9, 13, and 17) for the long-term trend NAEP and at grades 4, 8, and 11 or 12 for main NAEP, state NAEP, and long-term writing NAEP. NAEP in the early years also surveyed young adults (ages 25 to 35). The assessment data presented in this publication were derived from tests designed and conducted by the Education Commission of the States (from 1969 to 1983) and by the Educational Testing Service (ETS) (from 1983 to the present).

#### Long-term trend

NAEP long-term trend assessments are designed to inform the nation of changes in the basic achievement of America's youth. Nationally representative samples of students have been assessed in science, mathematics, and reading at ages 9, 13, and 17 since the early 1970s. Students were assessed in writing at grades 4, 8, and 11 between 1984 and 1996. To measure trends accurately, assessment items (mostly multiple choice) and procedures have remained unchanged since the first assessment in each subject. Recent trend assessments were conducted in 1994, 1996, 1999, 2004, and 2008. Approximately 26,600 students took part in the 2008 reading assessment and 26,700 took part in the 2008 mathematics assessment. Results are reported as average scores for the nation, for regions, and for various subgroups of the population, such as racial and ethnic groups. Data from the trend assessments are available in the most recent report, *NAEP 2008 Trends in Academic Progress* (NCES 2009-479). The next long-term trend assessment (of reading and mathematics) is scheduled for 2012.

The 2004 NAEP long-term trend assessments marked the end of tests designed and administered since the inception of the assessments in 1971, marked the beginning of a modified design that provides greater accommodations for students with disabilities and English language learners, and limited



the assessments to reading and math. Science and writing are now assessed only in main NAEP.

To ensure that the assessment results can be reported on the same trend line, a “bridge” assessment was administered in addition to the modified assessment in 2004. Students were randomly assigned to take either the bridge assessment or the modified assessment. The bridge assessment replicated the instrument given in 1999 and used the same administrative techniques. The 2004 modified assessment provides the basis of comparison for all future assessments, and the bridge assessment links its results to the results from the past 30 years.

Sample sizes and overall participation rates in 2008 for the long-term trend reading assessment were 8,600 for 9-year-olds (95 percent), 8,400 for 13-year-olds (94 percent), and 9,600 for 17-year-olds (88 percent). Sample sizes and participation rates for the math assessment were 8,600 for 9-year-olds (95 percent), 8,500 for 13-year-olds (94 percent), and 9,600 for 17-year-olds (88 percent).

Number of participants and overall participation rates in 2004 for the long-term trend reading assessment for the bridge group were 5,200 for 9-year-olds (81 percent), 5,700 for 13-year-olds (77 percent), and 3,800 for 17-year-olds (55 percent). For those taking the modified assessment, the sizes and rates were 7,300 for 9-year-olds (80 percent), 7,500 for 13-year-olds (76 percent), and 7,600 for 17-year-olds (56 percent). Sample sizes and overall participation rates for the mathematics assessment for the bridge group were 4,600 for 9-year-olds (80 percent), 4,700 for 13-year-olds (76 percent), and 4,600 for 17-year-olds (57 percent). For those taking the modified assessment, the sizes and rates were 7,500 for 9-year-olds (80 percent), 8,300 for 13-year-olds (76 percent), and 8,300 for 17-year-olds (56 percent).

Sample sizes for the reading/writing proficiency portion of the 1999 NAEP long-term trend study were 5,790 for 9-year-olds, 5,930 for 13-year-olds, and 5,290 for 17-year-olds. Overall participation rates were 80 percent, 74 percent, and 59 percent, respectively. Sample sizes for the mathematics/science portion of the 1999 long-term trend study were 6,030 for 9-year-olds, 5,940 for 13-year-olds, and 3,800 for 17-year-olds. Overall participation rates were 78 percent, 73 percent, and 59 percent for 9-year-olds, 13-year-olds, and 17-year-olds, respectively.

## Main

In the main national NAEP, a nationally representative sample of students is assessed at grades 4, 8, and 12 in various academic subjects. The assessments change periodically and are based on frameworks developed by the National Assessment Governing Board (NAGB). Items include both multiple-choice and constructed-response (requiring written answers) items. Results are reported in two ways. Average scores are reported for the nation, for participating states and jurisdictions, and for subgroups of the population. In addition, the percentage of students at or above *Basic*, *Proficient*, and *Advanced* achievement levels is reported for these same groups. The achievement levels are developed by NAGB.

From 1990 until 2001, main NAEP was conducted for states and other jurisdictions that chose to participate (e.g., 45 participated in 2000). Prior to 1992, the national NAEP samples were not designed to support the reporting of accurate and representative state-level results. Separate representative samples of students were selected for each participating jurisdiction. State data are usually available at grade 4, grade 8, or both grades, and may not include all subjects assessed in the national-level assessment. In 1994, for example, NAEP assessed reading, geography, and history at the national level at grades 4, 8, and 12; however, only reading at grade 4 was assessed at the state level. In 1996, mathematics and science were assessed nationally at grades 4, 8, and 12; at the state level, mathematics was assessed at grades 4 and 8, and science was assessed at grade 8 only. In 1997, the arts were assessed only at the national level at grade 8. Reading and writing were assessed in 1998 at the national level for grades 4, 8, and 12 and at the state level for grades 4 and 8; civics was also assessed in 1998 at the national level for grades 4, 8, and 12. These assessments generally involved about 130,000 students at the national and state levels.

In 2002, under the provisions of the No Child Left Behind Act of 2001, all states began to participate in main NAEP and an aggregate of all state samples replaced the separate national sample. In 2002, students were assessed in reading and writing at grades 4, 8, and 12 for the national assessment and at grades 4 and 8 for the state assessment. In 2003, reading and mathematics were assessed at grades 4 and 8 for both national and state assessments.

The NAEP national samples in 2003 and 2005 were obtained by aggregating the samples from each state, rather than by obtaining an independently selected national sample. As a consequence, the size of the national sample increased, and smaller differences between scores across years or types of students were found to be statistically significant than would have been detected in previous assessments.

The main NAEP assessments are conducted separately from the long-term assessments. The 2000 mathematics assessment was administered to 13,510 4th-graders, 15,690 8th-graders, and 13,430 12th-graders. The response rates were 96 percent for 4th-graders, 92 percent for 8th-graders, and 77 percent for 12th-graders. The 2003 mathematics assessment was administered to 190,150 4th-graders and 153,190 8th-graders. About 172,000 4th-graders, 162,000 8th-graders, and over 21,000 12th-graders participated in the 2005 assessment. The 2007 math assessment was administered to approximately 197,700 4th-graders and 153,000 8th-graders. The response rates were 95 percent and 92 percent, respectively. The 2009 math assessment was administered to approximately 168,800 4th-graders and 161,700 8th-graders. The response rates were 95 percent and 93 percent, respectively.

In 2000, a reading assessment was administered to 77,910 4th-graders. The response rate was 96 percent. In 2002, a reading assessment was administered to 140,490 4th-graders, 115,180 8th-graders, and 14,720 12th-graders. The 2003 reading assessment was administered to 187,580 4th-graders and 155,180 8th-graders. Over 165,000 4th-graders, 159,000

8th-graders, and 21,000 12th-graders participated in the assessment in 2005. The 2007 reading assessment was administered to approximately 191,000 4th-graders and 160,700 8th-graders. The response rates were 95 percent and 92 percent, respectively. The 2009 reading assessment was administered to approximately 178,800 4th-graders and 160,900 8th-graders. The response rates were 95 percent and 93 percent, respectively.

In 1995–96, a science assessment was administered to 7,310 4th-graders, 7,770 8th-graders, and 7,540 12th-graders. The response rates were 94 percent for the 4th-graders, 94 percent for the 8th-graders, and 93 percent for the 12th-graders. In 2000, a science assessment was administered to 16,750 4th-graders, 16,840 8th-graders, and 15,880 12th-graders. The response rates were 96 percent for the 4th-graders, 92 percent for the 8th-graders, and 76 percent for the 12th-graders. More than 300,000 students in grades 4, 8, and 12 participated in the 2005 science assessment.

The 1993–94 geography assessment was administered to 5,510 4th-graders, 6,880 8th-graders, and 6,230 12th-graders. The response rates for the assessment were 93 percent for the 4th-graders, 93 percent for the 8th-graders, and 90 percent for the 12th-graders. The 2000–01 geography assessment was administered to 7,780 4th-graders, 10,040 8th-graders, and 9,660 12th-graders. The response rates were 95 percent for the 4th-graders, 93 percent for the 8th-graders, and 77 percent for the 12th-graders. The next geography assessment is scheduled for 2009–10.

The 1997–98 writing assessment was administered to 19,820 4th-graders, 20,590 8th-graders, and 19,510 12th-graders. The response rates were 95 percent for the 4th-graders, 92 percent for the 8th-graders, and 80 percent for the 12th-graders. The 2002 writing assessment was administered to 139,200 4th-graders, 118,500 8th-graders, and 18,500 12th-graders. The 2007 writing assessment was administered to 139,900 8th-graders and 27,900 12th-graders with response rates of 92 percent and 80 percent, respectively.

The 2006 U.S. history assessment, the first since 2001, was administered to over 29,000 students in grades 4, 8, and 12 nationwide. Students in public, private, Department of Defense, and Bureau of Indian Affairs schools were assessed.

The 2006 civics assessment was administered to approximately 25,000 students in grades 4, 8, and 12 nationwide. The response rates for the respective grades were 95 percent, 92 percent, and 72 percent. The previous civics assessment was in 1998.

In 2006, the first economics assessment was administered at grade 12. Results are based on a nationally representative sample of 11,500 12th-graders from 590 public and private schools. The student participation rate was 72 percent for public school students and 87 percent for private school students.

### Trial Urban District Assessments

The Trial Urban District Assessment (TUDA) is designed to explore the feasibility of using NAEP to report on the performance of public school students at the district level.

NAEP has administered the mathematics, reading, science, and writing assessments to samples of students in selected urban district public schools since 2002. The purpose of the TUDA is to allow reporting of NAEP results for large urban school districts and to allow the NAEP program to evaluate the usefulness of NAEP data to cities of different sizes and demographic compositions. The number of urban school districts participating has grown from 6 in 2002 to 18 in 2009. School districts vary in terms of whether the charter schools within their boundaries are independent of the districts. In 2007, charter schools were included in the TUDA district results if they were listed as part of the district's Local Education Agency in the NCES Common Core of Data. In 2009, charter schools were included in TUDA district results if they contribute to the district's AYP results as part of the Elementary and Secondary Education Act. This change had little or no impact on the 2007–09 average score differences of the TUDA districts. Most TUDA districts have higher combined percentages of Black and Hispanic students as well as higher percentages of low-income students than the nation as a whole.

All charter schools were included in the 2007 assessment if they were listed in the districts' Common Core of Data; however, in 2009 only those charter schools whose results were included in the Adequate Yearly Progress report were included in the TUDA results. This change had little or no impact on the 2007–09 average score differences, except for the District of Columbia at grade 8 for mathematics. The District of Columbia's 2007 grade 8 sample included 20 charter schools. All charter schools in the District of Columbia are independent of the school district, and none were included in their TUDA sample in 2009. The change in scores for the District of Columbia Public Schools that would have resulted from using comparable sample frames, i.e., excluding charter schools from the NAEP sample in both years, would have resulted in a statistically significant increase from 244 in 2007 to 251 in 2009, rather than the nonsignificant change from 248 to 251.

Information from NAEP is subject to both nonsampling and sampling errors. Two possible sources of nonsampling error are nonparticipation and instrumentation. Certain populations have been oversampled to ensure samples of sufficient size for analysis. Instrumentation nonsampling error could result from failure of the test instruments to measure what is being taught and, in turn, what the students are learning.

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## ***National Education Longitudinal Study of 1988***

The National Education Longitudinal Study of 1988 (NELS:88) was the third major secondary school student longitudinal study conducted by NCES. The two studies that preceded NELS:88—the National Longitudinal Study of the High School Class of 1972 (NLS:72) and the High School and Beyond Longitudinal Study (HS&B) in 1980—surveyed high school seniors (and sophomores in HS&B) through high school, postsecondary education, and work and family formation experiences. Unlike its predecessors, NELS:88 began with a cohort of 8th-grade students. In 1988, some 25,000 8th-graders, their parents, their teachers, and their school principals were surveyed. Follow-ups were conducted in 1990 and 1992, when a majority of these students were in the 10th and 12th grades, respectively, and then 2 years after their scheduled high school graduation, in 1994. A fourth follow-up was conducted in 2000.

NELS:88 was designed to provide trend data about critical transitions experienced by young people as they develop, attend school, and embark on their careers. It complements and strengthens state and local efforts by furnishing new information on how school policies, teacher practices, and family involvement affect student educational outcomes (i.e., academic achievement, persistence in school, and participation in postsecondary education). For the base year, NELS:88 included a multifaceted student questionnaire, four cognitive tests, a parent questionnaire, a teacher questionnaire, and a school questionnaire.

In 1990, when most of the students were in 10th grade, students, school dropouts, their teachers, and their school principals were surveyed. (Parents were not surveyed in the 1990 follow-up.) In 1992, when most of the students were in 12th grade, the second follow-up conducted surveys of students, dropouts, parents, teachers, and school principals. Also, information from the students' transcripts was collected. The 1994 survey data were collected when most sample members had completed high school. The primary goals of the 1994 survey were (1) to provide data for trend comparisons with NLS:72 and HS&B; (2) to address issues of employment and postsecondary access and choice; and (3) to ascertain how many dropouts had returned to school and by what route. The 2000 follow-up examined the educational and labor market outcomes of the 1988 cohort at a time of transition. Most had been out of high school 8 years; many had completed their postsecondary educations, were embarking on first or even second careers, and were starting families.

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## ***National Household Education Surveys Program***

The National Household Education Surveys Program (NHES) is a data collection system that is designed to address a wide range of education-related issues. Surveys have been conducted in 1991, 1993, 1995, 1996, 1999, 2001, 2003, 2005, and 2007. NHES targets specific populations for detailed data collection. It is intended to provide more detailed data on the topics and populations of interest than are collected through supplements to other household surveys.

The topics addressed by NHES:1991 were early childhood education and adult education. About 60,000 households were screened for NHES:1991. In the Early Childhood Education Survey, about 14,000 parents/guardians of 3- to 8-year-olds completed interviews about their children's early educational experiences. Included in this component were participation in nonparental care/education; care arrangements and school; and family, household, and child characteristics. In the NHES:1991 Adult Education Survey, about 9,800 people 16 years of age and older, identified as having participated in an adult education activity in the previous 12 months, were questioned about their activities. Data were collected on programs and up to four courses, including the subject matter, duration, sponsorship, purpose, and cost. Information on the household and the adult's background and current employment was also collected.

In NHES:1993, nearly 64,000 households were screened. Approximately 11,000 parents of 3- to 7-year-olds completed interviews for the School Readiness Survey. Topics included the developmental characteristics of preschoolers; school adjustment and teacher feedback to parents for kindergartners and primary students; center-based program participation; early school experiences; home activities with family members; and health status. In the School Safety and Discipline Survey, about 12,700 parents of children in grades 3 to 12 and about 6,500 youth in grades 6 to 12 were interviewed about their school experiences. Topics included the school learning environment, discipline policy, safety at school, victimization, the availability and use of alcohol/drugs, and alcohol/drug education. Peer norms for behavior in school and substance use were also included in this topical component. Extensive family and household background information was collected, as well as characteristics of the school attended by the child.

In NHES:1995, the Early Childhood Program Participation Survey and the Adult Education Survey were similar to those fielded in 1991. In the Early Childhood component, about 14,000 parents of children from birth to third grade were interviewed out of 16,000 sampled, for a completion rate of 90 percent. In the Adult Education Survey, about 25,000 adults were sampled and 80 percent (20,000) completed the interview.

NHES:1996 covered parent and family involvement in education and civic involvement. Data on homeschooling and school choice also were collected. The 1996 survey screened about 56,000 households. For the Parent and Family

Involvement in Education Survey, nearly 21,000 parents of children in grades 3 to 12 were interviewed. For the Civic Involvement Survey, about 8,000 youth in grades 6 to 12, about 9,000 parents, and about 2,000 adults were interviewed. The 1996 survey also addressed public library use. Adults in almost 55,000 households were interviewed to support state-level estimates of household public library use.

NHES:1999 collected end-of-decade estimates of key indicators from the surveys conducted throughout the 1990s. Approximately 60,000 households were screened for a total of about 31,000 interviews with parents of children from birth through grade 12 (including about 6,900 infants, toddlers, and preschoolers) and adults age 16 or older not enrolled in grade 12 or below. Key indicators included participation of children in nonparental care and early childhood programs, school experiences, parent/family involvement in education at home and at school, youth community service activities, plans for future education, and adult participation in educational activities and community service.

NHES:2001 included two surveys that were largely repeats of similar surveys included in earlier NHES collections. The Early Childhood Program Participation Survey was similar in content to the Early Childhood Program Participation Survey fielded as part of NHES:1995, and the Adult Education and Lifelong Learning Survey was similar in content to the Adult Education Survey of NHES:1995. The Before- and After-School Programs and Activities Survey, while containing items fielded in earlier NHES collections, had a number of new items that collected information about what school-age children were doing during the time they spent in child care or in other activities, what parents were looking for in care arrangements and activities, and parent evaluations of care arrangements and activities. Parents of approximately 6,700 children from birth through age 6 who were not yet in kindergarten completed Early Childhood Program Participation Survey interviews. Nearly 10,900 adults completed Adult Education and Lifelong Learning Survey interviews, and parents of nearly 9,600 children in kindergarten through grade 8 completed Before- and After-School Programs and Activities Survey interviews.

NHES:2003 included two surveys: the Parent and Family Involvement in Education Survey and the Adult Education for Work-Related Reasons Survey (the first administration). Whereas previous adult education surveys were more general in scope, this survey had a narrower focus on occupation-related adult education programs. It collected in-depth information about training and education in which adults participated specifically for work-related reasons, either to prepare for work or a career or to maintain or improve work-related skills and knowledge they already had. The Parent and Family Involvement Survey expanded on the first survey fielded on this topic in 1996. In 2003, screeners were completed with 32,050 households. About 12,700 of the 16,000 sampled adults completed the Adult Education for Work-Related Reasons Survey, for a response rate of 76 percent. For the Parent and Family Involvement in Education Survey, interviews were completed by the parents of about

12,400 of the 14,900 sampled children in kindergarten through grade 12, yielding a unit response rate of 83 percent.

NHES:2005 included surveys that covered adult education, early childhood program participation, and after-school programs and activities. Data were collected from about 8,900 adults for the Adult Education Survey, from parents of about 7,200 children for the Early Childhood Program Participation Survey, and from parents of nearly 11,700 children for the After-School Programs and Activities Survey. These surveys were substantially similar to the surveys conducted in 2001, with the exceptions that the Adult Education Survey addressed a new topic, informal learning activities for personal interest, and the Early Childhood Program Participation Survey and After-School Programs and Activities Survey did not collect information about before-school care for school-age children.

NHES:2007 fielded the Parent and Family Involvement in Education Survey and the School Readiness Survey. These surveys were similar in design and content to surveys included in the 2003 and 1993 collections, respectively. New features added to the Parent and Family Involvement Survey were questions about supplemental education services provided by schools and school districts (including use of and satisfaction with such services), as well as questions that would efficiently identify the school attended by the sampled students. New features added to the School Readiness Survey were questions that collected details about TV programs watched by the sampled children. For the Parent and Family Involvement Survey, interviews were completed with parents of 10,680 sampled children in kindergarten through grade 12, including 10,370 students enrolled in public or private schools and 310 homeschooled children. For the School Readiness Survey, interviews were completed with parents of 2,630 sampled children ages 3 to 6 and not yet in kindergarten. Parents who were interviewed about children in kindergarten through second grade for the Parent and Family Involvement Survey were also asked some questions about these children's school readiness.

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<http://nces.ed.gov/nhes>

### ***National Longitudinal Study of the High School Class of 1972***

The National Longitudinal Study of the High School Class of 1972 (NLS:72) began with the collection of base-year survey data from a sample of about 19,000 high school seniors in the spring of 1972. Five follow-up surveys of

these students were conducted in 1973, 1974, 1976, 1979, and 1986. NLS:72 was designed to provide the education community with information on the transitions of young adults from high school through postsecondary education and the workplace.

In addition to the follow-ups, a number of supplemental data collection efforts were made. For example, a Postsecondary Education Transcript Study (PETS) was conducted in 1984; in 1986, the fifth follow-up included a supplement for those who became teachers.

The sample design for NLS:72 was a stratified, two-stage probability sample of 12th-grade students from all schools, public and private, in the 50 states and the District of Columbia during the 1971–72 school year. During the first stage of sampling, about 1,070 schools were selected for participation in the base-year survey. As many as 18 students were selected at random from each of the sample schools. The sizes of both the school and student samples were increased during the first follow-up survey. Beginning with the first follow-up and continuing through the fourth follow-up, about 1,300 schools participated in the survey and slightly fewer than 23,500 students were sampled. The response rates for each of the different rounds of data collection were 80 percent or higher.

Sample retention rates across the survey years were quite high. For example, of the individuals responding to the base-year questionnaire, the percentages who responded to the first, second, third, and fourth follow-up questionnaires were about 94, 93, 89, and 83 percent, respectively.

Further information on NLS:72 may be obtained from

Aurora D'Amico  
Postsecondary, Adult, and Career Education Division  
Postsecondary Cooperative System, Analysis, and Dissemination Program  
National Center for Education Statistics  
1990 K Street NW  
Washington, DC 20006  
[aurora.damico@ed.gov](mailto:aurora.damico@ed.gov)  
<http://nces.ed.gov/surveys/nls72>

### **National Postsecondary Student Aid Study**

The National Postsecondary Student Aid Study (NPSAS) is a comprehensive nationwide study of how students and their families pay for postsecondary education. It covers nationally representative samples of undergraduates, graduates, and first-professional students in the 50 states, the District of Columbia, and Puerto Rico, including students attending less-than-2-year institutions, community colleges, 4-year colleges, and major universities. Participants include students who do not receive aid and those who do receive financial aid. Study results are used to help guide future federal policy regarding student financial aid. NPSAS was conducted every 3 years. Beginning with the 1999–2000 study, NPSAS is conducted every 4 years. NPSAS:08 included a

new set of instrument items to obtain baseline measures of the awareness of two new federal grants introduced in 2006: the Academic Competitiveness Grant (ACG) and the National Science and Mathematics Access to Retain Talent (SMART) grant.

The first NPSAS was conducted during the 1986–87 school year. Data were gathered from about 1,100 colleges, universities, and other postsecondary institutions; 60,000 students; and 14,000 parents. These data provided information on the cost of postsecondary education, the distribution of financial aid, and the characteristics of both aided and nonaided students and their families.

For NPSAS:93, information on 77,000 undergraduates and graduate students enrolled during the school year was collected at 1,000 postsecondary institutions. The sample included students who were enrolled at any time between July 1, 1992, and June 30, 1993. About 66,000 students and a subsample of their parents were interviewed by telephone. NPSAS:96 contained information on more than 48,000 undergraduate and graduate students from about 1,000 postsecondary institutions who were enrolled at any time during the 1995–96 school year. NPSAS:2000 included nearly 62,000 students (50,000 undergraduates and almost 12,000 graduate students) from 1,000 postsecondary institutions. NPSAS:04 collected data on about 80,000 undergraduates and 11,000 graduate students from 1,400 postsecondary institutions. For NPSAS:08, about 114,000 undergraduate students and 14,000 graduate students who were enrolled in postsecondary education during the 2007–08 school year were selected from more than 1,730 postsecondary institutions. The next cycle of NPSAS is scheduled for the 2011–12 school year.

Further information on NPSAS may be obtained from

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National Center for Education Statistics  
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[aurora.damico@ed.gov](mailto:aurora.damico@ed.gov)  
[tracy.hunt-white@ed.gov](mailto:tracy.hunt-white@ed.gov)  
<http://nces.ed.gov/npsas>

### **National Study of Postsecondary Faculty**

The National Study of Postsecondary Faculty (NSOPF) was designed to provide data about faculty to postsecondary researchers, planners, and policymakers. NSOPF is the most comprehensive study of faculty in postsecondary education institutions ever undertaken.

The first cycle of NSOPF (NSOPF:88) was conducted by NCES with support from the National Endowment for the Humanities (NEH) in 1987–88 with a sample of 480 colleges and universities, over 3,000 department chairpeople, and over 11,000 instructional faculty. The second cycle of NSOPF (NSOPF:93) was conducted by NCES with support from NEH and the National Science Foundation in 1992–93.

NSOPF:93 was limited to surveys of institutions and faculty, but with a substantially expanded sample of 970 colleges and universities and 31,350 faculty and instructional staff. The third cycle, NSPOF:99, included 960 degree-granting postsecondary institutions and approximately 18,000 faculty and instructional staff. The fourth cycle of NSOPF was conducted in 2003–04 and included 1,080 degree-granting postsecondary institutions and approximately 26,000 faculty and instructional staff.

Further information on NSOPF may be obtained from

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Linda J. Zimbler  
Postsecondary, Adult, and Career Education Division  
Postsecondary Longitudinal and Sample Studies Program  
National Center for Education Statistics  
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Washington, DC 20006  
[aurora.damico@ed.gov](mailto:aurora.damico@ed.gov)  
[linda.zimbler@ed.gov](mailto:linda.zimbler@ed.gov)  
<http://nces.ed.gov/surveys/nsopf>

### **Private School Universe Survey**

The purposes of the Private School Universe Survey (PSS) data collection activities are (1) to build an accurate and complete list of private schools to serve as a sampling frame for NCES sample surveys of private schools and (2) to report data on the total number of private schools, teachers, and students in the survey universe. Begun in 1989, the PSS has been conducted every 2 years, and data for the 1989–90, 1991–92, 1993–94, 1995–96, 1997–98, 1999–2000, 2001–02, 2003–04, 2005–2006, and 2007–08 school years have been released.

The PSS produces data similar to that of the CCD for public schools, and can be used for public-private comparisons. The data are useful for a variety of policy and research-relevant issues, such as the growth of religiously affiliated schools, the number of private high school graduates, the length of the school year for various private schools, and the number of private school students and teachers.

The target population for this universe survey is all private schools in the United States that meet the PSS criteria of a private school (i.e., the private school is an institution that provides instruction for any of grades K through 12, has one or more teachers to give instruction, is not administered by a public agency, and is not operated in a private home). The survey universe is composed of schools identified from a variety of sources. The main source is a list frame initially developed for the 1989–90 PSS. The list is updated regularly by matching it with lists provided by nationwide private school associations, state departments of education, and other national guides and sources that list private schools. The other source is an area frame search in approximately 124 geographic areas, conducted by the U.S. Census Bureau.

Further information on the PSS may be obtained from

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Elementary/Secondary and Libraries Studies Division  
Elementary/Secondary Sample Survey Studies Program  
National Center for Education Statistics  
1990 K Street NW  
Washington, DC 20006  
[stephen.broughman@ed.gov](mailto:stephen.broughman@ed.gov)  
<http://nces.ed.gov/surveys/pss>

### **Projections of Education Statistics**

Since 1964, NCES has published projections of key statistics for elementary and secondary schools and institutions of higher education. The latest report is titled *Projections of Education Statistics to 2019* (NCES 2011-017). These projections include statistics for enrollments, instructional staff, graduates, earned degrees, and expenditures. These reports include several alternative projection series and a methodology section describing the techniques and assumptions used to prepare them. Data in this edition of the *Digest* reflect the middle alternative projection series, except where specifically noted.

Differences between the reported and projected values are, of course, almost inevitable. An evaluation of past projections revealed that, at the elementary and secondary level, projections of enrollments have been quite accurate: mean absolute percentage differences for enrollment ranged from 0.3 to 1.3 percent for projections from 1 to 5 years in the future, while those for teachers were less than 3 percent. At the higher education level, projections of enrollment have been fairly accurate: mean absolute percentage differences were 5 percent or less for projections from 1 to 5 years into the future.

Further information on *Projections of Education Statistics* may be obtained from

William Hussar  
Early Childhood, International, and Crosscutting Studies  
Division  
Annual Reports Program  
National Center for Education Statistics  
1990 K Street NW  
Washington, DC 20006  
[william.hussar@ed.gov](mailto:william.hussar@ed.gov)  
<http://nces.ed.gov/annuals>

### **Recent College Graduates Study**

Between 1976 and 1991, NCES conducted periodic surveys of baccalaureate and master's degree recipients 1 year after graduation with the Recent College Graduates (RCG) Study. The RCG Study—which has been replaced by the Baccalaureate and Beyond Longitudinal Study (B&B) (see listing above)—concentrated on those graduates entering the teaching profession. The study linked respondents' major field of study with outcomes such as whether the respondent entered the labor force or was seeking additional education.

Labor force data collected included employment status (unemployed, employed part time, or employed full time), occupation, salary, career potential, relation to major field of study, and need for a college degree. To obtain accurate results on teachers, graduates with a major in education were oversampled. The last two studies oversampled education majors and increased the sampling of graduates with majors in other fields.

For each of the selected institutions, a list of graduates by major field of study was obtained, and a sample of graduates was drawn by major field of study. Graduates in certain major fields of study (e.g., education, mathematics, and physical sciences) were sampled at higher rates than were graduates in other fields. Roughly 1 year after graduation, the sample of graduates was located, contacted by mail or telephone, and asked to respond to the questionnaire.

The locating process was more detailed than that in most surveys. Nonresponse rates were directly related to the time, effort, and resources used in locating graduates, rather than to graduates' refusals to participate. Despite the difficulties in locating graduates, RCG response rates are comparable to studies that do not face problems locating their sample membership.

The 1976 study of 1974–75 college graduates was the first, and smallest, of the series. The sample consisted of about 210 schools, of which 200 (96 percent) responded. Of the approximately 5,850 graduates in the sample, 4,350 responded, for a response rate of 79 percent.

The 1981 study was somewhat larger, covering about 300 institutions and 15,850 graduates. Responses were obtained from 280 institutions, for an institutional response rate of 95 percent, and from 9,310 graduates (about 720 others were found not to meet eligibility requirements), for a response rate of 74 percent.

The 1985 study sampled about 400 colleges and 18,740 graduates, of whom 17,850 were found to be eligible. Responses were obtained from 13,200 graduates, for a response rate of 78 percent. The response rate for colleges was 98 percent. The 1987 study sampled 21,960 graduates. Responses were received from 16,880, for a response rate of nearly 80 percent.

The 1991 study sampled about 18,140 graduates of 400 bachelor's and master's degree-granting institutions, including 16,170 bachelor's degrees recipients and 1,960 master's degree recipients receiving diplomas between July 1, 1989, and June 30, 1990. Random samples of graduates were selected from lists stratified by field of study. Graduates in education, mathematics, and the physical sciences were sampled at a higher rate, as were graduates of various racial/ethnic groups, to provide a sufficient number of these graduates for analysis purposes. The graduates included in the sample were selected in proportion to the institution's number of graduates. The institutional response rate was 95 percent, and the graduate response rate was 83 percent.

Appendix table A-3 contains respondent counts for number of graduates, by field of study, for the 1976, 1981, 1985, 1987, and 1991 surveys.

Further information on the RCG Study may be obtained from

Aurora D'Amico  
Postsecondary, Adult, and Career Education Division  
Postsecondary Cooperative System, Analysis, and Dissemination Program  
National Center for Education Statistics  
1990 K Street NW  
Washington, DC 20006  
[aurora.damico@ed.gov](mailto:aurora.damico@ed.gov)  
<http://nces.ed.gov/surveys/b&b>

### ***School Survey on Crime and Safety***

The most recent School Survey on Crime and Safety (SSOCS) for which data are available was conducted by NCES in spring/summer of the 2007–08 school year. SSOCS focuses on incidents of specific crimes/offenses and a variety of specific discipline issues in public schools. It also covers characteristics of school policies, school violence prevention programs and policies, and school characteristics that have been associated with school crime. The survey was conducted with a nationally representative sample of regular public elementary, middle, and high schools in the 50 states and the District of Columbia. Special education, alternative, and vocational schools; schools in the other jurisdictions; and schools that taught only prekindergarten, kindergarten, or adult education were not included in the sample.

The sampling frame for the 2008 SSOCS was constructed from the public school universe file created for the 2007–08 Schools and Staffing Survey, which was derived from the 2005–06 Common Core of Data (CCD) Public Elementary/Secondary School Universe File. The sample was stratified by instructional level, type of locale (urbanicity), and enrollment size. The sample of schools in each instructional level was allocated to each of the 16 cells formed by the cross-classification of the four categories of enrollment size and four types of locale. The sample was allocated to each subgroup in proportion to the sum of the square roots of the total student enrollment in each school in that stratum. The effective sample size within each stratum was then inflated to account for nonresponse. Once the final sample sizes were determined for each of the 64 strata, the subgroups were sorted by region and racial/ethnic composition of enrollment, and an initial sample of 3,480 schools was selected. Of those schools, 2,560 completed the survey. In February 2008, questionnaires were mailed to school principals, who were asked to complete the survey or to have it completed by the person at the school most knowledgeable about discipline issues. The weighted overall response rate was 77.2 percent, and item nonresponse rates ranged from 0 to 28.0 percent. A nonresponse bias analysis was conducted on the 13 items with weighted item nonresponse rates greater than 15 percent, and it was determined that the increased potential for bias in these items was not enough to warrant their exclusion from the data file. Weights were developed to adjust for the variable probabil-

ities of selection and differential nonresponse and can be used to produce national estimates for regular public schools in the 2007–08 school year.

For more information about the SSOCS, contact

Monica Hill  
Elementary/Secondary and Libraries Studies Division  
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1990 K Street NW  
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<http://nces.ed.gov/surveys/ssocs>

### **Schools and Staffing Survey**

The Schools and Staffing Survey (SASS) is a set of linked questionnaires used to collect data on the nation's public and private elementary and secondary teaching force, characteristics of schools and school principals, demand for teachers, and school/school district policies. SASS data are collected through a mail questionnaire with telephone follow-up. SASS was first conducted for NCES by the Census Bureau during the 1987–88 school year. SASS subsequently was conducted in 1990–91, 1993–94, 1999–2000, 2003–04, and 2007–08. The 1990–91, 1993–94, 1999–2000, 2003–04, and 2007–08 SASS also obtained data on Bureau of Indian Education (BIE) schools (schools funded or operated by the BIE). The universe of charter schools in operation in 1998–99 was given the Charter School Questionnaire to complete as part of the 1999–2000 SASS. In subsequent SASS administrations, charter schools were not administered a separate questionnaire, but were included in the public school sample. Another change in the 2003–04 administration included a revised data collection methodology using a primary in-person contact with the school with the aim of reducing the field follow-up phase. Also, school library media centers were surveyed only in the public and BIE schools. (See discussion on the School Library Media Centers Survey in “Library Statistics Program,” above.)

The 2007–08 SASS estimates are based on a sample consisting of approximately 9,800 public schools, 2,940 private schools, and 180 BIE schools. The public school sample for the 2007–08 SASS was based on an adjusted public school universe file from the 2005–06 Common Core of Data (CCD), a database of all the nation's public school districts and public schools. The sampling frame includes regular public schools, Department of Defense-operated military base schools in the United States, and other schools such as special education, vocational, and alternative schools. SASS is designed to provide national estimates for public and private school characteristics and state estimates for school districts, public schools, principals, and teachers. In addition, the teacher survey is designed to allow comparisons between new and experienced teachers and between bilingual/English as a second language (ESL) teachers and other teachers.

The BIE sample consisted of all BIE schools that met the SASS definition of a school.

The private school sample for the 2007–08 SASS was selected from the 2005–06 Private School Universe Survey, supplemented with updates from state lists collected by the Census Bureau and lists by private school associations and religious denominations. Private school estimates are available at the national level and by private school affiliation.

In 2007–08, the weighted response rate for the Public School District Questionnaire was 87.8 percent. Weighted response rates for the Public School Principal Questionnaire, the Private School Principal Questionnaire, and the BIE-funded School Principal Questionnaire were 79.4 percent, 72.2 percent, and 79.2 percent, respectively.

Weighted response rates in 2007–08 for the Public School Questionnaire, the Private School Questionnaire, and the BIE-funded School Questionnaire were 80.4 percent, 75.9 percent, and 77.1 percent, respectively. The weighted overall response rates were 84.0 percent for public school teachers, 77.5 percent for private school teachers, and 81.8 percent for BIE-funded school teachers.

The Data Analysis System at <http://nces.ed.gov/surveys/sass/das.asp> may be used to access public school, public teacher, private school, and private teacher public-use data. There is also a methodology report on SASS, the *Quality Profile for SASS, Rounds 1–3: 1987–1995, Aspects of the Quality of Data in the Schools and Staffing Surveys (SASS)* (NCES 2000-308).

Further information on SASS may be obtained from

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National Center for Education Statistics  
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Washington, DC 20006  
[kerry.gruber@ed.gov](mailto:kerry.gruber@ed.gov)  
<http://nces.ed.gov/surveys/sass>

### **Teacher Follow-up Survey**

The Teacher Follow-up Survey (TFS) is a SASS survey whose purpose is to determine how many teachers remain at the same school, move to another school, or leave the profession in the year following a SASS administration. It is administered to elementary and secondary teachers in the 50 states and the District of Columbia. The TFS uses two questionnaires, one for teachers who left teaching since the previous SASS administration and another for those who are still teaching either in the same school as last year or in a different school. The objective of the TFS is to focus on the characteristics of each group in order to answer questions about teacher mobility and attrition.

The 2008–09 TFS is different from any previous TFS administration in that it also serves as the second wave of a longitudinal study of first-year teachers. Because of this, the 2008–09 TFS consists of four questionnaires. Two are for



respondents who were first-year public school teachers in the 2007–08 SASS and two are for the remainder of the sample.

Further information on the TFS may be obtained from

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[freddie.cross@ed.gov](mailto:freddie.cross@ed.gov)  
[http://nces.ed.gov/surveys/sass/ovrv\\_whatstfs.asp](http://nces.ed.gov/surveys/sass/ovrv_whatstfs.asp)

## Other Department of Education Agencies

### National Center for Special Education Research (NCSER)

The National Center for Special Education Research (NCSER) was created as part of the reauthorization of the Individuals with Disabilities Education Act (IDEA). NCSER sponsors a program of special education research designed to expand the knowledge and understanding of infants, toddlers, and children with disabilities. NCSER funds programs of research that address its mission. In order to determine which programs work, as well as how, why and in what settings, NCSER sponsors research on the needs of infants, toddlers, and children with disabilities and evaluates the effectiveness of services provided through IDEA.

### *The National Longitudinal Transition Study-2*

The National Longitudinal Transition Study-2 (NLTS-2) is a follow-up of the original National Longitudinal Transition Study conducted from 1985 through 1993. NLTS-2 began in 2001 with a sample of special education students who were ages 13 through 16 and in at least 7th grade on December 1, 2000. The study will continue for 10 years and is designed to provide a national picture of these youths' experiences and achievements as they transition into adulthood. Data will be collected from parents, youth, and schools by survey, telephone interviews, student assessments, and transcripts.

NLTS-2 is designed to align with the original NLTS by including many of the same questions and data items, thus allowing comparisons between the NLTS and NLTS-2 youths' experiences. NLTS-2 also includes items that have been collected in other national databases to permit comparisons between NLTS-2 youth and the general youth population. Data is currently available for Waves 1 through 5.

Further information on NLTS-2 may be obtained from

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<http://www.nlts2.org/>

## Office for Civil Rights

### *Civil Rights Data Collection*

The U.S. Department of Education's Office for Civil Rights (OCR) has surveyed the nation's public elementary and secondary schools since 1968. The survey was first known as the OCR Elementary and Secondary School (E&S) Survey; in 2004, it was renamed the Civil Rights Data Collection. The survey provides information about the enrollment of students in public schools in every state and about some education services provided to those students. These data are reported by race/ethnicity, sex, and disability.

Data in the survey are collected pursuant to 34 C.F.R. Section 100.6(b) of the Department of Education regulation implementing Title VI of the Civil Rights Act of 1964. The requirements are also incorporated by reference in Department regulations implementing Title IX of the Education Amendments of 1972, Section 504 of the Rehabilitation Act of 1973, and the Age Discrimination Act of 1975. School, district, state, and national data are currently available. Data from individual public schools and districts are used to generate projected national and state data.

In recent surveys, the sample has been approximately 6,000 districts and 60,000 schools; however, in 2000, data were collected from all public school districts. In sample surveys, the following districts are sampled with certainty: districts having more than 25,000 students; all districts in states having 25 or fewer public school districts; and districts subject to federal court order and monitored by the U.S. Department of Justice. The survey is conducted biennially (with few exceptions). Data currently are available from the 2006 survey.

Data marked with an exclamation point (!) have a nonresponse rate of more than 30 percent. Numbers should be used with caution due to large statistical uncertainty in the estimate. The methodology for flagging "large statistical uncertainties" is based on a standard error for each projected item.

Further information on the Civil Rights Data Collection can be obtained from

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Office for Civil Rights  
U.S. Department of Education  
550 12th Street SW  
Washington, DC 20202  
[mary.schifferli@ed.gov](mailto:mary.schifferli@ed.gov)  
<http://www.ed.gov/about/offices/list/ocr/data.html>

## Office of Special Education Programs

### ***Annual Report to Congress on the Implementation of the Individuals With Disabilities Education Act***

The Individuals With Disabilities Education Act (IDEA), formerly the Education of the Handicapped Act (EHA), requires the Secretary of Education to transmit to Congress annually a report describing the progress made in serving the nation's children with disabilities. This annual report contains information on children served by public schools under the provisions of Part B of the IDEA and on children served in state-operated programs for the disabled under Chapter I of the Elementary and Secondary Education Act.

Statistics on children receiving special education and related services in various settings and school personnel providing such services are reported in an annual submission of data to the Office of Special Education Programs (OSEP) by the 50 states, the District of Columbia, and the outlying areas. The child count information is based on the number of children with disabilities receiving special education and related services on December 1 of each year. Count information is available from <http://www.ideadata.org>.

Since each participant in programs for the disabled is reported to OSEP, the data are not subject to sampling error. However, nonsampling error can arise from a variety of sources. Some states follow a noncategorical approach to the delivery of special education services, but produce counts of students by disabling condition because Part B of the EHA requires it. In those states that do categorize their disabled students, definitions and labeling practices vary.

Further information on this annual report to Congress may be obtained from

Office of Special Education Programs  
Office of Special Education and Rehabilitative Services  
U.S. Department of Education  
400 Maryland Ave., SW  
Washington, DC 20202-7100  
<http://www.ed.gov/about/reports/annual/osep/index.html>  
<http://www.ideadata.org>

## Office of Vocational and Adult Education, Division of Adult Education and Literacy

### ***Enrollment Data for State-Administered Adult Education Programs***

The Division of Adult Education and Literacy (DAEL) promotes programs that help American adults get the basic skills they need to be productive workers, family members, and citizens. The major areas of support are Adult Basic Education, Adult Secondary Education, and English Language Acquisition. These programs emphasize basic skills such as reading, writing, math, English language compe-

ncy, and problem solving. Each year, DAEL reports enrollment numbers in state-administered adult education programs for these major areas of support for all 50 states, the District of Columbia, and the eight U.S. jurisdictions (American Samoa, the Federated States of Micronesia, Guam, the Marshall Islands, the Northern Marianas, Palau, Puerto Rico, and the U.S. Virgin Islands).

Further information on DAEL may be obtained from

Office of Vocational and Adult Education  
Division of Adult Education and Literacy  
U.S. Department of Education  
400 Maryland Avenue SW  
Washington, DC 20202  
<http://www.ed.gov/about/offices/list/ovae/pi/AdultEd/>

## Other Governmental Agencies

### Bureau of Labor Statistics

#### ***Consumer Price Indexes***

The Consumer Price Index (CPI) represents changes in prices of all goods and services purchased for consumption by urban households. Indexes are available for two population groups: a CPI for All Urban Consumers (CPI-U) and a CPI for Urban Wage Earners and Clerical Workers (CPI-W). Unless otherwise specified, data in the *Digest* are adjusted for inflation using the CPI-U. These values are frequently adjusted to a school-year basis by averaging the July through June figures. Price indexes are available for the United States, the four Census regions, size of city, cross-classifications of regions and size classes, and 26 local areas. The major uses of the CPI include as an economic indicator, as a deflator of other economic series, and as a means of adjusting income.

Also available is the Consumer Price Index research series using current methods (CPI-U-RS), which presents an estimate of the CPI-U from 1978 to the present that incorporates most of the improvements that the Bureau of Labor Statistics has made over that time span into the entire series. The historical price index series of the CPI-U does not reflect these changes, though these changes do make the present and future CPI more accurate. The limitations of the CPI-U-RS include considerable uncertainty surrounding the magnitude of the adjustments and the several improvements in the CPI that have not been incorporated into the CPI-U-RS for various reasons. Nonetheless, the CPI-U-RS can serve as a valuable proxy for researchers needing a historical estimate of inflation using current methods.

Further information on consumer price indexes may be obtained from

Bureau of Labor Statistics  
U.S. Department of Labor  
2 Massachusetts Avenue NE  
Washington, DC 20212  
<http://www.bls.gov/cpi>

## **Employment and Unemployment Surveys**

Statistics on the employment and unemployment status of the population and related data are compiled by the Bureau of Labor Statistics (BLS) using data from the Current Population Survey (CPS) (see below) and other surveys. The Current Population Survey, a monthly household survey conducted by the U.S. Census Bureau for the Bureau of Labor Statistics, provides a comprehensive body of information on the employment and unemployment experience of the nation's population, classified by age, sex, race, and various other characteristics.

Further information on unemployment surveys may be obtained from

Bureau of Labor Statistics  
U.S. Department of Labor  
2 Massachusetts Avenue NE  
Washington, DC 20212  
[cpsinfo@bls.gov](mailto:cpsinfo@bls.gov)  
<http://www.bls.gov/bls/employment.htm>

## **Census Bureau**

### ***Census of Population—Education in the United States***

Some tables in this report are based on a part of the decennial census that consists of questions asked of a one-in-six sample of people and housing units in the United States. This sample was asked more detailed questions about income, occupation, and housing costs, in addition to general demographic information.

*School enrollment.* People classified as enrolled in school reported attending a “regular” public or private school or college. They were asked whether the institution they attended was public or private and what level of school they were enrolled in.

*Educational attainment.* Data for educational attainment were tabulated for people ages 15 and older and classified according to the highest grade completed or the highest degree received. Instructions were also given to include the level of the previous grade attended or the highest degree received for people currently enrolled in school.

*Poverty status.* To determine poverty status, answers to income questions were used to make comparisons to the appropriate poverty threshold. All people except those who were institutionalized, people in military group quarters and college dormitories, and unrelated people under age 15 were considered. If the total income of each family or unrelated individual in the sample was below the corresponding cut-off, that family or individual was classified as “below the poverty level.”

Further information on the 1990 and 2000 Census of Population may be obtained from

Population Division  
Census Bureau  
U.S. Department of Commerce  
4600 Silver Hill Road  
Washington, DC 20233  
<http://www.census.gov/main/www/cen1990.html>  
<http://www.census.gov/main/www/cen2000.html>

## **Current Population Survey**

The Current Population Survey (CPS) is a monthly survey of about 60,000 households conducted by the U.S. Census Bureau for the Bureau of Labor Statistics. The CPS is the primary source of information of labor force statistics for the U.S. noninstitutionalized population (e.g., excludes military personnel and their families living on bases and inmates of institutions). In addition, supplemental questionnaires are used to provide further information about the U.S. population. Specifically, in October, detailed questions regarding school enrollment and school characteristics are asked. In March, detailed questions regarding income are asked.

The current sample design, introduced in July 2001, includes about 72,000 households. Each month about 58,900 of the 72,000 households are eligible for interview, and of those, 7 to 10 percent are not interviewed because of temporary absence or unavailability. Information is obtained each month from those in the household who are 15 years of age and older and demographic data are collected for children 0–14 years of age. Prior to July 2001, data were collected in the CPS from about 50,000 dwelling units. The samples are initially selected based on the decennial census files and are periodically updated to reflect new housing construction.

The estimation procedure employed for monthly CPS data involves inflating weighted sample results to independent estimates of characteristics of the civilian noninstitutional population in the United States by age, sex, and race. These independent estimates are based on statistics from decennial censuses; statistics on births, deaths, immigration, and emigration; and statistics on the population in the armed services. Generalized standard error tables are provided in the *Current Population Reports*; methods for deriving standard errors can be found within the CPS technical documentation at <http://www.census.gov/apsd/techdoc/cps/cps-main.html>. The CPS data are subject to both nonsampling and sampling errors.

Caution should also be used when comparing data from 1994 through 2001 with data from 1993 and earlier. Data from 1994 through 2001 reflect 1990 census-based population controls, while data from 1993 and earlier reflect 1980 or earlier census-based population controls. Also use caution when comparing data from 1994 through 2001 with data from 2002 onward, as data from 2002 reflect 2000 census-based controls. Changes in population controls generally

have relatively little impact on summary measures such as means, medians, and percentage distributions. They can have a significant impact on population counts. For example, use of the 1990 census-based population control resulted in about a 1 percent increase in the civilian noninstitutional population and in the number of families and households. Thus, estimates of levels for data collected in 1994 and later years will differ from those for earlier years by more than what could be attributed to actual changes in the population. These differences could be disproportionately greater for certain subpopulation groups than for the total population.

Further information on CPS may be obtained from

Education and Social Stratification Branch  
Population Division  
Census Bureau  
U.S. Department of Commerce  
4600 Silver Hill Road  
Washington, DC 20233  
<http://www.census.gov/cps>

### Dropouts

Each October, the Current Population Survey (CPS) includes supplemental questions on the enrollment status of the population ages 3 years and over as part of the monthly basic survey on labor force participation. In addition to gathering the information on school enrollment, with the limitations on accuracy as noted below under “School Enrollment,” the survey data permit calculations of dropout rates. Both status and event dropout rates are tabulated from the October CPS. The *Digest* provides information using the status rate calculation. Event rates describe the proportion of students who leave school each year without completing a high school program. Status rates provide cumulative data on dropouts among all young adults within a specified age range. Status rates are higher than event rates because they include all dropouts ages 16 through 24, regardless of when they last attended school.

In addition to other survey limitations, dropout rates may be affected by survey coverage and exclusion of the institutionalized population. The incarcerated population has grown more rapidly and has a higher dropout rate than the general population. Dropout rates for the total population might be higher than those for the noninstitutionalized population if the prison and jail populations were included in the dropout rate calculations. On the other hand, if military personnel, who tend to be high school graduates, were included, it might offset some or all of the impact from the theoretical inclusion of the jail and prison population.

Another area of concern with tabulations involving young people in household surveys is the relatively low coverage ratio compared to older age groups. CPS undercoverage results from missed housing units and missed people within sample households. Overall CPS undercoverage for March 2008 is estimated to be about 12 percent. CPS undercoverage varies with age, sex, and race. Generally, undercoverage is larger for males than for females and larger for Blacks than

for non-Blacks. For example, in 2008 the undercoverage ratio for Black 20- to 24-year-old males is 30 percent. The CPS weighting procedure partially corrects for the bias due to undercoverage. Further information on CPS methodology may be obtained from <http://www.census.gov/cps>.

Further information on the calculation of dropouts and dropout rates may be obtained from *High School Dropout and Completion Rates in the United States: 2007* at <http://nces.ed.gov/pubsearch/pubsinfo.asp?pubid=2009064> or by contacting

Chris Chapman  
Early Childhood, International, and Crosscutting Studies  
Division  
Early Childhood and Household Studies Program  
National Center for Education Statistics  
1990 K Street NW  
Washington, DC 20006  
[chris.chapman@ed.gov](mailto:chris.chapman@ed.gov)

### Educational Attainment

Reports documenting educational attainment are produced by the Census Bureau using March CPS supplement (Annual Social and Economic Supplement [ASEC]) results. The sample size for the 2009 March supplement (including basic CPS) was about 72,000 households; about 58,700 were eligible for interview and about 54,100 interviews were completed. The latest release is *Educational Attainment in the United States: 2009*, which may be downloaded at <http://www.census.gov/population/www/socdemo/education/cps2009.html>.

In addition to the general constraints of CPS, some data indicate that the respondents have a tendency to overestimate the educational level of members of their household. Some inaccuracy is due to a lack of the respondent’s knowledge of the exact educational attainment of each household member and the hesitancy to acknowledge anything less than a high school education. Another cause of nonsampling variability is the change in the numbers in the armed services over the years.

The March 2009 basic CPS response rate was 92.2 percent and the ASEC household-level response rate was 93.0 percent, for a total supplement response rate of 85.8 percent.

Further information on CPS’s educational attainment may be obtained from the CPS website at

<http://www.census.gov/cps>.

Further information on CPS’s educational attainment data may be obtained from

Education and Social Stratification Branch  
Census Bureau  
U.S. Department of Commerce  
4600 Silver Hill Road  
Washington, DC 20233  
<http://www.census.gov/hhes/socdemo/education>

## School Enrollment

Each October, the Current Population Survey (CPS) includes supplemental questions on the enrollment status of the population ages 3 years and over. Prior to 2001, the October supplement consisted of approximately 47,000 interviewed households. Beginning with the October 2001 supplement, the sample was expanded by 9,000 to a total of approximately 56,000 interviewed households. The main sources of nonsampling variability in the responses to the supplement are those inherent in the survey instrument. The question of current enrollment may not be answered accurately for various reasons. Some respondents may not know current grade information for every student in the household, a problem especially prevalent for households with members in college or in nursery school. Confusion over college credits or hours taken by a student may make it difficult to determine the year in which the student is enrolled. Problems may occur with the definition of nursery school (a group or class organized to provide educational experiences for children) where respondents' interpretations of "educational experiences" vary.

The October 2009 basic CPS household-level response rate was 92.1 percent and the school enrollment supplement person-level response rate was 93.8 percent. Since these rates are determined at different levels they cannot be combined to derive an overall response rate.

Further information on CPS methodology may be obtained from <http://www.census.gov/cps>.

Further information on the CPS School Enrollment Supplement may be obtained from

Education and Social Stratification Branch  
Census Bureau  
U.S. Department of Commerce  
4600 Silver Hill Road  
Washington, DC 20233  
<http://www.census.gov/population/www/socdemo/school.html>

## **Annual Survey of State and Local Government Finances**

The Census Bureau conducts an Annual Survey of State and Local Government Finances as authorized by law under Title 13, United States Code, Section 182. Periodic surveys of government finances have been conducted since 1902 and annually since 1952. This survey covers the entire range of government finance activities: revenue, expenditure, debt, and assets. Revenues and expenditures comprise actual receipts and payments of a government and its agencies, including government-operated enterprises, utilities, and public trust funds. The expenditure-reporting categories comprise all amounts of money paid out by a government and its agencies, with the exception of amounts for debt retirement and for loan, investment, agency, and private trust transactions.

Most of the federal government statistics are based on figures that appear in *The Budget of the United States Government*. Since the classification used by the Census Bureau for reporting state and local government finance statistics

differs in a number of important respects from the classification used in the U.S. budget, it was necessary to adjust the federal data. For this report, federal budget expenditures include interest accrued, but not paid, during the fiscal year; Census data on interest are on a disbursement basis.

State government finances are based primarily on the annual Census Bureau Survey of Annual Survey of State and Local Government Finances. Census analysts compile figures from official records and reports of the state governments for most of the state financial data. States differ in the ways they administer activities; they may fund such activities directly, or they may disburse the money to a lower level government or government agency. Therefore, caution is advised when attempting to make a direct comparison between states on their state fiscal aid data.

The sample of local governments is drawn from the periodic (years ending in '2' and '7') Census of Governments and consists of certain local governments sampled with certainty plus a sample below the certainty level. Finance data for all school districts are collected on an annual basis and released through the NCES Common Core of Data system. A new sample is usually selected every 5 years (years ending in '4' and '9'), the most recent one being in fiscal year 2009. Data are available for fiscal year 2008.

The statistics in *Government Finances* that are based wholly or partly on data from the sample are subject to sampling error. State government finance data are not subject to sampling error. Estimates of major U.S. totals for local governments are subject to a computed sampling variability of less than one-half of 1 percent. The estimates are also subject to the inaccuracies in classification, response, and processing that would occur if a complete census had been conducted under the same conditions as the sample.

Further information on government finances may be obtained from

Governments Division  
Census Bureau  
U.S. Department of Commerce  
4600 Silver Hill Road  
Washington, DC 20233

Local government  
[govs.finstaff@census.gov](mailto:govs.finstaff@census.gov)

State government  
[govs.public.finance.analysis.b@census.gov](mailto:govs.public.finance.analysis.b@census.gov)  
<http://www.census.gov/govs>

## **Survey of Income and Program Participation**

The main objective of the Survey of Income and Program Participation (SIPP) is to provide accurate and comprehensive information about the income and program participation of individuals and households in the United States and about the principal determinants of income and program participation. SIPP offers detailed information on cash and noncash

income on a subannual basis. The survey also collects data on taxes, assets, liabilities, and participation in government transfer programs. SIPP data allow the government to evaluate the effectiveness of federal, state, and local programs.

The survey design is a continuous series of national panels, with sample size ranging from approximately 14,000 to 36,700 interviewed households. The duration of each panel ranges from 2 1/2 years to 4 years. The SIPP sample is a multistage-stratified sample of the U.S. civilian noninstitutionalized population. For the 1984–93 panels, a new panel of households was introduced each year in February. A 4-year panel was introduced in April 1996. A 2000 panel was introduced in February 2000 for two waves, but was cancelled after 8 months. A 2.5-year panel was introduced in February 2004 and is the first SIPP panel to use the 2000 decennial-based redesign of the sample. All household members ages 15 years and over are interviewed by self-response, if possible. Proxy response is permitted when household members are not available for interviewing. The latest panel was selected in September 2008.

The SIPP content is built around a “core” of labor force, program participation, and income questions designed to measure the economic situation of people in the United States. These questions expand the data currently available on the distribution of cash and noncash income and are repeated at each interviewing wave. The survey uses a 4-month recall period, with approximately the same number of interviews being conducted in each month of the 4-month period for each wave. Interviews are conducted by personal visit and by decentralized telephone.

The survey has been designed to also provide a broader context for analysis by adding questions on a variety of topics not covered in the core section. These questions are labeled “topical modules” and are assigned to particular interviewing waves of the survey. Topics covered by the modules include personal history, child care, wealth, program eligibility, child support, utilization and cost of healthcare, disability, school enrollment, taxes, and annual income.

Further information on the SIPP may be obtained from

Economics and Statistics Administration  
Census Bureau  
U.S. Department of Commerce  
4600 Silver Hill Road  
Washington, DC 20233  
<http://www.census.gov/sipp/overview.html>

## **Institute of Museum and Library Statistics (IMLS)**

On October 1, 2007, the administration of the Public Libraries Survey (PLS) and the State Library Agencies (StLA) Survey was transferred from the National Center for Education Statistics to the Institute of Museum and Library Statistics (IMLS). The transfer of these surveys is the result of the fiscal year 2007 President’s budget request.

## **Library Statistics**

Public library statistics were collected annually by NCES using the PLS and disseminated annually through the Federal-State Cooperative System (FSCS) for Public Library Data. Descriptive statistics were produced for over 9,200 public libraries. The PLS included information about staffing; operating income and expenditures; type of governance; type of administrative structure; size of collection; and service measures such as reference transactions, public service hours, interlibrary loans, circulation, and library visits. In FSCS, respondents supplied the information electronically, and data were edited and tabulated in machine-readable form.

The respondents were 9,200 public libraries identified in the 50 states and the District of Columbia by state library agencies. At the state level, FSCS was administered by State Data Coordinators, appointed by the Chief Officer of each State Library Agency. The State Data Coordinator collected the requested data from local public libraries and submitted these data to NCES. An annual training conference sponsored by NCES was provided for the State Data Coordinators. All 50 states and the District of Columbia submitted data for individual public libraries, which were also aggregated to state and national levels.

From 1994 through 2006, NCES conducted the StLA Survey for the 50 states and the District of Columbia. A state library agency is the official agency of a state that is charged by state law with the extension and development of public library services throughout the state and that has adequate authority under state law to administer state plans in accordance with the provisions of the Library Services and Technology Act (LSTA) of 2003. The StLA Survey collected data on services, collections, staffing, revenue, and expenditures.

Further information on the Public Library Survey and State Library Agency Survey can be obtained from

Institute of Museum and Library Services  
Office of Policy, Planning, Research, and Communication  
Research and Statistics Division  
1800 M Street NW, 9th Floor  
Washington, DC 20036-5802  
[imlsinfo@imls.gov](mailto:imlsinfo@imls.gov)  
<http://harvester.census.gov/imls/index.asp>

## **National Institute on Drug Abuse**

### ***Monitoring the Future Study***

The National Institute on Drug Abuse of the U.S. Department of Health and Human Services is the primary supporter of the long-term study entitled “Monitoring the Future: A Continuing Study of the Lifestyles and Values of Youth,” conducted by the University of Michigan Institute for Social Research. One component of the study deals with student drug abuse. Results of the national sample survey have been published annually since 1975. With the

exception of 1975, when about 9,400 students participated in the survey, the annual samples comprise roughly 16,000 students in 133 public and private schools. Students complete self-administered questionnaires given to them in their classrooms by University of Michigan personnel. Each year, 8th-, 10th-, and 12th-graders are surveyed (12th-graders since 1975, and 8th- and 10th-graders since 1991). The 8th- and 10th-grade surveys are anonymous, while the 12th-grade survey is confidential. The 10th-grade samples involve about 17,000 students in 140 schools each year, while the 8th-grade samples have approximately 18,000 students in about 150 schools. In all, approximately 50,000 students from about 420 public and private secondary schools are surveyed annually. Over the years, the response rates have varied from 87 to 91 percent, 85 to 88 percent, and 77 to 86 percent for 8th-, 10th-, and 12th-graders. Beginning with the class of 1976, a randomly selected sample from each senior class has been followed in the years after high school on a continuing basis.

Understandably, there is some reluctance to admit illegal activities. Also, students who are out of school on the day of the survey are nonrespondents, and the survey does not include high school dropouts. The inclusion of absentees and dropouts would tend to increase the proportion of individuals who had used drugs. A 1983 study found that the inclusion of absentees could increase some of the drug usage estimates by as much as 2.7 percentage points. (Details on that study and its methodology were published in *Drug Use Among American High School Students, College Students, and Other Young Adults*, by L.D. Johnston, P.M. O'Malley, and J.G. Bachman, available from the National Clearinghouse on Drug Abuse Information, 5600 Fishers Lane, Rockville, MD 20857.)

Further information on the Monitoring the Future drug abuse survey may be obtained from

National Institute on Drug Abuse  
Services and Prevention Research (DESPR)  
6001 Executive Boulevard  
Rockville, MD 20892  
[MTFinfo@isr.umich.edu](mailto:MTFinfo@isr.umich.edu)  
<http://www.monitoringthefuture.org>

## National Science Foundation

### ***Survey of Federal Funds for Research and Development***

The annual federal funds survey is the primary source of information about federal funding for research and development (R&D) in the United States. It is used by policymakers in the executive and legislative branches of the federal government in determining policies, laws, and regulations affecting science; it is also used by those who follow science trends in every sector of the economy,

including university administrators and professors, economic and political analysts, R&D managers inside and outside the government, the science press, and leading members of the science community in the United States and around the world.

The survey is completed by the 15 federal departments and their 72 subagencies and 12 independent agencies that conduct R&D programs. The sample is obtained from information in the President's budget submitted to Congress.

Federal funds data, as collected, span 3 government fiscal years: the fiscal year just completed, the current fiscal year, and the next fiscal year. Actual data are collected for the year just completed; estimates are obtained for the current fiscal year and the next fiscal year.

The data is collected and managed online; this system was designed to help improve survey reporting by offering respondents direct online reporting and editing.

The federal funds survey has a response rate of 100 percent with no known item nonresponse. The information included in this survey has been stable since fiscal year 1973, when federal obligations for research to universities and colleges by agency and detailed science and engineering fields were added to the survey.

Further information on federal funds for research and development may be obtained from

Michael Yamaner  
Research and Development Statistics Program  
Division of Science Resources Statistics  
National Science Foundation  
4201 Wilson Boulevard  
Arlington, VA 22230  
<http://www.nsf.gov/statistics>

### ***Survey of Earned Doctorates***

The Survey of Earned Doctorates (SED) has collected basic statistics from the universe of doctoral recipients in the United States each year since 1958. It is supported by six federal agencies: the National Science Foundation, in conjunction with the U.S. Department of Education; the National Endowment for the Humanities; the U.S. Department of Agriculture; the National Institutes of Health; and the National Aeronautics and Space Administration.

With the assistance of graduate deans, a survey form is distributed to each person completing the requirements for a first research doctorate. Of the 48,079 new research doctorates granted between July 1, 2007, and June 30, 2008, 92 percent of degree recipients responded. The questionnaire obtains information on sex, race/ethnicity, marital status, citizenship, disabilities, dependents, specialty field of doctorate, educational institutions attended, time spent in completion of doctorate, financial support, education debt, postgraduation plans, and educational attainment of parents.

Further information on the Survey of Earned Doctorates may be obtained from

Mark Fiegener  
 SED Project Officer  
 Human Resources Statistics Program  
 Division of Science Resources Studies  
 National Science Foundation  
 4201 Wilson Boulevard  
 Arlington, VA 22230  
[mfigene@nsf.gov](mailto:mfigene@nsf.gov)  
<http://www.nsf.gov/statistics/srvydoctorates>  
<http://www.norc.org/projects/survey+of+earned+doctorates.htm>

### ***Survey of Graduate Students and Postdoctorates in Science and Engineering***

The Survey of Graduate Students and Postdoctorates in Science and Engineering, also known as the graduate student survey (GSS), is an annual survey of all academic institutions in the U.S. and its territories that grant research-based master's degrees or doctorates, appoint postdocs, or employ doctorate-holding nonfaculty researchers in science and engineering and selected health fields. It is an institution-based survey that provides data on the number and characteristics of graduate science, engineering, and health students enrolled in approximately 600 U.S. academic institutions.

Data for the 2008 GSS were collected at the beginning of academic year 2007–08. This survey includes all branch campuses, affiliated research centers, and separately organized components—such as medical or dental schools, nursing schools, and schools of public health—from all academic institutions that offer doctor's and master's degree programs. Only those graduate students enrolled for credit in a master's or doctoral program in science or engineering in the fall of 2007 were included in the survey. M.D., D.O., D.V.M., or D.D.S. candidates, interns, and residents were counted if they were concurrently working on a master's or doctoral degree in science or engineering or were enrolled in a joint medical/Ph.D. program.

The 2008 survey universe consists of 579 institutions, including 376 doctorate-granting institutions and 203 master's-granting institutions. There are 708 schools affiliated with these institutions: 505 at doctorate-granting institutions and 203 at master's-granting institutions.

New procedures to improve coverage of GSS-eligible units were introduced in the 2007 survey cycle and were continued in the 2008 GSS. Increased emphasis was given to updating the unit list by providing an exhaustive list of GSS-eligible programs within existing GSS fields. In previous years, only a representative list was provided for each GSS field, which may have resulted in not reporting all eligible units. The set of GSS-eligible fields was also modified. Due to these changes data for 2007 and 2008 are not directly comparable with data from previous years.

Further information on the Survey of Graduate Students and Postdoctorates in Science and Engineering may be obtained from

Emilda Rivers  
 Division of Science Resources Statistics  
 National Science Foundation  
 4201 Wilson Boulevard, Suite 965  
 Arlington, VA 22230  
[erivers@nsf.gov](mailto:erivers@nsf.gov)  
<http://www.nsf.gov/statistics/survey.cfm>

### **Substance Abuse and Mental Health Services Administration**

#### ***National Survey on Drug Use and Health***

Conducted by the federal government since 1971, the National Survey on Drug Use and Health (NSDUH) is an annual survey (since 1991) of the civilian, noninstitutionalized population of the United States age 12 or older. It is the primary source of information on the prevalence, patterns, and consequences of alcohol, tobacco, and illegal drug use and abuse. The survey collects data by administering questionnaires to a representative sample of the population (since 1999, the NSDUH interview has been carried out using computer-assisted interviewing). NSDUH collects information from residents of households, noninstitutional group quarters, and civilians living on military bases. The main results of the NSDUH present national estimates of rates of use, numbers of users, and other measures related to illicit drugs, alcohol, and tobacco products.

Prior to 2002, the survey was called the National Household Survey on Drug Abuse (NHSDA). Because of improvements to the survey in 2002, the data from 2002 onward should not be compared with NHSDA data from 2001 and earlier as a method of assessing changes in substance use over time. The 2008 NSDUH screened 142,940 addresses, and 68,740 completed interviews were obtained. The survey was conducted from January through December 2008. Weighted response rates were 89.0 percent for household screening and 74.4 percent for interviewing. The 2005 NSDUH was the first in a coordinated 5-year sample design providing estimates for all 50 states and the District of Columbia for the years 2005 through 2009. Because the 2005 design enables estimates to be developed by state, states may be viewed as the first level of stratification, as well as a reporting variable.

Further information on the 2007 NSDUH may be obtained from

SAMHSA, Office of Applied Studies  
 1 Choce Cherry Road, Room 7-1044  
 Rockville, MD 28057  
<http://www.oas.samhsa.gov/nsduh.htm>



## Other Organization Sources

### ACT

#### **ACT assessment**

The ACT assessment is designed to measure educational development in the areas of English, mathematics, social studies, and natural sciences. The ACT assessment is taken by college-bound high school students and by all graduating seniors in Colorado and Illinois. The test results are used to predict how well students might perform in college.

Prior to the 1984–85 school year, national norms were based on a 10-percent sample of the students taking the test. Since then, national norms are based on the test scores of all students taking the test. Beginning with 1984–85, these norms have been based on the most recent ACT scores available from students scheduled to graduate in the spring of the year. Duplicate test records are no longer used to produce national figures.

Separate ACT standard scores are computed for English, mathematics, science reasoning, and, as of October 1989, reading. ACT standard scores are reported for each subject area on a scale from 1 to 36. In 2010, the national composite score (the simple average of the four ACT standard scores) was 21.0, with a standard deviation of 5.2. The tests emphasize reasoning, analysis, problem solving, and the integration of learning from various sources, as well as the application of these proficiencies to the kinds of tasks college students are expected to perform.

It should be noted that graduating students who take the ACT assessment are not necessarily representative of graduating students nationally. Students who live in the Midwest, Rocky Mountains, Plains, and South are overrepresented among ACT-tested students as compared to graduating students nationally. These students more often attend public colleges and universities, which require the ACT assessment more often than the SAT test.

Further information on the ACT may be obtained from

ACT  
500 ACT Drive  
P.O. Box 168  
Iowa City, IA 52243  
<http://www.act.org>

### American Council on Education

#### **General Educational Development Tests**

One of the American Council on Education's (ACE) programs and services is the General Educational Development Testing Service (GEDTS), which develops and distributes General Educational Development (GED) Tests. A GED credential documents high school-level academic skills. It was first administered in 1942 to returning World War II veterans and first administered to civilians in 1947. ACE publishes the *GED Testing Program Statistical Report*. This report looks at those who take the GED, test performance statistics, and historical information on the GED testing pro-

gram. Attempting to make comparisons across jurisdictions is problematic since each jurisdiction manages its own GED testing program. As such, each jurisdiction develops its own policies, which would be reflected in its testing program outcomes, such as pass rates.

Further information on the GED may be obtained from

American Council on Education  
One Dupont Circle NW  
Washington, DC 20036  
<http://www.acenet.edu>  
<http://www.gedtest.org>

### College Entrance Examination Board

#### **SAT**

The Admissions Testing Program of the College Board is made up of a number of college admissions tests, including the Preliminary Scholastic Assessment Test (PSAT) and the Scholastic Assessment Test, now known as the SAT. High school students participate in the testing program as sophomores, juniors, or seniors—some more than once during these 3 years. If they have taken the tests more than once, only the most recent scores are tabulated. The PSAT and SAT report subscores in the areas of mathematics and verbal ability.

The SAT results are not representative of high school students or college-bound students nationally, since the sample is self-selected (i.e., taken by students who need the results to apply to a particular college or university). Public colleges in many states, particularly in the Midwest, parts of the South, and the West, require ACT scores rather than SAT scores. The proportion of students taking the SAT in these states is very low and is inappropriate for comparison. In recent years, more than 1.4 million high school students have taken the SAT examination annually. The latest version of the SAT, which includes a writing component, was first administered in March 2005.

Further information on the SAT can be obtained from

College Entrance Examination Board  
45 Columbus Ave.  
New York City, NY 10023  
<http://www.collegeboard.com/>

### Commonfund Institute

#### **Higher Education Price Index**

Commonfund Institute took over management of the Higher Education Price Index (HEPI) in September 2004 from Research Associates of Washington, which originated the index in 1961. HEPI measures average changes in prices of goods and services purchased by colleges and universities through educational and general expenditures. Sponsored research and auxiliary enterprises are not priced by HEPI.

HEPI is based on the prices (or salaries) of faculty and of administrators and other professional service personnel; cler-

ical, technical, service, and other nonprofessional personnel; and contracted services, such as data processing, communication, transportation, supplies and materials, equipment, books and periodicals, and utilities. These represent the items purchased for current operations by colleges and universities. Prices for these items are obtained from salary surveys conducted by various national higher education associations, the American Association of University Professors, the Bureau of Labor Statistics, and the National Center for Education Statistics; and from components of the Consumer Price Index (CPI) and the Producer Price Index (PPI) published by the U.S. Department of Labor, Bureau of Labor Statistics.

The quantities of these goods and services have been kept constant based on the 1971–72 buying pattern of colleges and universities. The weights assigned to the various items, which represent their relative importance in the current-fund educational and general budget, are estimated national averages. Variance in spending patterns of individual institutions from these national averages reduces only slightly the applicability of HEPI to any given institutional situation. Modest differences in the weights attached to expenditure categories have little effect on overall index values. This is because HEPI is dominated by the trend in faculty salaries and similar salary trends for other personnel hired by institutions, which minimizes the impact of price changes in other items purchased in relatively small quantities.

Further information on HEPI may be obtained from

Commonfund Institute  
15 Old Danbury Road  
Wilton, CT 06897  
<http://www.commonfund.org>

## Council for Aid to Education

### *Survey of Voluntary Support of Education*

The Council for Aid to Education, Inc. (CAE) is a not-for-profit corporation funded by contributions from businesses. CAE largely provides consulting and research services to corporations and information on voluntary support services to education institutions. Each year, CAE conducts a survey of colleges and universities and private elementary and secondary schools to obtain information on the amounts, sources, and purposes of private gifts, grants, and bequests received during the academic year.

The annual Voluntary Support of Education (VSE) survey consistently captures about 85 percent of the total voluntary support to colleges and universities in the United States. Institutional reports of voluntary support data from the VSE survey are more comprehensive and detailed than the related data in the Integrated Postsecondary Education Data System (IPEDS) Finance survey conducted by NCES.

The VSE survey is conducted online. All accredited institutions of higher education are eligible to participate, and about a quarter of these institutions fill out a survey each year. CAE reviews the survey forms for internal consis-

teness, queries institutions whose data appear out of line with national trends or their own historical data, and makes an effort to clean the data before preparing a computerized database of the results.

Individual institutions and several state systems of higher education use the VSE data to monitor and analyze their fundraising results. CAE uses the data to develop national estimates of giving to education and to report in detail on private support of education. The results from the VSE survey are available to subscribers online and are also published in the annual *Voluntary Support of Education* report, which may be purchased from CAE.

Further information on the VSE survey may be obtained from

Ann Kaplan  
Council for Aid to Education, Inc.  
215 Lexington Avenue  
21st Floor  
New York, NY 10016  
[vsc@cac.org](mailto:vsc@cac.org)  
<http://www.cac.org>

## Council of Chief State School Officers

### *State Education Indicators*

The Council of Chief State School Officers (CCSSO) is a nonpartisan, nationwide, nonprofit organization of the public officials who head departments of public education in the states, the District of Columbia, the U.S. Department of Defense dependents schools, and five outlying areas. The CCSSO Education Indicators project provides leadership in developing a system of state-by-state indicators of the condition of K–12 education. Indicator activities include collecting and reporting statistical indicators by state, tracking state policy changes, assisting with accountability systems, and conducting analysis of trends in education. *Key State Education Policies on PK–12 Education* is one of the publications issued by the State Educators Project. It is intended to inform policymakers and educators about the current status of key education policies that define and shape elementary and secondary education in public schools in the nation. State education staff reported on current policies through a survey, and CCSSO staff collected additional assessment information through state websites.

Further information on CCSSO publications may be obtained from

Rolf Blank  
State Education Indicators Program  
Council of Chief State School Officers  
One Massachusetts Avenue NW  
7th Floor  
Washington, DC 20001  
[rolfb@ccsso.org](mailto:rolfb@ccsso.org)  
<http://www.ccsso.org>

## Education Commission of the States

### StateNotes

Education Commission of the States (ECS) regularly issues compilations, comparisons, and summaries of state policies—enacted or pending—on a number of education issues, including high school graduation requirements and school term information. ECS monitors state education activities for changes in education policies and updates ECS state information accordingly.

Further information on StateNotes may be obtained from

Education Commission of the States  
700 Broadway, #810  
Denver, CO 80203-3442  
[ecs@ecs.org](mailto:ecs@ecs.org)  
<http://www.ecs.org>

## Graduate Record Examinations Board

### GRE tests

Graduate Record Examinations (GRE) tests are taken by individuals applying to graduate or professional school. GRE offers two types of tests, the General Test and Subject Tests. The General Test, which is mainly taken on computer, measures verbal, quantitative, and analytical writing skills. The writing section consists of two analytical writing tasks, which replaced the analytical reasoning section on the general GRE after December 31, 2002. The Subject Tests measure achievement in subject areas that include biochemistry, cell and molecular biology, biology, chemistry, computer science, literature in English, mathematics, physics, and psychology. Each graduate institution or division of the institution determines which GRE tests are required for admission.

Individuals may take GRE tests more than once. Score reports only reflect scores earned within the past 5-year period.

Further information on the GRE may be obtained from

Graduate Record Examinations Board  
Educational Testing Service  
P.O. Box 6000  
Princeton, NJ 08541  
<http://www.ets.org/gre/>

## Institute of International Education

### Open Doors

Each year, the Institute of International Education (IIE) conducts a survey of the number of foreign students studying in American colleges and universities and U.S. students studying abroad. The results of these surveys are reported in the publication *Open Doors*. All of the regionally accredited institutions in NCES's Integrated Postsecondary Education Data System (IPEDS) are surveyed by IIE. The foreign student enrollment data presented in the *Digest* are drawn from IIE surveys that ask institutions for information on enrollment

of foreign students, as well as student characteristics such as country of origin. For the 2008–09 survey, approximately 66.1 percent of the 2,866 institutions surveyed reported data.

The flows of U.S. college students studying abroad have been surveyed since 1985–86. Surveys are sent to institutions asking them to provide information on the number and characteristics of the students to whom they awarded credit for study abroad during the previous academic year. Data was obtained from about 990, or 73.5 percent, of the 1,340 institutions surveyed.

Additional information can be obtained from the publication *Open Doors* or by contacting

Sharon Witherell  
Institute of International Education–Public Affairs  
809 United Nations Plaza  
New York, NY 10017  
[switherell@iie.org](mailto:switherell@iie.org)  
<http://www.iie.org/en/Research-and-Publications/Open-Doors>

## International Association for the Evaluation of Educational Achievement

The International Association for the Evaluation of Educational Achievement (IEA) is composed of governmental research centers and national research institutions around the world whose aim is to investigate education problems common among countries. Since its inception in 1958, the IEA has conducted more than 23 research studies of cross-national achievement. The regular cycle of studies encompasses learning in basic school subjects. Examples are the Trends in International Mathematics and Science Study (TIMSS) and the Progress in International Reading Literacy Study (PIRLS). IEA projects also include studies of particular interest to IEA members, such as the TIMSS 1999 Video Study of Mathematics and Science Teaching, the Civic Education Study, and studies on information technology in education and preprimary education.

Further information on the International Association for the Evaluation of Educational Achievement may be obtained from

<http://www.ica.nl>

### *Trends in International Mathematics and Science Study*

The Trends in International Mathematics and Science Study (TIMSS, formerly known as the Third International Mathematics and Science Study) provides reliable and timely data on the mathematics and science achievement of U.S. students compared with that of students in other countries. TIMSS data have been collected in 1995, 1999, 2003, and 2007. TIMSS collects information through mathematics and science achievement tests and questionnaires. The questionnaires request information to help provide a context for the performance scores, focusing on such topics as students' attitudes and beliefs about learning; students' habits and homework and their lives both in and outside of school; teachers'

attitudes and beliefs about teaching and learning, teaching assignments, class size and organization, instructional practices, and participation in professional development activities; and principals' viewpoints on policy and budget responsibilities, curriculum and instruction issues, and student behavior, as well as descriptions of the organization of schools and courses. The assessments and questionnaires are designed to specifications in a guiding framework. The TIMSS framework describes the mathematics and science content to be assessed by providing grade-specific objectives, an overview of the assessment design, and guidelines for item development.

Each participating country, like the United States, is required to draw random samples of schools. In the United States, a national probability sample drawn for each study has resulted in over 500 schools and approximately 33,000 students participating in 1995, 220 schools and 9,000 students participating in 1999, 480 schools and almost 19,000 students participating in 2003, and nearly 500 schools and over 15,000 students participating in 2007. In 2007, countries that had participated in TIMSS 2003 were required to increase the size of their student samples to provide data for a bridge study. Accommodations were not provided for students with disabilities or students who were unable to read or speak the language of the test. These students were excluded from the sample. This sample design ensures the appropriate number of schools and students are participating to provide a representative sample of the students in a specific grade in the United States as a whole.

The 2007 U.S. fourth-grade sample achieved an initial school response rate of 70 percent (weighted), with a school response rate of 89 percent after replacement schools were added. From the schools that agreed to participate, students were sampled in intact classes. A total of 9,000 fourth-grade students were sampled for the assessment, and about 7,900 participated, for a 95 percent student response rate. The resulting fourth-grade overall response rate, with replacements included, was 84 percent. The U.S. eighth-grade sample achieved an initial school response rate of 68 percent, with a school response rate of 83 percent after replacement schools were added. A total of 8,450 students were sampled for the eighth-grade assessment, and 7,380 completed the assessment, for a 93 percent student response rate. The resulting eighth-grade overall response rate, with replacements included, was 77 percent. The next study will take place in 2011.

Further information on the study may be obtained from

Patrick Gonzales  
Early Childhood, International, and Crosscutting Studies  
Division  
International Activities Program  
National Center for Education Statistics  
1990 K Street NW  
Washington, DC 20006  
[patrick.gonzales@ed.gov](mailto:patrick.gonzales@ed.gov)  
<http://nces.ed.gov/timss>

## National Association of State Directors of Teacher Education and Certification

### *NASDTEC Manual/KnowledgeBase*

The National Association of State Directors of Teacher Education and Certification (NASDTEC) was organized in 1928 to represent professional standards boards and commissions and state departments of education that are responsible for the preparation, licensure, and discipline of educational personnel. Currently, NASDTEC's membership includes all 50 states, the District of Columbia, the U.S. Department of Defense Education Activity, U.S. jurisdictions, and Canadian provinces and territories.

The *NASDTEC Manual on the Preparation & Certification of Educational Personnel (NASDTEC Manual)* was printed between 1984 and 2004, when it was replaced by an online publication, KnowledgeBase. This is an expanded version of the *Manual* and is the most comprehensive source of state-by-state information pertaining to the certification requirements and preparation of teachers and other school personnel in the United States and Canada.

Further information on KnowledgeBase may be obtained from

Roy Einreinhofer, Executive Director  
NASDTEC  
1225 Providence Rd., PMB #116  
Whitinsville, MA 01588  
[rje@nasdtec.com](mailto:rje@nasdtec.com)  
<http://www.nasdtec.info>

## National Catholic Educational Association

### *The United States Catholic Elementary and Secondary Schools*

The National Catholic Educational Association (NCEA) has been providing leadership and service to Catholic education since 1904. NCEA began to publish *The United States Catholic Elementary and Secondary Schools: Annual Statistical Report on Schools, Enrollment and Staffing* in 1970 because of the lack of educational data on the private sector. The report is based on data gathered by each of the 176 archdiocesan and diocesan offices of education in the United States. These data enable NCEA to present information on school enrollment and staffing patterns for prekindergarten through grade 12. The first part of the report presents data concerning the context of American education, while the following segment focuses on statistical data of Catholic schools. Statistics include enrollment by grade level, race/ethnicity, and affiliation.

Further information on *The United States Catholic Elementary and Secondary Schools: Annual Statistical Report on Schools, Enrollment, and Staffing* may be obtained from

Sister Dale McDonald  
National Catholic Educational Association  
1005 N. Glebe Rd.  
Suite 525  
Arlington, VA 22201  
[mcdonald@ncea.org](mailto:mcdonald@ncea.org)  
<http://www.ncea.org>

## National Education Association

### *Estimates of School Statistics*

The National Education Association (NEA) produces *Estimates of School Statistics* annually. This report provides projections of public school enrollment, employment and personnel compensation, and finances, as reported by individual state departments of education. The state-level data in *Estimates of School Statistics* allow broad assessments of trends in the above areas. These data should be looked at with the understanding that the state-level data do not necessarily reflect the varying conditions within a state on education issues.

Data in this report are provided by state and District of Columbia departments of education and by other, mostly governmental, sources. Surveys are sent to the departments of education requesting estimated data for the current year and revisions to 4 years of historical data, as necessary. Twice a year, NEA submits current-year estimates on more than 35 education statistics to state departments of education for verification or revision. The estimates are generated using regression analyses and are used in the *Estimates* report only if the states do not provide current data.

Further information on *Estimates of School Statistics* may be obtained from

NEA *Rankings & Estimates* Team—NEA Research  
1201 16th Street NW  
Washington, DC 20036  
<http://www.nea.org>

### *Status of the American Public School Teacher*

The Status of the American Public School Teacher Survey is conducted every 5 years by the National Education Association (NEA). The survey was designed by the NEA Research Division and was initially administered in 1956. The intent of the survey is to solicit information covering various aspects of public school teachers' professional, family, and civic lives.

In the 2005–06 survey, questionnaires were sent to about 2,970 public school teachers in the United States, and about 1,330 of these teachers returned completed questionnaires. Of these 1,330 questionnaires, 330 were deemed unusable, yielding 1,000 usable completed questionnaires out of an adjusted total sample of 2,640. The response rate, then, was 37.8 percent.

Possible sources of nonsampling errors are nonresponses, misinterpretation, and—when comparing data over years—changes in the sampling method and instrument. Misinterpretation of the survey items should be minimal, as the sample responding is not from the general population, but one knowledgeable about the area of concern. The sampling procedure changed after 1956 and some wording of items has changed over different administrations of the survey.

Since sampling is used, sampling variability is inherent in the data. An approximation to the standard error for estimating the population percentages is 1.6 percent. Approximations for significance for other comparisons appear in appendix table A-6. To estimate the 95 percent confidence interval for population percentages, the standard error of 1.6 percent is multiplied by 2 (1.6 x 2). The resulting percentage (3.2) is added and subtracted from the population estimate to establish upper and lower bounds for the confidence interval.

Further information on the Status of the American Public School Teacher Survey may be obtained from

Steven Liu  
National Education Association—Research  
1201 16th Street NW  
Washington, DC 20036  
<http://www.nea.org>

## Organization for Economic Cooperation and Development

The Organization for Economic Cooperation and Development (OECD) publishes analyses of national policies and survey data in education, training, and economics in OECD and partner countries.

### *Education at a Glance (EAG)*

To highlight current education issues and create a set of comparative education indicators that represent key features of education systems, OECD initiated the International Indicators of Education Systems Project (INES) and charged the Centre for Educational Research and Innovation (CERI) with developing the cross-national indicators for it. The development of these indicators involved representatives of the OECD countries and the OECD Secretariat. Improvements in data quality and comparability among OECD countries have resulted from the country-to-country interaction sponsored through the INES project. The most recent publication in this series is *Education at a Glance, OECD Indicators, 2010*.

The 2010 *EAG* featured the following OECD countries: Australia, Austria, Belgium, Canada, Chile, the Czech Republic, Denmark, Finland, France, Germany, Greece, Hungary, Iceland, Ireland, Italy, Japan, Republic of Korea, Luxembourg, Mexico, the Netherlands, New Zealand, Norway, Poland, Portugal, the Slovak Republic, Spain, Sweden, Switzerland, Turkey, the United Kingdom, and the United States. In addition to these OECD countries, several partner countries were included: Brazil, Estonia, Israel, the Russian Federation, and Slovenia.

The *OECD Handbook for Internationally Comparative Education Statistics: Concepts, Standards, Definitions and Classifications* provides countries with specific guidance on how to prepare information for OECD education surveys; facilitates countries' understanding of OECD indicators and their use in policy analysis; and provides a reference for collecting and assimilating educational data. Chapter 7 of the *OECD Handbook for Internationally Comparative Education Statistics* contains a discussion of data quality issues. Users should examine footnotes carefully to recognize some of the data limitations.

Further information on international education statistics may be obtained from

Andreas Schleicher  
Indicators & Analysis Division  
OECD Directorate for Education  
2, rue André Pascal  
F-75775 Paris CEDEX 16  
France  
[andreas.schleicher@oecd.org](mailto:andreas.schleicher@oecd.org)  
<http://www.oecd.org>

### **Program for International Student Assessment**

The Program for International Student Assessment (PISA) is a system of international assessments that focus on 15-year-olds' capabilities in reading literacy, mathematics literacy, and science literacy. PISA also includes measures of general, or cross-curricular, competencies such as learning strategies. PISA emphasizes functional skills that students have acquired as they near the end of mandatory schooling. PISA is organized by the Organization for Economic Cooperation and Development (OECD), an intergovernmental organization of industrialized countries, and was administered for the first time in 2000, when 43 countries participated. In 2003, 41 countries participated in the assessment; in 2006, 57 jurisdictions (30 OECD members and 27 nonmembers) participated; and in 2009, 65 jurisdictions (34 OECD members and 31 nonmembers) participated.

PISA is a 2-hour paper-and-pencil exam. Assessment items include a combination of multiple-choice and open-ended questions, which require students to come up with their own response. PISA scores are reported on a scale with a mean score of 500 and a standard deviation of 100.

PISA is implemented on a 3-year cycle that began in 2000. Each PISA assessment cycle focuses on one subject in particular, although all three subjects are assessed every 3 years. In the first cycle, PISA 2000, reading literacy was the major focus, occupying roughly two-thirds of assessment time. For 2003, PISA focused on mathematics literacy as well as the ability of students to solve problems in real-life settings. In 2006, PISA focused on science literacy. In 2009, PISA focused on reading literacy again.

The intent of PISA reporting is to provide an overall description of performance in reading literacy, mathematics literacy, and science literacy every 3 years, and to provide a more detailed look at each domain in the years when it is the major focus. These cycles will allow countries to compare changes in trends for each of the three subject areas over time.

To implement PISA, each of the participating countries scientifically draws a nationally representative sample of 15-year-olds, regardless of grade level. In the United States, nearly 5,600 students from public and nonpublic schools took the PISA 2006 assessment.

In each country, the assessment is translated into the primary language of instruction; in the United States, all materials are written in English.

Further information on PISA may be obtained from

Holly Xie  
Early Childhood, International, and Crosscutting Studies Division  
International Activities Program  
National Center for Education Statistics  
1990 K Street NW  
Washington, DC 20006  
[holly.xie@ed.gov](mailto:holly.xie@ed.gov)  
<http://nces.ed.gov/surveys/pisa>

### **United Nations Educational, Scientific, and Cultural Organization**

#### ***Statistical Yearbook and Global Education Digest***

The United Nations Educational, Scientific, and Cultural Organization (UNESCO) conducts annual surveys of education statistics of its member countries. Data from official surveys are supplemented by information obtained by UNESCO through other publications and sources. Each year, more than 200 countries reply to the UNESCO surveys. In some cases, estimates are made by UNESCO for particular items, such as world and continent totals. While great efforts are made to make them as comparable as possible, the data still reflect the vast differences among the countries of the world in the structure of education. While there is some agreement about the reporting of primary and secondary data, tertiary-level data (i.e., postsecondary education data) present numerous substantive problems. Some countries report only university enrollment, while other countries report all postsecondary enrollment, including enrollment in vocational and technical schools and correspondence programs. A very high proportion of some countries' tertiary-level students attend institutions in other countries. The member countries that provide data to UNESCO are responsible for their validity. Thus, data for particular countries are subject to nonsampling error and perhaps sampling error as well. Users should examine footnotes carefully to recognize some of the data limitations. UNESCO publishes the data in reports such as the *Statistical Yearbook* and the *Global Education Digest*.

Further information on the *Statistical Yearbook* and the *Global Education Digest* may be obtained from

UNESCO Institute for Statistics  
Publications  
C.P. 6128 Succursale Centre-ville  
Montreal, Quebec, H3C 3J7  
Canada  
<http://www.uis.unesco.org>

**Table A-1. Respondent counts for selected High School and Beyond surveys: 1982, 1984, and 1986**

Classification variable and subgroup	Follow-up survey of 1980 sophomores in 1982	Follow-up survey of 1980 seniors in 1982	Follow-up survey of 1980 sophomores in 1984	Follow-up survey of 1980 seniors in 1984	Follow-up survey of 1980 sophomores in 1986	Follow-up survey of 1980 seniors in 1986
<b>Total respondents (unweighted)</b> .....	<b>25,830</b>	<b>11,230</b>	<b>11,460</b>	<b>10,930</b>	<b>11,250</b>	<b>10,540</b>
<b>Sex</b>						
Male.....	12,720	5,210	5,510	5,060	5,390	4,830
Female.....	13,110	6,010	5,950	5,870	5,860	5,700
<b>Race/ethnicity</b>						
White.....	17,300	5,180	7,290	5,060	7,190	5,250
Black.....	3,340	2,720	1,650	2,630	1,590	2,730
Hispanic.....	4,440	2,750	1,800	2,650	1,750	1,950
Asian or Pacific Islander.....	410	370	430	360	410	360
American Indian or Alaska Native.....	250	190	250	190	250	200
Other or unclassified.....	100	20	50	50	70	60
<b>Socioeconomic status composite (SES)<sup>1</sup></b>						
Low.....	6,750	3,940	2,830	3,860	2,750	3,670
Low-middle.....	6,230	2,390	2,620	2,310	2,560	2,290
High-middle.....	6,130	2,170	2,850	2,110	2,820	2,000
High.....	6,340	1,990	3,090	1,940	3,040	1,900
Unclassified.....	370	740	70	710	80	680
<b>Father's highest level of education</b>						
Less than high school.....	5,180	—	—	—	—	—
High school completion <sup>2</sup> .....	11,960	—	—	—	—	—
College graduate <sup>3</sup> .....	5,170	—	—	—	—	—
Don't know/missing.....	3,520	—	—	—	—	—
<b>High school program (self-reported)</b>						
Academic.....	10,150	4,150	6,550	4,010	—	3,900
General.....	8,790	3,830	3,470	3,760	—	3,600
Vocational.....	6,660	2,660	3,610	2,580	—	2,480
Unclassified.....	230	590	60	570	—	550
<b>High school type</b>						
Public.....	—	9,970	8,650	9,730	—	9,390
Catholic.....	—	960	2,480	910	—	880
Other private.....	—	290	340	290	—	280
<b>Postsecondary education status<sup>4</sup></b>						
Full-time.....	—	—	4,470	—	—	—
Part-time.....	—	—	3,280	—	—	—
Never enrolled.....	—	—	3,680	—	—	—
Missing/unclassified.....	—	—	40	—	—	—
<b>October 1980 postsecondary education attendance status</b>						
Part-time 2-year public institution.....	—	—	—	—	—	350
Part-time 4-year public institution.....	—	—	—	—	—	150
Full-time 2-year public institution.....	—	—	—	—	—	1,310
Full-time 4-year public institution.....	—	—	—	—	—	1,990
Full-time 4-year private institution.....	—	—	—	—	—	1,020
Not a student.....	—	—	—	—	—	4,520
Other and missing.....	—	—	—	—	—	1,200
<b>Postsecondary education plans</b>						
No plans.....	—	—	—	—	—	1,620
Attend vocational/technical school.....	—	—	—	—	—	1,840
Attend college less than 4 years.....	—	—	—	—	—	1,530
Earn bachelor's degree.....	—	—	—	—	—	2,630
Earn advanced degree.....	—	—	—	—	—	2,270
Missing.....	—	—	—	—	—	650
<b>Participation in high school extracurricular activities<sup>5</sup></b>						
Never participated.....	—	—	—	—	—	1,020
Participated as a member.....	—	—	—	—	—	4,100
Participated as a leader.....	—	—	—	—	—	4,460

—Not available.

<sup>1</sup>The SES index is a composite of five equally weighted measures: father's education, mother's education, family income, father's occupation, and presence of certain items in the respondent's household.

<sup>2</sup>Includes attendance at a vocational, trade, or business school, or 2-year college; or attendance at a 4-year college resulting in less than a bachelor's degree.

<sup>3</sup>Includes those with a bachelor's or higher level degree.

<sup>4</sup>Postsecondary education status was determined by students' enrollment in academic or vocational study during the four semesters—fall 1982, spring 1983, fall 1983, and spring 1984—following their scheduled high school graduation. Students who enrolled in full-time study in each of the four semesters were classified as full time. Students who were enrolled in part-time study in any of the four semesters and those who were enrolled in full-time study in fewer than four semesters were classified as part time. Students who had neither

enrolled on a full-time nor part-time basis in each of the four semesters were classified as never enrolled.

<sup>5</sup>Responses to questions concerning participation in each of 15 different extracurricular activity areas (i.e., varsity sports, debate, band, subject-matter clubs, etc.) were used to classify students' overall level of participation in extracurricular activities. The difference between the sum of the three category respondent counts and the total sample size is due to missing data.

NOTE: Data from students who dropped out of school between the 10th and 12th grades were not used in analyses of sophomore samples. Race categories exclude persons of Hispanic ethnicity.

SOURCE: U.S. Department of Education, National Center for Education Statistics, High School and Beyond Study of 1980 Sophomores (HS&B-So:80/82, HS&B-So:80/84, and HS&B-So:80/86); and High School and Beyond Study of 1980 Seniors (HS&B-Sr:80/82, HS&B-Sr:80/84, and HS&B-Sr:80/86).

**Table A-2. Design effects (DEFF) and root design effects (DEFT) for selected High School and Beyond surveys and subsamples: 1984 and 1986**

Subsample characteristic	Follow-up survey of 1980 sophomores in 1984		Follow-up survey of 1980 seniors in 1984		Follow-up survey of 1980 sophomores in 1986		Follow-up survey of 1980 seniors in 1986	
	DEFF	DEFT	DEFF	DEFT	DEFF	DEFT	DEFF	DEFT
<b>Total sample</b> .....	<b>2.40</b>	<b>1.54</b>	<b>2.87</b>	<b>1.69</b>	<b>2.19</b>	<b>1.47</b>	<b>2.28</b>	<b>1.50</b>
Sex								
Male .....	—	†	—	†	2.07	1.43	2.13	1.45
Female .....	—	†	—	†	2.06	1.43	2.26	1.50
Race/ethnicity								
White and other .....	2.06	1.42	2.09	1.44	1.92	1.38	1.70	1.30
Black .....	2.22	1.47	2.26	1.50	2.19	1.47	2.40	1.54
Hispanic .....	3.15	1.73	3.72	1.92	3.11	1.76	4.06	2.01
Socioeconomic status composite (SES) <sup>1</sup>								
Low .....	1.91	1.37	2.28	1.50	1.83	1.35	2.31	1.51
Middle .....	1.95	1.39	1.81	1.34	2.06	1.42	2.02	1.42
High .....	2.05	1.42	1.93	1.38	1.92	1.38	1.71	1.30

—Not available.

†Not applicable.

<sup>1</sup>The SES index is a composite of five equally weighted measures: father's education, mother's education, family income, father's occupation, and presence of certain items in the respondent's household.

NOTE: The design effect (DEFF) is the ratio of the true variance of a statistic (taking the complex sample design into account) to the variance of the statistic for a simple random sample with the same number of cases. Design effects differ for different subgroups and different sta-

tistics; no single design effect is universally applicable to any given survey or analysis. The root design effect (DEFT) is the square root of a design effect. The DEFF for the 1980 sophomore cohort first follow-up (1982) survey is 3.6 (with a DEFT of 1.89) and the DEFF for the 1980 senior first follow-up (1982) survey is 2.6 (with a DEFT of 1.62). Race categories exclude persons of Hispanic ethnicity.

SOURCE: U.S. Department of Education, National Center for Education Statistics, High School and Beyond Study of 1980 Sophomores (HS&B-So:80/84 and HS&B-So:80/86); and High School and Beyond Study of 1980 Seniors (HS&B-Sr:80/84 and HS&B-Sr:80/86).

**Table A-3. Respondent counts of full-time workers from the Recent College Graduates survey, by field of study: Selected years, 1976 to 1991**

Field of study	Number employed full time				
	1974–75 graduates in May 1976	1979–80 graduates in May 1981	1983–84 graduates in April 1985	1985–86 graduates in April 1987	1989–90 graduates in April 1991
<b>Total respondents (unweighted)</b> .....	<b>2,460</b>	<b>5,520</b>	<b>6,800</b>	<b>15,020</b>	<b>9,450</b>
Professions .....	1,840	4,260	3,730	8,990	3,830
Arts and sciences .....	510	810	2,590	4,870	2,260
Other .....	110	450	480	1,170	3,370
Newly qualified to teach .....	1,340	2,470	1,110	2,550	1,970
Not newly qualified to teach .....	1,130	3,050	5,690	12,480	7,490
Professions .....	600	1,840	2,810	7,040	2,550
Engineering .....	80	270	600	920	410
Business and management .....	290	750	1,530	2,410	1,600
Health .....	70	250	390	3,110	280
Education <sup>1</sup> .....	140	460	150	520	190
Public affairs and services .....	20	110	140	90	70
Arts and sciences .....	430	770	2,430	4,370	2,010
Biological sciences .....	80	120	240	380	180
Physical sciences and mathematics .....	40	100	1,060	1,780	470
Psychology .....	60	110	190	370	320
Social sciences .....	110	250	450	780	810
Humanities .....	140	190	490	1,060	230
Other .....	90	440	450	1,070	2,930
Communications .....	10	70	240	390	220
Miscellaneous .....	90	370	210	670	2,710

<sup>1</sup>Includes those who had not finished all requirements for teaching certification or were previously qualified to teach.

SOURCE: U.S. Department of Education, National Center for Education Statistics, Recent College Graduates (RCG) surveys, 1976, 1981, 1985, 1987, and 1991.

**Table A-4. Minimum differences required for significance (90 percent confidence level) between sample subgroups from the “Status of the American Public School Teacher” survey: 2005–06**

Size of first subgroup	Size of second subgroup						
	100	200	300	400	500	600	700
100 .....	11.6	10.1	9.5	9.2	9.0	8.9	8.8
200 .....	10.1	8.2	7.5	7.1	6.9	6.7	6.6
300 .....	9.5	7.5	6.7	6.3	6.0	5.8	5.7
400 .....	9.2	7.1	6.3	5.8	5.5	5.3	5.2
500 .....	9.0	6.9	6.0	5.5	5.2	5.0	4.8
600 .....	8.9	6.7	5.8	5.3	5.0	4.7	4.6
700 .....	8.8	6.6	5.7	5.2	4.8	4.6	4.4

SOURCE: National Education Association, *Status of the American Public School Teacher*, 2005–06.



## APPENDIX B

# Definitions

**Academic support** This category of college expenditures includes expenditures for support services that are an integral part of the institution's primary missions of instruction, research, or public service. It also includes expenditures for libraries, galleries, audio/visual services, academic computing support, ancillary support, academic administration, personnel development, and course and curriculum development.

**Achievement levels, NAEP** Specific achievement levels for each subject area and grade to provide a context for interpreting student performance. At this time they are being used on a trial basis.

**Basic**—denotes partial mastery of the knowledge and skills that are fundamental for proficient work at a given grade.

**Proficient**—represents solid academic performance. Students reaching this level have demonstrated competency over challenging subject matter.

**Advanced**—signifies superior performance.

**Achievement test** An examination that measures the extent to which a person has acquired certain information or mastered certain skills, usually as a result of specific instruction.

**ACT** The ACT (formerly the American College Testing Program) assessment program measures educational development and readiness to pursue college-level coursework in English, mathematics, natural science, and social studies. Student performance on the tests does not reflect innate ability and is influenced by a student's educational preparedness.

**Administrative support staff** Includes personnel dealing with salary, benefits, supplies, and contractual fees for the office of the principal, full-time department chairpeople, and graduation expenses.

**Agriculture** Courses designed to improve competencies in agricultural occupations. Included is the study of agricultural production, supplies, mechanization and products, agricultural science, forestry, and related services.

**Alternative schools** Alternative schools serve students whose needs cannot be met in a regular, special education, or vocational school. They provide nontraditional education and

may serve as an adjunct to a regular school. Although these schools fall outside the categories of regular, special education, and vocational education, they may provide similar services or curriculum. Some examples of alternative schools are schools for potential dropouts; residential treatment centers for substance abuse (if they provide elementary or secondary education); schools for chronic truants; and schools for students with behavioral problems.

**Appropriation (federal funds)** Budget authority provided through the congressional appropriation process that permits federal agencies to incur obligations and to make payments.

**Appropriation (institutional revenues)** An amount (other than a grant or contract) received from or made available to an institution through an act of a legislative body.

**Associate's degree** A degree granted for the successful completion of a sub-baccalaureate program of studies, usually requiring at least 2 years (or equivalent) of full-time college-level study. This includes degrees granted in a cooperative or work-study program.

**Auxiliary enterprises** This category includes those essentially self-supporting operations which exist to furnish a service to students, faculty, or staff, and which charge a fee that is directly related to, although not necessarily equal to, the cost of the service. Examples are residence halls, food services, college stores, and intercollegiate athletics.

**Average daily attendance (ADA)** The aggregate attendance of a school during a reporting period (normally a school year) divided by the number of days school is in session during this period. Only days on which the pupils are under the guidance and direction of teachers should be considered days in session.

**Average daily membership (ADM)** The aggregate membership of a school during a reporting period (normally a school year) divided by the number of days school is in session during this period. Only days on which the pupils are under the guidance and direction of teachers should be considered as days in session. The average daily membership for groups of schools having varying lengths of terms is the average of the average daily memberships obtained for the individual schools.

**Bachelor's degree** A degree granted for the successful completion of a baccalaureate program of studies, usually requiring at least 4 years (or equivalent) of full-time college-level study. This includes degrees granted in a cooperative or work-study program.

**Books** Non-periodical printed publications bound in hard or soft covers, or in loose-leaf format, of at least 49 pages, exclusive of the cover pages; juvenile nonperiodical publications of any length found in hard or soft covers.

**Budget authority (BA)** Authority provided by law to enter into obligations that will result in immediate or future outlays. It may be classified by the period of availability (1-year, multiple-year, no-year), by the timing of congressional action (current or permanent), or by the manner of determining the amount available (definite or indefinite).

**Business** Program of instruction that prepares individuals for a variety of activities in planning, organizing, directing, and controlling business office systems and procedures.

**Capital outlay** Funds for the acquisition of land and buildings; building construction, remodeling, and additions; the initial installation or extension of service systems and other built-in equipment; and site improvement. The category also encompasses architectural and engineering services including the development of blueprints.

**Carnegie unit** The number of credits a student received for a course taken every day, one period per day, for a full year; a factor used to standardize all credits indicated on transcripts across studies.

**Catholic school** A private school over which a Roman Catholic church group exercises some control or provides some form of subsidy. Catholic schools for the most part include those operated or supported by a parish, a group of parishes, a diocese, or a Catholic religious order.

**Central cities** The largest cities, with 50,000 or more inhabitants, in a Metropolitan Statistical Area (MSA). Additional cities within the metropolitan area can also be classified as "central cities" if they meet certain employment, population, and employment/residence ratio requirements.

**City school** See Locale codes.

**Class size** The membership of a class at a given date.

**Classification of Instructional Programs (CIP)** The CIP is a taxonomic coding scheme that contains titles and descriptions of primarily postsecondary instructional programs. It was developed to facilitate NCES's collection and reporting of postsecondary degree completions by major field of study using standard classifications that capture the majority of

reportable program activity. It was originally published in 1980 and was revised in 1985, 1990, and 2000.

**Classification of Secondary School Courses (CSSC)** A modification of the Classification of Instructional Programs used for classifying high school courses. The CSSC contains over 2,200 course codes that help compare the thousands of high school transcripts collected from different schools.

**Classroom teacher** A staff member assigned the professional activities of instructing pupils in self-contained classes or courses, or in classroom situations; usually expressed in full-time equivalents.

**Cohort** A group of individuals that have a statistical factor in common, for example, year of birth.

**College** A postsecondary school which offers general or liberal arts education, usually leading to an associate, bachelor's, master's, doctor's, or first-professional degree. Junior colleges and community colleges are included under this terminology.

**Combined elementary and secondary school** A school which encompasses instruction at both the elementary and the secondary levels; includes schools starting with grade 6 or below and ending with grade 9 or above.

**Combined Statistical Area (CSA)** A combination of areas, each of which contains a core with a substantial population nucleus as well as adjacent communities having a high degree of economic and social integration with that core. A CSA is a region with social and economic ties as measured by commuting, but at lower levels than are found within each component area. CSAs represent larger regions that reflect broader social and economic interactions, such as wholesaling, commodity distribution, and weekend recreation activities.

**Computer science** A group of instructional programs that describes computer and information sciences, including computer programming, data processing, and information systems.

**Consolidated Metropolitan Statistical Area (CMSA)** An area that meets the requirements of a Metropolitan Statistical Area (MSA—see below) and has a population of 1 million or more, the components of which are large urbanized counties or a cluster of counties (cities and towns in New England) having moderate to substantial commuting and employment interchange.

**Constant dollars** Dollar amounts that have been adjusted by means of price and cost indexes to eliminate inflationary factors and allow direct comparison across years.

**Consumer Price Index (CPI)** This price index measures the average change in the cost of a fixed market basket of goods and services purchased by consumers.

**Consumption** That portion of income which is spent on the purchase of goods and services rather than being saved.

**Control of institutions** A classification of institutions of elementary/secondary or higher education by whether the institution is operated by publicly elected or appointed officials and derives its primary support from public funds (public control) or by privately elected or appointed officials and derives its major source of funds from private sources (private control).

**Credit** The unit of value, awarded for the successful completion of certain courses, intended to indicate the quantity of course instruction in relation to the total requirements for a diploma, certificate, or degree. Credits are frequently expressed in terms such as "Carnegie units," "semester credit hours," and "quarter credit hours."

**Current dollars** Dollar amounts that have not been adjusted to compensate for inflation.

**Current expenditures (elementary/secondary)** The expenditures for operating local public schools, excluding capital outlay and interest on school debt. These expenditures include such items as salaries for school personnel, fixed charges, student transportation, school books and materials, and energy costs. Beginning in 1980–81, expenditures for state administration are excluded.

**Current expenditures per pupil in average daily attendance** Current expenditures for the regular school term divided by the average daily attendance of full-time pupils (or full-time equivalency of pupils) during the term. See also Current expenditures and Average daily attendance.

**Current-fund expenditures (higher education)** Money spent to meet current operating costs, including salaries, wages, utilities, student services, public services, research libraries, scholarships and fellowships, auxiliary enterprises, hospitals, and independent operations; excludes loans, capital expenditures, and investments.

**Current-fund revenues (higher education)** Money received during the current fiscal year from revenue which can be used to pay obligations currently due, and surpluses reappropriated for the current fiscal year.

**Current Population Survey** See Appendix A: Guide to Sources.

**Degree-granting institutions** Postsecondary institutions that are eligible for Title IV federal financial aid programs and grant an associate's or higher degree. For an institution to be eligible to participate in Title IV financial aid programs it must offer a program of at least 300 clock hours in length,

have accreditation recognized by the U.S. Department of Education, have been in business for at least 2 years, and have signed a participation agreement with the Department.

**Disabilities, children with** Those children evaluated as having any of the following impairments and needing special education and related services because of these impairments. (These definitions apply specifically to data from the U.S. Office of Special Education and Rehabilitative Services presented in this publication.)

**Deaf-blindness** Having concomitant hearing and visual impairments which cause such severe communication and other developmental and educational problems that the student cannot be accommodated in special education programs solely for deaf or blind students.

**Deafness** Having a hearing impairment which is so severe that the student is impaired in processing linguistic information through hearing (with or without amplification) and which adversely affects educational performance.

**Hearing impairment** Having a hearing impairment, whether permanent or fluctuating, which adversely affects the student's educational performance, but which is not included under the definition of "deaf" in this section.

**Intellectual disability** Having significantly subaverage general intellectual functioning, existing concurrently with defects in adaptive behavior and manifested during the developmental period, which adversely affects the child's educational performance.

**Multiple disabilities** Having concomitant impairments (such as intellectually disabled-blind, intellectually disabled-orthopedically impaired, etc.), the combination of which causes such severe educational problems that the student cannot be accommodated in special education programs solely for one of the impairments. Term does not include deaf-blind students.

**Orthopedic impairment** Having a severe orthopedic impairment which adversely affects a student's educational performance. The term includes impairment resulting from congenital anomaly, disease, or other causes.

**Other health impairment** Having limited strength, vitality, or alertness due to chronic or acute health problems, such as a heart condition, tuberculosis, rheumatic fever, nephritis, asthma, sickle cell anemia, hemophilia, epilepsy, lead poisoning, leukemia, or diabetes which adversely affects the student's educational performance.

**Serious emotional disturbance** Exhibiting one or more of the following characteristics over a long period of time, to a marked degree, and adversely affecting educational performance: an inability to learn which cannot be explained by intellectual, sensory, or health factors; an inability to build or maintain satisfactory interpersonal relationships with peers and teachers; inappropriate types of behavior or feelings under normal circumstances; a

general pervasive mood of unhappiness or depression; or a tendency to develop physical symptoms or fears associated with personal or school problems. This term does not include children who are socially maladjusted, unless they also display one or more of the listed characteristics.

**Specific learning disability** Having a disorder in one or more of the basic psychological processes involved in understanding or in using spoken or written language, which may manifest itself in an imperfect ability to listen, think, speak, read, write, spell, or do mathematical calculations. The term includes such conditions as perceptual disabilities, brain injury, minimal brain dysfunction, dyslexia, and developmental aphasia. The term does not include children who have learning problems which are primarily the result of visual, hearing, or environmental, cultural, or economic disadvantage.

**Speech/language impairment** Having a communication disorder, such as stuttering, impaired articulation, language impairment, or voice impairment, which adversely affects the student's educational performance.

**Visual impairment** Having a visual impairment which, even with correction, adversely affects the student's educational performance. The term includes partially seeing and blind children.

**Discipline divisions** Degree programs that include breakouts to the 6-digit level of the Classification of Instructional Programs (CIP). See also Fields of study.

**Disposable personal income** Current income received by persons less their contributions for social insurance, personal tax, and nontax payments. It is the income available to persons for spending and saving. Nontax payments include passport fees, fines and penalties, donations, and tuitions and fees paid to schools and hospitals operated mainly by the government. See also Personal income.

**Doctor's degree** An earned degree carrying the title of Doctor. The Doctor of Philosophy degree (Ph.D.) is the highest academic degree and requires mastery within a field of knowledge and demonstrated ability to perform scholarly research. Other doctorates are awarded for fulfilling specialized requirements in professional fields, such as education (Ed.D.), musical arts (D.M.A.), business administration (D.B.A.), and engineering (D.Eng. or D.E.S.). Many doctor's degrees in academic and professional fields require an earned master's degree as a prerequisite. First-professional degrees, such as M.D. and D.D.S., are not included under this heading.

**Educational and general expenditures** The sum of current funds expenditures on instruction, research, public service, academic support, student services, institutional support, operation and maintenance of plant, and awards from restricted and unrestricted funds.

**Educational attainment** The highest grade of regular school attended and completed.

**Elementary education/programs** Learning experiences concerned with the knowledge, skills, appreciations, attitudes, and behavioral characteristics which are considered to be needed by all pupils in terms of their awareness of life within our culture and the world of work, and which normally may be achieved during the elementary school years (usually kindergarten through grade 8 or kindergarten through grade 6), as defined by applicable state laws and regulations.

**Elementary school** A school classified as elementary by state and local practice and composed of any span of grades not above grade 8. A preschool or kindergarten school is included under this heading only if it is an integral part of an elementary school or a regularly established school system.

**Elementary/secondary school** As reported in this publication, includes only regular schools (i.e., schools that are part of state and local school systems, and also most not-for-profit private elementary/secondary schools, both religiously affiliated and nonsectarian). Schools not reported include sub-collegiate departments of institutions of higher education, residential schools for exceptional children, federal schools for American Indians, and federal schools on military posts and other federal installations.

**Employment** Includes civilian, noninstitutional persons who: (1) worked during any part of the survey week as paid employees; worked in their own business, profession, or farm; or worked 15 hours or more as unpaid workers in a family-owned enterprise; or (2) were not working but had jobs or businesses from which they were temporarily absent due to illness, bad weather, vacation, labor-management dispute, or personal reasons whether or not they were seeking another job.

**Endowment** A trust fund set aside to provide a perpetual source of revenue from the proceeds of the endowment investments. Endowment funds are often created by donations from benefactors of an institution, who may designate the use of the endowment revenue. Normally, institutions or their representatives manage the investments, but they are not permitted to spend the endowment fund itself, only the proceeds from the investments. Typical uses of endowments would be an endowed chair for a particular department or for a scholarship fund. Endowment totals tabulated in this book also include funds functioning as endowments, such as funds left over from the previous year and placed with the endowment investments by the institution. These funds may be withdrawn by the institution and spent as current funds at any time. Endowments are evaluated by two different measures, book value and market value. Book value is the purchase price of the endowment investment. Market value is the current worth of the endowment investment. Thus, the book value of a stock held in an endowment fund would be

the purchase price of the stock. The market value of the stock would be its selling price as of a given day.

**Engineering** Instructional programs that describe the mathematical and natural science knowledge gained by study, experience, and practice and applied with judgment to develop ways to utilize the materials and forces of nature economically. Include programs that prepare individuals to support and assist engineers and similar professionals.

**English** A group of instructional programs that describes the English language arts, including composition, creative writing, and the study of literature.

**Enrollment** The total number of students registered in a given school unit at a given time, generally in the fall of a year.

**Expenditures** Charges incurred, whether paid or unpaid, which are presumed to benefit the current fiscal year. For elementary/secondary schools, these include all charges for current outlays plus capital outlays and interest on school debt. For institutions of higher education, these include current outlays plus capital outlays. For government, these include charges net of recoveries and other correcting transactions other than for retirement of debt, investment in securities, extension of credit, or as agency transactions. Government expenditures include only external transactions, such as the provision of perquisites or other payments in kind. Aggregates for groups of governments exclude intergovernmental transactions among the governments.

**Expenditures per pupil** Charges incurred for a particular period of time divided by a student unit of measure, such as average daily attendance or fall enrollment.

**Extracurricular activities** Activities that are not part of the required curriculum and that take place outside of the regular course of study. As used here, they include both school-sponsored (e.g., varsity athletics, drama, and debate clubs) and community-sponsored (e.g., hobby clubs and youth organizations like the Junior Chamber of Commerce or Boy Scouts) activities.

**Family** A group of two people or more (one of whom is the householder) related by birth, marriage, or adoption and residing together. All such people (including related subfamily members) are considered as members of one family.

**Federal funds** Amounts collected and used by the federal government for the general purposes of the government. There are four types of federal fund accounts: the general fund, special funds, public enterprise funds, and intragovernmental funds. The major federal fund is the general fund, which is derived from general taxes and borrowing. Federal funds also include certain earmarked collections, such as those generated by and used to finance a continuing cycle of business-type operations.

**Federal sources** Includes federal appropriations, grants, and contracts, and federally-funded research and development centers (FFRDCs). Federally subsidized student loans are not included.

**Fields of study** Degree programs that are broken out only to the 2-digit level of the Classification of Instructional Programs (CIP). See also Discipline divisions.

**First-professional degree** A degree that signifies both completion of the academic requirements for beginning practice in a given profession and a level of professional skill beyond that normally required for a bachelor's degree. This degree usually is based on a program requiring at least 2 academic years of work prior to entrance and a total of at least 6 academic years of work to complete the degree program, including both prior-required college work and the professional program itself. By NCES definition, first-professional degrees are awarded in the fields of dentistry (D.D.S. or D.M.D.), medicine (M.D.), optometry (O.D.), osteopathic medicine (D.O.), pharmacy (D.Pharm.), podiatric medicine (D.P.M.), veterinary medicine (D.V.M.), chiropractic (D.C. or D.C.M.), law (J.D.), and theological professions (M.Div. or M.H.L.).

**First-professional enrollment** The number of students enrolled in a professional school or program which requires at least 2 years of academic college work for entrance and a total of at least 6 years for a degree. By NCES definition, first-professional enrollment includes only students in certain programs. (See also First-professional degree for a list of programs.)

**Fiscal year** The yearly accounting period for the federal government, which begins on October 1 and ends on the following September 30. The fiscal year is designated by the calendar year in which it ends; e.g., fiscal year 2006 begins on October 1, 2005, and ends on September 30, 2006. (From fiscal year 1844 to fiscal year 1976, the fiscal year began on July 1 and ended on the following June 30.)

**Foreign languages** A group of instructional programs that describes the structure and use of language that is common or indigenous to people of the same community or nation, the same geographical area, or the same cultural traditions. Programs cover such features as sound, literature, syntax, phonology, semantics, sentences, prose, and verse, as well as the development of skills and attitudes used in communicating and evaluating thoughts and feelings through oral and written language.

**For-profit institution** A private institution in which the individual(s) or agency in control receives compensation other than wages, rent, or other expenses for the assumption of risk.

**Full-time enrollment** The number of students enrolled in higher education courses with total credit load equal to at least 75 percent of the normal full-time course load.

**Full-time-equivalent (FTE) enrollment** For institutions of higher education, enrollment of full-time students, plus the full-time equivalent of part-time students. The full-time equivalent of the part-time students is estimated using different factors depending on the type and control of institution and level of student.

**Full-time-equivalent (FTE) staff** Full-time staff, plus the full-time equivalent of the part-time staff.

**Full-time-equivalent teacher** See Instructional staff.

**Full-time instructional faculty** Those members of the instruction/research staff who are employed full time as defined by the institution, including faculty with released time for research and faculty on sabbatical leave. Full time counts exclude faculty who are employed to teach less than two semesters, three quarters, two trimesters, or two 4-month sessions; replacements for faculty on sabbatical leave or those on leave without pay; faculty for preclinical and clinical medicine; faculty who are donating their services; faculty who are members of military organizations and paid on a different pay scale from civilian employees; academic officers, whose primary duties are administrative; and graduate students who assist in the instruction of courses.

**Full-time worker** In educational institutions, an employee whose position requires being on the job on school days throughout the school year at least the number of hours the schools are in session. For higher education, a member of an educational institution's staff who is employed full time.

**General administration support services** Includes salary, benefits, supplies, and contractual fees for boards of education staff and executive administration. Excludes state administration.

**General Educational Development (GED) program** Academic instruction to prepare persons to take the high school equivalency examination. See also GED recipient.

**GED recipient** A person who has obtained certification of high school equivalency by meeting state requirements and passing an approved exam, which is intended to provide an appraisal of the person's achievement or performance in the broad subject matter areas usually required for high school graduation.

**General program** A program of studies designed to prepare students for the common activities of a citizen, family member, and worker. A general program of studies may include instruction in both academic and vocational areas.

**Government appropriation** An amount (other than a grant or contract) received from or made available to an institution through an act of a legislative body.

**Government grant or contract** Revenues from a government agency for a specific research project or other program.

**Graduate** An individual who has received formal recognition for the successful completion of a prescribed program of studies.

**Graduate enrollment** The number of students who hold the bachelor's or first-professional degree, or the equivalent, and who are working towards a master's or doctor's degree. First-professional students are counted separately. These enrollment data measure those students who are registered at a particular time during the fall. At some institutions, graduate enrollment also includes students who are in postbaccalaureate classes, but not in degree programs. In most tables, graduate enrollment includes all students in regular graduate programs and all students in postbaccalaureate classes, but not in degree programs (unclassified postbaccalaureate students).

**Graduate Record Examination (GRE)** Multiple-choice examinations administered by the Educational Testing Service and taken by college students who are intending to attend certain graduate schools. There are two types of testing available: (1) the general exam which measures critical thinking, analytical writing, verbal reasoning, and quantitative reasoning skills, and (2) the subject test which is offered in eight specific subjects and gauges undergraduate achievement in a specific field. The subject tests are intended for those who have majored in or have extensive background in that specific area.

**Graduation** Formal recognition given an individual for the successful completion of a prescribed program of studies.

**Gross domestic product (GDP)** The total national output of goods and services valued at market prices. GDP can be viewed in terms of expenditure categories which include purchases of goods and services by consumers and government, gross private domestic investment, and net exports of goods and services. The goods and services included are largely those bought for final use (excluding illegal transactions) in the market economy. A number of inclusions, however, represent imputed values, the most important of which is rental value of owner-occupied housing. GDP, in this broad context, measures the output attributable to the factors of production—labor and property—supplied by U.S. residents.

**Handicapped** See Disabilities, children with.

**Higher education** Study beyond secondary school at an institution that offers programs terminating in an associate, baccalaureate, or higher degree.

**Higher education institutions (basic classification)**

**4-year institution** An institution legally authorized to offer and offering at least a 4-year program of college-level studies wholly or principally creditable toward a baccalaureate degree. In some tables, a further division between universities and other 4-year institutions is made. A "university" is a postsecondary institution which

typically comprises one or more graduate professional schools (see also University). For purposes of trend comparisons in this volume, the selection of universities has been held constant for all tabulations after 1982. “Other 4-year institutions” would include the rest of the nonuniversity 4-year institutions.

**2-year institution** An institution legally authorized to offer and offering at least a 2-year program of college-level studies which terminates in an associate degree or is principally creditable toward a baccalaureate degree. Also includes some institutions that have a less than 2-year program, but were designated as institutions of higher education in the Higher Education General Information Survey.

### **Higher education institutions (Carnegie classification)**

**Doctorate-granting** Characterized by a significant level and breadth of activity in commitment to doctoral-level education as measured by the number of doctorate recipients and the diversity in doctoral-level program offerings. These institutions are assigned to one of the three subcategories listed below based on level of research activity (for more information on the research activity index used to assign institutions to the subcategories, see <http://www.carnegiefoundation.org/classifications/index.asp?key=798#related>):

*Research university, very high* Characterized by a very high level of research activity.

*Research university, high* Characterized by a high level of research activity.

*Doctoral/research university* Awarding at least 20 doctor’s degrees per year, but not having a high level of research activity.

**Master’s** Characterized by diverse postbaccalaureate programs (including first-professional), but not engaged in significant doctoral-level education.

**Baccalaureate** Characterized by primary emphasis on general undergraduate, baccalaureate-level education. Not significantly engaged in postbaccalaureate education.

**Special focus** Baccalaureate or postbaccalaureate institution emphasizing one area (plus closely related specialties), such as business or engineering. The programmatic emphasis is measured by the percentage of degrees granted in the program area.

**Associate’s** Conferring at least 90 percent of its degrees and awards for work below the bachelor’s level.

**Tribal** Colleges and universities that are members of the American Indian Higher Education Consortium, as identified in IPEDS Institutional Characteristics.

**Non-degree-granting** Offering undergraduate or graduate study, but not conferring degrees or awards. In this volume, these institutions are included under Specialized.

**Higher Education Price Index** A price index which measures average changes in the prices of goods and services purchased by colleges and universities through current-fund education and general expenditures (excluding expenditures for sponsored research and auxiliary enterprises).

**High school** A secondary school offering the final years of high school work necessary for graduation, usually includes grades 10, 11, 12 (in a 6-3-3 plan) or grades 9, 10, 11, and 12 (in a 6-2-4 plan).

**High school program** A program of studies designed to prepare students for their postsecondary education and occupation. Three types of programs are usually distinguished—academic, vocational, and general. An academic program is designed to prepare students for continued study at a college or university. A vocational program is designed to prepare students for employment in one or more semiskilled, skilled, or technical occupations. A general program is designed to provide students with the understanding and competence to function effectively in a free society and usually represents a mixture of academic and vocational components.

**Hispanic serving institutions** Pursuant to 302 (d) of Public Law 102-325 (20 U.S.C. 1059c), most recently amended December 20, 1993, in 2(a)(7) of Public Law 103-208, where Hispanic serving institutions are defined as those with full-time-equivalent undergraduate enrollment of Hispanic students at 25 percent or more.

**Historically black colleges and universities** Accredited institutions of higher education established prior to 1964 with the principal mission of educating black Americans. Federal regulations (20 U.S.C. 1061 (2)) allow for certain exceptions of the founding date.

**Household** All the people who occupy a housing unit. A house, apartment, mobile home, or other group of rooms, or a single room is regarded as a housing unit when it is occupied or intended for occupancy as separate living quarters, that is, when the occupants do not live and eat with any other people in the structure, and there is direct access from the outside or through a common hall.

**Housing unit** A house, an apartment, a mobile home, a group of rooms, or a single room that is occupied as separate living quarters.

**Income tax** Taxes levied on net income, that is, on gross income less certain deductions permitted by law. These taxes can be levied on individuals or on corporations or unincorporated businesses where the income is taxed distinctly from individual income.

**Independent operations** A group of self-supporting activities under control of a college or university. For purposes of financial surveys conducted by the National Center for Education

Statistics, this category is composed principally of federally funded research and development centers (FFRDC).

**Institutional support** The category of higher education expenditures that includes day-to-day operational support for colleges, excluding expenditures for physical plant operations. Examples of institutional support include general administrative services, executive direction and planning, legal and fiscal operations, and community relations.

**Instruction (colleges and universities)** That functional category including expenditures of the colleges, schools, departments, and other instructional divisions of higher education institutions and expenditures for departmental research and public service which are not separately budgeted; includes expenditures for both credit and noncredit activities. Excludes expenditures for academic administration where the primary function is administration (e.g., academic deans).

**Instruction (elementary and secondary)** Instruction encompasses all activities dealing directly with the interaction between teachers and students. Teaching may be provided for students in a school classroom, in another location such as a home or hospital, and in other learning situations such as those involving co-curricular activities. Instruction may be provided through some other approved medium, such as television, radio, telephone, and correspondence. Instruction expenditures include: salaries, employee benefits, purchased services, supplies, and tuition to private schools.

**Instructional staff** Full-time-equivalent number of positions, not the number of different individuals occupying the positions during the school year. In local schools, includes all public elementary and secondary (junior and senior high) day-school positions that are in the nature of teaching or in the improvement of the teaching-learning situation; includes consultants or supervisors of instruction, principals, teachers, guidance personnel, librarians, psychological personnel, and other instructional staff, and excludes administrative staff, attendance personnel, clerical personnel, and junior college staff.

**Instructional support services** Includes salary, benefits, supplies, and contractual fees for staff providing instructional improvement, educational media (library and audiovisual), and other instructional support services.

**Junior high school** A separately organized and administered secondary school intermediate between the elementary and senior high schools, usually includes grades 7, 8, and 9 (in a 6-3-3 plan) or grades 7 and 8 (in a 6-2-4 plan).

**Labor force** People employed as civilians, unemployed but looking for work, or in the armed services during the survey week. The “civilian labor force” comprises all civilians classified as employed or unemployed. See also Unemployed.

**Land-grant colleges** The First Morrill Act of 1862 facilitated the establishment of colleges through grants of land or funds in lieu of land. The Second Morrill Act in 1890 provided for money grants and for the establishment of black land-grant colleges and universities in those states with dual systems of higher education.

**Local education agency (LEA)** See School district.

**Locale codes** A classification system to describe a location. The “Metro-Centric” locale codes, developed in the 1980s, classified all schools and school districts based on their county’s proximity to metro statistical areas (MSA) and their specific location’s population size and density. In 2006, the “Urban-Centric” locale codes were introduced. These locale codes are based on an address’s proximity to an urbanized area. For more information see [http://nces.ed.gov/ccd/rural\\_locales.asp](http://nces.ed.gov/ccd/rural_locales.asp).

#### **Pre-2006 Metro-Centric Locale Codes**

**Large City:** A central city of a consolidated metropolitan statistical area (CMSA) or MSA, with the city having a population greater than or equal to 250,000.

**Mid-size City:** A central city of a CMSA or MSA, with the city having a population less than 250,000.

**Urban Fringe of a Large City:** Any territory within a CMSA or MSA of a Large City and defined as urban by the Census Bureau.

**Urban Fringe of a Mid-size City:** Any territory within a CMSA or MSA of a Mid-size City and defined as urban by the Census Bureau.

**Large Town:** An incorporated place or Census-designated place with a population greater than or equal to 25,000 and located outside a CMSA or MSA.

**Small Town:** An incorporated place or Census-designated place with a population less than 25,000 and greater than or equal to 2,500 and located outside a CMSA or MSA.

**Rural, Outside MSA:** Any territory designated as rural by the Census Bureau that is outside a CMSA or MSA of a Large or Mid-size City.

**Rural, Inside MSA:** Any territory designated as rural by the Census Bureau that is within a CMSA or MSA of a Large or Mid-size City.

#### **2006 Urban-Centric Locale Codes**

**City, Large:** Territory inside an urbanized area and inside a principal city with population of 250,000 or more.

**City, Midsize:** Territory inside an urbanized area and inside a principal city with population less than 250,000 and greater than or equal to 100,000.

**City, Small:** Territory inside an urbanized area and inside a principal city with population less than 100,000.



**Suburb, Large:** Territory outside a principal city and inside an urbanized area with population of 250,000 or more.

**Suburb, Midsize:** Territory outside a principal city and inside an urbanized area with population less than 250,000 and greater than or equal to 100,000.

**Suburb, Small:** Territory outside a principal city and inside an urbanized area with population less than 100,000.

**Town, Fringe:** Territory inside an urban cluster that is less than or equal to 10 miles from an urbanized area.

**Town, Distant:** Territory inside an urban cluster that is more than 10 miles and less than or equal to 35 miles from an urbanized area.

**Town, Remote:** Territory inside an urban cluster that is more than 35 miles from an urbanized area.

**Rural, Fringe:** Census-defined rural territory that is less than or equal to 5 miles from an urbanized area, as well as rural territory that is less than or equal to 2.5 miles from an urban cluster.

**Rural, Distant:** Census-defined rural territory that is more than 5 miles but less than or equal to 25 miles from an urbanized area, as well as rural territory that is more than 2.5 miles but less than or equal to 10 miles from an urban cluster.

**Rural, Remote:** Census-defined rural territory that is more than 25 miles from an urbanized area and is also more than 10 miles from an urban cluster.

**Mandatory transfer** A transfer of current funds that must be made in order to fulfill a binding legal obligation of the institution. Included under mandatory transfers are debt service provisions relating to academic and administrative buildings, including (1) amounts set aside for debt retirement and interest and (2) required provisions for renewal and replacement of buildings to the extent these are not financed from other funds.

**Master's degree** A degree awarded for successful completion of a program generally requiring 1 or 2 years of full-time college-level study beyond the bachelor's degree. One type of master's degree, including the Master of Arts degree, or M.A., and the Master of Science degree, or M.S., is awarded in the liberal arts and sciences for advanced scholarship in a subject field or discipline and demonstrated ability to perform scholarly research. A second type of master's degree is awarded for the completion of a professionally oriented program, for example, an M.Ed. in education, an M.B.A. in business administration, an M.F.A. in fine arts, an M.M. in music, an M.S.W. in social work, and an M.P.A. in public administration. A third type of master's degree is awarded in professional fields for study beyond the first-professional degree, for example, the Master of Laws (L.L.M.) and Master

of Science in various medical specializations. Master's or divinity degrees (CIP Code 39.0602) are included under first-professional degrees, rather than under master's degrees.

**Mathematics** A group of instructional programs that describes the science of numbers and their operations, interrelations, combinations, generalizations, and abstractions and of space configurations and their structure, measurement, transformations, and generalizations.

**Mean test score** The score obtained by dividing the sum of the scores of all individuals in a group by the number of individuals in that group.

**Metropolitan population** The population residing in Metropolitan Statistical Areas (MSAs). See Metropolitan Statistical Area.

**Metropolitan Statistical Area (MSA)** A large population nucleus and the nearby communities which have a high degree of economic and social integration with that nucleus. Each MSA consists of one or more entire counties (or county equivalents) that meet specified standards pertaining to population, commuting ties, and metropolitan character. In New England, towns and cities, rather than counties, are the basic units. MSAs are designated by the Office of Management and Budget. An MSA includes a city and, generally, its entire urban area and the remainder of the county or counties in which the urban area is located. An MSA also includes such additional outlying counties which meet specified criteria relating to metropolitan character and level of commuting of workers into the central city or counties. Specified criteria governing the definition of MSAs recognized before 1980 are published in *Standard Metropolitan Statistical Areas: 1975*, issued by the Office of Management and Budget. New MSAs were designated when 1980 counts showed that they met one or both of the following criteria:

1. Included a city with a population of at least 50,000 within their corporate limits, or
2. Included a Census Bureau-defined urbanized area (which must have a population of at least 50,000) and a total MSA population of at least 100,000 (or, in New England, 75,000).

**Migration** Geographic mobility involving a change of usual residence between clearly defined geographic units, that is, between counties, states, or regions.

**Minimum-competency testing** Measuring the acquisition of competence or skills to or beyond a certain specified standard.

**National Assessment of Educational Progress (NAEP)** See Appendix A: Guide to Sources.

**Newly qualified teacher** People who: (1) first became eligible for a teaching license during the period of the study refer-

enced or who were teaching at the time of survey, but were not certified or eligible for a teaching license; and (2) had never held full-time, regular teaching positions (as opposed to substitute) prior to completing the requirements for the degree which brought them into the survey.

**Nonmetropolitan residence group** The population residing outside Metropolitan Statistical Areas. See Metropolitan Statistical Area.

**Nonresident alien** A person who is not a citizen of the United States and who is in this country on a temporary basis and does not have the right to remain indefinitely.

**Nonsupervisory instructional staff** People such as curriculum specialists, counselors, librarians, remedial specialists, and others possessing education certification, but not responsible for day-to-day teaching of the same group of pupils.

**Not-for-profit institution** A private institution in which the individual(s) or agency in control receives no compensation other than wages, rent, or other expenses for the assumption of risk. Not-for-profit institutions may be either independent not-for-profit (i.e., having no religious affiliation) or religiously affiliated.

**Obligations** Amounts of orders placed, contracts awarded, services received, or similar legally binding commitments made by federal agencies during a given period that will require outlays during the same or some future period.

**Occupational home economics** Courses of instruction emphasizing the acquisition of competencies needed for getting and holding a job or preparing for advancement in an occupational area using home economics knowledge and skills.

**Occupied housing unit** Separate living quarters with occupants currently inhabiting the unit. See also Housing unit.

**Off-budget federal entities** Organizational entities, federally owned in whole or in part, whose transactions belong in the budget under current budget accounting concepts, but that have been excluded from the budget totals under provisions of law.

**Operation and maintenance services** Includes salary, benefits, supplies, and contractual fees for supervision of operations and maintenance, operating buildings (heating, lighting, ventilating, repair, and replacement), care and upkeep of grounds and equipment, vehicle operations and maintenance (other than student transportation), security, and other operations and maintenance services.

**Other foreign languages and literatures** Any instructional program in foreign languages and literatures not listed in the table, including language groups and individual languages, such as the non-Semitic African languages, Native Ameri-

can languages, the Celtic languages, Pacific language groups, the Ural-Altaic languages, Basque, and others.

**Other support services** Includes salary, benefits, supplies, and contractual fees for business support services, central support services, and other support services not otherwise classified.

**Other support services staff** All staff not reported in other categories. This group includes media personnel, social workers, bus drivers, security, cafeteria workers, and other staff.

**Outlays** The value of checks issued, interest accrued on the public debt, or other payments made, net of refunds and reimbursements.

**Part-time enrollment** The number of students enrolled in higher education courses with a total credit load less than 75 percent of the normal full-time credit load.

**Personal income** Current income received by people from all sources, minus their personal contributions for social insurance. Classified as "people" are individuals (including owners of unincorporated firms), nonprofit institutions serving individuals, private trust funds, and private noninsured welfare funds. Personal income includes transfers (payments not resulting from current production) from government and business such as social security benefits and military pensions, but excludes transfers among people.

**Physical plant assets** Includes the values of land, buildings, and equipment owned, rented, or utilized by colleges. Does not include those plant values which are a part of endowment or other capital fund investments in real estate; excludes construction in progress.

**Postbaccalaureate enrollment** The number of graduate and first-professional students working towards advanced degrees and of students enrolled in graduate-level classes, but not enrolled in degree programs. See also Graduate enrollment and First-professional enrollment.

**Postsecondary education** The provision of formal instructional programs with a curriculum designed primarily for students who have completed the requirements for a high school diploma or equivalent. This includes programs of an academic, vocational, and continuing professional education purpose, and excludes avocational and adult basic education programs.

**Private school or institution** A school or institution which is controlled by an individual or agency other than a state, a subdivision of a state, or the federal government, which is usually supported primarily by other than public funds, and the operation of whose program rests with other than publicly elected or appointed officials. Private schools and institutions include both not-for-profit and for-profit institutions.

**Property tax** The sum of money collected from a tax levied against the value of property.

**Proprietary (for profit) institution** A private institution in which the individual(s) or agency in control receives compensation other than wages, rent, or other expenses for the assumption of risk.

**Public school or institution** A school or institution controlled and operated by publicly elected or appointed officials and deriving its primary support from public funds.

**Pupil/teacher ratio** The enrollment of pupils at a given period of time, divided by the full-time-equivalent number of classroom teachers serving these pupils during the same period.

**Racial/ethnic group** Classification indicating general racial or ethnic heritage. Race/ethnicity data are based on the *Hispanic* ethnic category and the race categories listed below (five single-race categories, plus the *Two or more races* category). Throughout the *Digest*, race categories exclude persons of Hispanic ethnicity unless otherwise noted.

**White:** A person having origins in any of the original peoples of Europe, the Middle East, or North Africa.

**Black or African American:** A person having origins in any of the black racial groups of Africa. Used interchangeably with the shortened term *Black*.

**Hispanic or Latino:** A person of Cuban, Mexican, Puerto Rican, South or Central American, or other Spanish culture or origin, regardless of race. Used interchangeably with the shortened term *Hispanic*.

**Asian:** A person having origins in any of the original peoples of the Far East, Southeast Asia, or the Indian subcontinent, including, for example, Cambodia, China, India, Japan, Korea, Malaysia, Pakistan, the Philippine Islands, Thailand, and Vietnam.

**Native Hawaiian or Other Pacific Islander:** A person having origins in any of the original peoples of Hawaii, Guam, Samoa, or other Pacific Islands.

**American Indian or Alaska Native:** A person having origins in any of the original peoples of North and South America (including Central America), and who maintains tribal affiliation or community attachment.

**Two or more races:** A person identifying himself or herself as of two or more of the following race groups: White, Black, Hispanic, Asian, Native Hawaiian or Other Pacific Islander, or American Indian or Alaska Native.

**Related children** Related children in a family include own children and all other children in the household who are related to the householder by birth, marriage, or adoption.

**Remedial education** Instruction for a student lacking those reading, writing, or math skills necessary to perform college-level work at the level required by the attended institution.

**Resident population** Includes civilian population and armed forces personnel residing within the United States; excludes armed forces personnel residing overseas.

**Revenue** All funds received from external sources, net of refunds, and correcting transactions. Noncash transactions, such as receipt of services, commodities, or other receipts in kind are excluded, as are funds received from the issuance of debt, liquidation of investments, and nonroutine sale of property.

**Rural school** See Locale codes.

**Salary** The total amount regularly paid or stipulated to be paid to an individual, before deductions, for personal services rendered while on the payroll of a business or organization.

**Sales and services** Revenues derived from the sales of goods or services that are incidental to the conduct of instruction, research, or public service. Examples include film rentals, scientific and literary publications, testing services, university presses, and dairy products.

**Sales tax** Tax imposed upon the sale and consumption of goods and services. It can be imposed either as a general tax on the retail price of all goods and services sold or as a tax on the sale of selected goods and services.

**SAT** An examination administered by the Educational Testing Service and used to predict the facility with which an individual will progress in learning college-level academic subjects. It was formerly called the Scholastic Assessment Test.

**Scholarships and fellowships** This category of college expenditures applies only to money given in the form of outright grants and trainee stipends to individuals enrolled in formal coursework, either for credit or not. Aid to students in the form of tuition or fee remissions is included. College work-study funds are excluded and are reported under the program in which the student is working.

**School** A division of the school system consisting of students in one or more grades or other identifiable groups and organized to give instruction of a defined type. One school may share a building with another school or one school may be housed in several buildings.

**School administration support services** Includes salary, benefits, supplies, and contractual fees for the office of the principal, full-time department chairpeople, and graduation expenses.

**School climate** The social system and culture of the school, including the organizational structure of the school and values and expectations within it.

**School district** An education agency at the local level that exists primarily to operate public schools or to contract for public school services. Synonyms are “local basic administrative unit” and “local education agency.”

**Science** The body of related courses concerned with knowledge of the physical and biological world and with the processes of discovering and validating this knowledge.

**Secondary enrollment** The total number of students registered in a school beginning with the next grade following an elementary or middle school (usually 7, 8, or 9) and ending with or below grade 12 at a given time.

**Secondary instructional level** The general level of instruction provided for pupils in secondary schools (generally covering grades 7 through 12 or 9 through 12) and any instruction of a comparable nature and difficulty provided for adults and youth beyond the age of compulsory school attendance.

**Secondary school** A school comprising any span of grades beginning with the next grade following an elementary or middle school (usually 7, 8, or 9) and ending with or below grade 12. Both junior high schools and senior high schools are included.

**Senior high school** A secondary school offering the final years of high school work necessary for graduation.

**Serial volumes** Publications issued in successive parts, usually at regular intervals, and as a rule, intended to be continued indefinitely. Serials include periodicals, newspapers, annuals, memoirs, proceedings, and transactions of societies.

**Social studies** A group of instructional programs that describes the substantive portions of behavior, past and present activities, interactions, and organizations of people associated together for religious, benevolent, cultural, scientific, political, patriotic, or other purposes.

**Socioeconomic status (SES)** For the High School and Beyond study and the National Longitudinal Study of the High School Class of 1972, the SES index is a composite of five equally weighted, standardized components: father’s education, mother’s education, family income, father’s occupation, and household items. The terms high, middle, and low SES refer to the upper, middle two, and lower quartiles of the weighted SES composite index distribution.

**Special education** Direct instructional activities or special learning experiences designed primarily for students identified as having exceptionalities in one or more aspects of the cognitive process or as being underachievers in relation to general level or model of their overall abilities. Such services usually are directed at students with the following conditions: (1) physically handicapped; (2) emotionally disabled; (3) culturally different, including compensatory

education; (4) intellectually disabled; and (5) students with learning disabilities. Programs for the mentally gifted and talented are also included in some special education programs. See also Disabilities, children with.

**Standardized test** A test composed of a systematic sampling of behavior, administered and scored according to specific instructions, capable of being interpreted in terms of adequate norms, and for which there are data on reliability and validity.

**Standardized test performance** The weighted distributions of composite scores from standardized tests used to group students according to performance.

**Standard Metropolitan Statistical Area (SMSA)** See Metropolitan Statistical Area (MSA).

**Student** An individual for whom instruction is provided in an educational program under the jurisdiction of a school, school system, or other education institution. No distinction is made between the terms “student” and “pupil,” though “student” may refer to one receiving instruction at any level while “pupil” refers only to one attending school at the elementary or secondary level. A student may receive instruction in a school facility or in another location, such as at home or in a hospital. Instruction may be provided by direct student-teacher interaction or by some other approved medium such as television, radio, telephone, and correspondence.

**Student support services** Includes salary, benefits, supplies, and contractual fees for staff providing attendance and social work, guidance, health, psychological services, speech pathology, audiology, and other support to students.

**Study abroad population** U.S. citizens and permanent residents, enrolled for a degree at an accredited higher education institution in the United States, who received academic credit for study abroad from their home institutions upon their return. Students studying abroad without receiving academic credit are not included, nor are U.S. students enrolled for a degree overseas.

**Subject-matter club** Organizations that are formed around a shared interest in a particular area of study and whose primary activities promote that interest. Examples of such organizations are math, science, business, and history clubs.

**Supervisory staff** Principals, assistant principals, and supervisors of instruction; does not include superintendents or assistant superintendents.

**Tax base** The collective value of objects, assets, and income components against which a tax is levied.

**Tax expenditures** Losses of tax revenue attributable to provisions of the federal income tax laws that allow a special exclusion, exemption, or deduction from gross income or provide a

special credit, preferential rate of tax, or a deferral of tax liability affecting individual or corporate income tax liabilities.

**Teacher** See Instructional staff.

**Technical education** A program of vocational instruction that ordinarily includes the study of the sciences and mathematics underlying a technology, as well as the methods, skills, and materials commonly used and the services performed in the technology. Technical education prepares individuals for positions—such as draftsman or lab technician—in the occupational area between the skilled craftsman and the professional person.

**Title IV** Refers to a section of the Higher Education Act of 1965 that covers the administration of the federal student financial aid program.

**Title IV eligible institution** A postsecondary institution that meets the criteria for participating in the federal student financial aid program. An eligible institution must be any of the following: (1) an institution of higher education (with public or private, non-profit control), (2) a proprietary institution (with private for-profit control), and (3) a postsecondary vocational institution (with public or private, not-for-profit control). In addition, it must have acceptable legal authorization, acceptable accreditation and admission stands, eligible academic program(s), administrative capability, and financial responsibility.

**Total expenditure per pupil in average daily attendance** Includes all expenditures allowable to per pupil costs divided by average daily attendance. These allocable expenditures include current expenditures for regular school programs, interest on school debt, and capital outlay. Beginning in 1980–81, expenditures for state administration are excluded and expenditures for other programs (summer schools, community colleges, and private schools) are included.

**Town school** See Locale codes.

**Trade and industrial occupations** The branch of vocational education which is concerned with preparing people for initial employment or with updating or retraining workers in a wide range of trade and industrial occupations. Such occupations are skilled or semiskilled and are concerned with layout designing, producing, processing, assembling, testing, maintaining, servicing, or repairing any product or commodity.

**Transcript** An official list of all courses taken by a student at a school or college showing the final grade received for each course, with definitions of the various grades given at the institution.

**Trust funds** Amounts collected and used by the federal government for carrying out specific purposes and programs

according to terms of a trust agreement or statute, such as the social security and unemployment trust funds. Trust fund receipts that are not anticipated to be used in the immediate future are generally invested in interest-bearing government securities and earn interest for the trust fund.

**Tuition and fees** A payment or charge for instruction or compensation for services, privileges, or the use of equipment, books, or other goods.

**Unadjusted dollars** See Current dollars.

**Unclassified students** Students who are not candidates for a degree or other formal award, although they are taking higher education courses for credit in regular classes with other students.

**Undergraduate students** Students registered at an institution of higher education who are working in a program leading to a baccalaureate degree or other formal award below the baccalaureate, such as an associate degree.

**Unemployed** Civilians who had no employment but were available for work and: (1) had engaged in any specific job seeking activity within the past 4 weeks; (2) were waiting to be called back to a job from which they had been laid off; or (3) were waiting to report to a new wage or salary job within 30 days.

**University** An institution of higher education consisting of a liberal arts college, a diverse graduate program, and usually two or more professional schools or faculties and empowered to confer degrees in various fields of study. For purposes of maintaining trend data in this publication, the selection of university institutions has not been revised since 1982.

**Urban fringe school** See Locale codes.

**U.S. Service Academies** These institutions of higher education are controlled by the U.S. Department of Defense and the U.S. Department of Transportation. The 5 institutions counted in the NCES surveys of degree granting institutions include: the U.S. Air Force Academy, U.S. Coast Guard Academy, U.S. Merchant Marine Academy, U.S. Military Academy, and the U.S. Naval Academy.

**Visual and performing arts** A group of instructional programs that generally describes the historic development, aesthetic qualities, and creative processes of the visual and performing arts.

**Vocational education** Organized educational programs, services, and activities which are directly related to the preparation of individuals for paid or unpaid employment, or for additional preparation for a career, requiring other than a baccalaureate or advanced degree.



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