

# IPEDS

2020-21

## Prior Year Data Revision System

### IPEDS HELP DESK

(877) 225-2568 | [ipedshelp@rti.org](mailto:ipedshelp@rti.org)

OMB NO. 1850-0582 v.27 : Approval Expires 8/31/2022

## 2020-21 Survey Materials > Form

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### Graduation Rates for 2-year institutions reporting on a fall cohort (academic reporters)

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#### Overview

##### Graduation Rates Overview

Welcome to the IPEDS Graduation Rates (GR) survey component. The GR component collects data on the cohort of full-time, first-time degree/certificate-seeking undergraduate students and tracks their completion status at 150% of the normal time to complete all requirements of their program of study. This information is collected to assist institutions in complying with the requirements of the Student Right-to-Know Act.

##### Data Reporting Reminders

- Report data to accurately reflect the time period corresponding with the IPEDS survey component, even if such reporting is seemingly inconsistent with prior-year reporting. For example, if a summer term began later than usual due to Coronavirus Pandemic postponements, continue to report using the timeframes as defined in the IPEDS instructions. NCES expects that some data reported during the 2020-21 data collection year will vary from established prior trends due to the impacts of Coronavirus Pandemic. If an error edit is triggered even when submitting accurate data, please indicate in the corresponding context box or verbally to the Help Desk that the seemingly inconsistent data are accurate and reflect the effects of Coronavirus Pandemic.
- Once a student is in the cohort, they remain in the cohort, even if their status changes to part-time or they drop out or transfer out of the institution. However, adjustments can be made to the cohort for allowable exclusions, which include the death of a student, permanent disability, military deployment, or service on an official church mission or with a foreign aid service of the Federal government.



- When reporting award levels for sub-baccalaureate certificates, determine program length by the number of credit or clock hours, NOT the academic year length in parentheses. The academic year length is meant only to provide context.

##### Changes to reporting for 2020-21:

There are no changes to this survey component.

##### Resources:

- The survey materials package for this component can be downloaded using the following link: [Survey Materials](#)

If you have questions about completing this survey, please contact the **IPEDS Help Desk at 1-877-225-2568**.

## Establishing cohort

### Establishing cohorts

Based on your institution's response to the predominant calendar system question (B3) on the Institutional Characteristics Header survey component from the IPEDS Fall 2020 data collection,

your institution must report graduation rates data using a :

Fall Cohort (Fall 2017)

A fall cohort is used by institutions with standard academic terms (semester, trimester, quarter, 4-1-4). A full-year cohort is used by institutions offering primarily occupational/vocational programs and operating on a continuous basis.

#### Reporting Reminder:

- Report Hispanic/Latino individuals of any race as Hispanic/Latino
- Report race for non-Hispanic/Latino individuals only

### Cohort of full-time, first-time degree/certificate-seeking undergraduate students

Please review the data in the initial cohort column and re-enter the cohort data making any necessary corrections for omissions, erroneous reporting, or where better information regarding race/ethnicity or gender is available. Institutions must continue to report using the new race/ethnicity categories. For information and assistance with this, please visit the [IPEDS Race/Ethnicity Information Center](#).

#### Men

Screen 1 of 5	Cohort year 2017	
	Initial cohort	Revised cohort
<u>Nonresident alien</u>		<input type="text"/>
<u>Hispanic/Latino</u>		<input type="text"/>
<u>American Indian or Alaska Native</u>		<input type="text"/>
<u>Asian</u>		<input type="text"/>
<u>Black or African American</u>		<input type="text"/>
<u>Native Hawaiian or Other Pacific Islander</u>		<input type="text"/>
<u>White</u>		<input type="text"/>
Two or more races		<input type="text"/>
<u>Race and ethnicity unknown</u>		<input type="text"/>
<b>Total men</b>		

#### Women

Screen 1 of 5	Cohort year 2017	
	Initial cohort	Revised cohort
<u>Nonresident alien</u>		<input type="text"/>
<u>Hispanic/Latino</u>		<input type="text"/>
<u>American Indian or Alaska Native</u>		<input type="text"/>
<u>Asian</u>		<input type="text"/>
<u>Black or African American</u>		<input type="text"/>
<u>Native Hawaiian or Other Pacific Islander</u>		<input type="text"/>
<u>White</u>		<input type="text"/>
Two or more races		<input type="text"/>
<u>Race and ethnicity unknown</u>		<input type="text"/>
<b>Total women</b>		

<b>Total men + women</b>		
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Completers within 150%

**Cohort of full-time, first-time degree/certificate-seeking undergraduate students**

In the columns below, report the status of the 2017 cohort of full-time, first-time degree/certificate-seeking undergraduate students listed in Column 10. Those who completed their program within 150% of normal time as of August 31, 2020 should be reported in either Column 11 or 12, depending on the length of the program completed.

- Report Hispanic/Latino individuals of any race as Hispanic/Latino
- Report race for non-Hispanic/Latino individuals only

**Men**

Screen 2 of 5	Cohort year 2017			
	Cohort	Cohort students who completed their program within 150% of <u>normal time to completion</u>		Total completers within 150%
		ⓘ Completers of programs of less than 2 academic yrs (or equivalent)	ⓘ Completers of programs of at least 2 but less than 4 academic yrs (or equivalent)	
	(Column 10)	(Column 11)	(Column 12)	(Column 29)
<u>Nonresident alien</u>		<input type="text"/>	<input type="text"/>	
<u>Hispanic/Latino</u>		<input type="text"/>	<input type="text"/>	
<u>American Indian or Alaska Native</u>		<input type="text"/>	<input type="text"/>	
<u>Asian</u>		<input type="text"/>	<input type="text"/>	
<u>Black or African American</u>		<input type="text"/>	<input type="text"/>	
<u>Native Hawaiian or Other Pacific Islander</u>		<input type="text"/>	<input type="text"/>	
<u>White</u>		<input type="text"/>	<input type="text"/>	
Two or more races		<input type="text"/>	<input type="text"/>	
<u>Race and ethnicity unknown</u>		<input type="text"/>	<input type="text"/>	
<b>Total men</b>				

**Women**

Screen 2 of 5	Cohort year 2017			
	Cohort	Cohort students who completed their program within 150% of <u>normal time to completion</u>		Total completers within 150%
		ⓘ Completers of programs of less than 2 academic yrs (or equivalent)	ⓘ Completers of programs of at least 2 but less than 4 academic yrs (or equivalent)	
	(Column 10)	(Column 11)	(Column 12)	(Column 29)
<u>Nonresident alien</u>		<input type="text"/>	<input type="text"/>	
<u>Hispanic/Latino</u>		<input type="text"/>	<input type="text"/>	
<u>American Indian or Alaska Native</u>		<input type="text"/>	<input type="text"/>	
<u>Asian</u>		<input type="text"/>	<input type="text"/>	
<u>Black or African American</u>		<input type="text"/>	<input type="text"/>	
<u>Native Hawaiian or Other Pacific Islander</u>		<input type="text"/>	<input type="text"/>	
<u>White</u>		<input type="text"/>	<input type="text"/>	
Two or more races		<input type="text"/>	<input type="text"/>	

Race and ethnicity unknown		<input type="text"/>	<input type="text"/>	
<b>Total women</b>				
<b>Total men + women</b>				

## Transfers/exclusions

- In the columns below, report the status of those students in the 2017 cohort of full-time, first-time degree/certificate-seeking undergraduate students listed in Column 10 who did not complete a program as of August 31, 2020.
- Report transfers-out who did not complete a program in Column 30. If the mission of your institution includes providing substantial preparation for students to enroll in another eligible institution WITHOUT having completed a program, you must report transfer-out data in Column 30. A school is required to report only on those students that the school knows have transferred to another eligible institution. A school must document that the student actually transferred. If it is not part of your mission, you may report transfer-out data if you wish.
- Report eligible exclusions from the cohort in Column 45. The ONLY allowable categories for this column are:
  - students who died or became permanently disabled
  - students who left school to serve in the armed forces (or have been called up to active duty)
  - students who left school to serve with a foreign aid service of the Federal Government
  - students who left school to serve on an official church mission
- Column 52 [No longer enrolled] will be calculated for you. This includes students who have dropped out as well as those who completed in greater than 150% of normal time.
- If you do not have any values to report in either Column 30, 45, or 51, you must enter at least one zero in a field on this screen to continue.

### Cohort of full-time, first-time degree/certificate-seeking undergraduate students

- Report Hispanic/Latino individuals of any race as Hispanic/Latino
- Report race for non-Hispanic/Latino individuals only

### Men

Screen 3 of 5	Cohort year 2017					
	Cohort	Total completers within 150%	Total transfer-out students	Total exclusions	Still enrolled	No longer enrolled
	(Column 10)	(Column 29)	(Column 30)	(Column 45)	(Column 51)	(Column 52)
<u>Nonresident alien</u>			<input type="text"/>	<input type="text"/>	<input type="text"/>	
<u>Hispanic/Latino</u>			<input type="text"/>	<input type="text"/>	<input type="text"/>	
<u>American Indian or Alaska Native</u>			<input type="text"/>	<input type="text"/>	<input type="text"/>	
<u>Asian</u>			<input type="text"/>	<input type="text"/>	<input type="text"/>	
<u>Black or African American</u>			<input type="text"/>	<input type="text"/>	<input type="text"/>	
<u>Native Hawaiian or Other Pacific Islander</u>			<input type="text"/>	<input type="text"/>	<input type="text"/>	
<u>White</u>			<input type="text"/>	<input type="text"/>	<input type="text"/>	
Two or more races			<input type="text"/>	<input type="text"/>	<input type="text"/>	
<u>Race and ethnicity unknown</u>			<input type="text"/>	<input type="text"/>	<input type="text"/>	
<b>Total men</b>						

### Women

Screen 3 of 5	Cohort year 2017					
	Cohort	Total completers within 150%	Total transfer-out students	Total exclusions	Still enrolled	No longer enrolled
	(Column 10)	(Column 29)	(Column 30)	(Column 45)	(Column 51)	(Column 52)
<u>Nonresident alien</u>			<input type="text"/>	<input type="text"/>	<input type="text"/>	
<u>Hispanic/Latino</u>			<input type="text"/>	<input type="text"/>	<input type="text"/>	
<u>American Indian or Alaska Native</u>			<input type="text"/>	<input type="text"/>	<input type="text"/>	
<u>Asian</u>			<input type="text"/>	<input type="text"/>	<input type="text"/>	
<u>Black or African American</u>			<input type="text"/>	<input type="text"/>	<input type="text"/>	
<u>Native Hawaiian or Other Pacific Islander</u>			<input type="text"/>	<input type="text"/>	<input type="text"/>	

<u>White</u>				<input type="text"/>	<input type="text"/>	<input type="text"/>	
Two or more races				<input type="text"/>	<input type="text"/>	<input type="text"/>	
<u>Race and ethnicity unknown</u>				<input type="text"/>	<input type="text"/>	<input type="text"/>	
<b>Total women</b>							
<b>Total men + women</b>							
Total in prior year (men and women)							

**Completers within 100%**

- In the columns below, report the status of those students in the 2017 cohort of full-time, first-time degree/certificate-seeking undergraduate students who completed their program within 100% of normal time to completion.
- Those who completed their program within 100% of normal time should be reported in either Column 55 or 56, depending on the length of the program completed.

**Cohort of full-time, first-time degree/certificate-seeking undergraduate students**

Screen 4 of 5	<u>Cohort</u> year 2017				
	<u>Revised cohort</u>	<u>Exclusions</u>	Cohort students who completed their program within 100% of <u>normal time to completion</u>		Total completers within 100% (Column 55 + 56)
			i Completers of programs of less than 2 academic yrs (or equivalent)	i Completers of programs of at least 2 but less than 4 academic yrs (or equivalent)	
			(Column 55)	(Column 56)	(Column 57)
<b>Total men + women</b>			<input type="text"/>	<input type="text"/>	

Pell recipients and recipients of a subsidized Direct Loan who did not receive a Pell Grant

For each subcohort, report the number of students in the cohort, total exclusions for the cohort, and the number of students that completed a certificate or degree within 150% of normal time to completion.

- Recipients of a Pell Grant and Recipients of a Direct Subsidized Loan that did not receive a Pell Grant are *mutually exclusive*, that is, if a student is in one cohort, they cannot be in the other cohort.
  - The total of these 2 subcohorts must be less than the full-time, first-time, degree/certificate-seeking cohort

Cohort of full-time, first-time degree/certificate-seeking undergraduate students

Screen 5 of 5		Cohort year 2017		
		Number of students in cohort  (Column 10)	Total <u>exclusions</u>  (Column 45)	<u>Number of students that completed within 150% of normal time to completion</u>  (Column 29)
Full-time, first-time, degree/certificate-seeking cohort				
	Recipients of a Pell Grant (within entering year)	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Recipients of a Direct Subsidized Loan (Within entering year) that did not receive a Pell Grant	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Did not receive either a Pell Grant or Direct Subsidized Loan (within entering year)			



Prepared by

**Prepared by**

Reporting Reminders:

- The name of the preparer is being collected so that we can follow up with the appropriate person in the event that there are questions concerning the data.
- The Keyholder will be copied on all email correspondence to other preparers.
- The time it took to prepare this component is being collected so that we can continue to improve our estimate of the reporting burden associated with IPEDS.
- Please include in your estimate the time it took for you to review instructions, query and search data sources, complete and review the component, and submit the data through the Data Collection System.
- Thank you for your assistance.

This survey component was prepared by:		
<input type="radio"/> Keyholder	<input type="radio"/> SFA Contact	<input type="radio"/> HR Contact
<input type="radio"/> Finance Contact	<input type="radio"/> Academic Library Contact	<input type="radio"/> Other
Name:	<input type="text"/>	
Email:	<input type="text"/>	

How many staff from your institution only were involved in the data collection and reporting process of this survey component?
<input type="text"/> Number of Staff (including yourself)

How many hours did you and others from your institution only spend on each of the steps below when responding to this survey component? <i>Exclude the hours spent collecting data for state and other reporting purposes.</i>				
Staff member	Collecting Data Needed	Revising Data to Match IPEDS Requirements	Entering Data	Revising and Locking Data
Your office	<input type="text"/> hours	<input type="text"/> hours	<input type="text"/> hours	<input type="text"/> hours
Other offices	<input type="text"/> hours	<input type="text"/> hours	<input type="text"/> hours	<input type="text"/> hours

### **Purpose of the Survey**

### **Changes in Reporting**

### **General Instructions**

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[Pell recipients and recipients of a subsidized Direct Loan who did not receive a Pell Grant](#)

### **Calculation of Graduation and Transfer-out Rates**

## **Purpose of the Survey**

The purpose of the IPEDS Graduation Rates survey component is to track given cohorts of full-time, first-time degree/certificate-seeking undergraduate students - as well as their completion status at 150% of the normal time to complete all requirements of their program of study - at postsecondary institutions. These data are collected to assist institutions in complying with the requirements of the Student Right-to-Know Act.

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## **Changes in reporting**

There are no changes to this survey component.

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## **General Instructions**

### **Reporting Period Covered**

This survey component collects data on the cohort of full-time, first-time degree/certificate-seeking undergraduate students enrolled in your institution either (1) as of October 15, 2017 (or the institution's official fall reporting date) for institutions that offer a predominant number of programs based on standard academic terms (e.g., semesters, trimesters, quarters, or 4-1-4 plan); or (2) during the period between September 1, 2017 and August 31, 2018 for institutions that do not offer a predominant number of programs based on standard academic terms. Institutions are to report the status of these students as of August 31, 2020.

### **Context Boxes**

Context boxes are provided to allow institutions to provide more information regarding survey component items. Note that some context boxes are posted on the [College Navigator Website](#), which is the college search tool offered by NCES. NCES will review entries in these context boxes for applicability and appropriateness before posting them on the [College Navigator Website](#); institutions should check grammar and spelling of their entries.

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## **Coverage**

## Who to Include in the Cohort

Include all full-time, first-time degree/certificate-seeking undergraduate students entering the institution either during the fall term or during the 12-month period as described above. Include students enrolled in the fall term who attended college for the first time in the prior summer term and students who entered with advanced standing (college credits earned before graduating from high school).

For institutions that will report using a **full-year cohort**, count as entering students all those students who entered the institution between September 1, 2017 and August 31, 2018, and who were enrolled for at least 15 days in a program of up to and including one year in length; or 30 days in a program of greater than one year in length.

Include all students enrolled for credit toward a degree, diploma, certificate, or other recognized postsecondary credential. Include students enrolled in courses that are part of a vocational or occupational program, INCLUDING those enrolled in off-campus centers and those enrolled in distance learning/home study programs.

Be sure to include full-time students taking remedial courses if the student is considered degree-seeking for the purpose of student financial aid determination.

This includes students who:

- Received any type of federal financial aid, regardless of what courses they took at any time
- Received any state or locally based financial aid with an eligibility requirement that the student be enrolled in a degree, certificate, or transfer-seeking program
- Obtained a student visa to enroll at a U.S. postsecondary institution

A student who is designated as a member of the cohort remains in the cohort, even if the student:

- Becomes a part-time student
- Transfers to another institution
- Drops out of the institution
- Stops out of the institution
- Has not fulfilled the institution's requirements to receive a degree or certificate
- Went on a study abroad program the first year upon entering the institution

## Who to Exclude from the Cohort

Do NOT include students in the cohort who are:

- Enrolled exclusively in courses not creditable toward a recognized postsecondary credential or the completion of a vocational program (i.e., non-degree/certificate-seeking students)
- Exclusively taking CEUs
- Exclusively auditing classes
- Enrolled part-time
- Transfers into the institution
- Foreign students who are only taking coursework at a host institution (e.g., an American institution overseas), if these students are not enrolled at a U.S. institution
- Students in Experimental Pell Programs

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## Where to Get Help with Reporting

### IPEDS Help Desk

Phone: (877) 225-2568

E-mail: [ipedshelp@rti.org](mailto:ipedshelp@rti.org)

### Web Tutorials

You can consult the [IPEDS Website's Trainings & Outreach](#) page which contains several tutorials on IPEDS data collection, a self-paced overview of IPEDS tools, and other valuable resources.

### IPEDS Resource Page

The [IPEDS Website's Reporting Tools](#) page contains frequently asked questions, a link to data tip sheets, tutorials, taxonomies, information centers (e.g., academic libraries, average net price, human resources, race/ethnicity, etc.), and other valuable information.

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## Where the Reported Data Will Appear

Data collected through IPEDS will be accessible at the institution and aggregate levels.

At the institution-level, data will appear in the:

- [College Navigator Website](#)
- [IPEDS Use the Data portal](#)
- [IPEDS Data Feedback Reports](#)
- [College Affordability and Transparency Center Website](#)

At the aggregate-level, data will appear in:

- [IPEDS Data Explorer](#)
- [IPEDS Data Feedback Reports](#)
- [The Digest of Education Statistics](#)
- [The Condition of Education](#)

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## Uploading Files to the IPEDS Data Collection System

The *File Import/Upload* option is found under the Tools menu. In order to perform the upload you'll need to have a file formatted to specifications. Upload specifications are included with the survey materials found under the Help menu. There are three upload formats available for the Graduation Rates survey component:

- Fixed width file
- Key value file

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## Reporting Instructions

### Reporting Persons by Racial/Ethnic Category (1997 OMB)

This information is being collected in compliance with Title VI of the Civil Rights Act of 1964 and Title IX of the Education Amendments of 1972 and Sec. 421(a) (1) of the Carl D. Perkins Vocational Education Act. These instructions correspond with the Final Guidance on Maintaining, Collecting, and Reporting Racial and Ethnic Data to the U.S. Department of Education, published in the Federal Register on October 19, 2007.

**Method of collection** - Institutions must collect race and ethnicity information using a 2-question format. The first question is whether the respondent is Hispanic/Latino. The second question is whether the respondent is from one or more races from the following list: American Indian or Alaska Native, Asian, Black or African American, Native Hawaiian or Other Pacific Islander, and White. Institutions should allow students and staff to self-identify their race and ethnicity. For further details on the guidance for collecting these data, please see the full Federal Register notice.

**Method of reporting aggregate data** - Institutions must report aggregate data to the U.S. Department of Education using the NINE categories below. Racial/ethnic designations are requested only for United States citizens, resident aliens, and other eligible non-citizens.

- Hispanic or Latino, regardless of race

For Non-Hispanic/Latino individuals:

- American Indian or Alaska Native
- Asian
- Black or African American
- Native Hawaiian or Other Pacific Islander
- White
- Two or more races

In addition, the following categories may be used:

- Nonresident alien
- Race and ethnicity unknown

**Racial/ethnic descriptions** - Racial/ethnic designations as used in this survey do not denote scientific definitions of anthropological origins. The categories are:

- Hispanic or Latino- A person of Cuban, Mexican, Puerto Rican, South or Central American, or other Spanish culture or origin, regardless of race.
- American Indian or Alaska Native- A person having origins in any of the original peoples of North and South America (including Central America) who maintains cultural identification through tribal affiliation or community attachment.
- Asian- A person having origins in any of the original peoples of the Far East, Southeast Asia, or the Indian Subcontinent, including, for example, Cambodia, China, India, Japan, Korea, Malaysia, Pakistan, the Philippine Islands, Thailand, and Vietnam.
- Black or African American- A person having origins in any of the black racial groups of Africa.
- Native Hawaiian or Other Pacific Islander- A person having origins in any of the original peoples of Hawaii, Guam, Samoa, or other Pacific Islands.
- White - A person having origins in any of the original peoples of Europe, the Middle East, or North Africa.

#### Other descriptive categories

- **Nonresident alien** - A person who is not a citizen or national of the United States and who is in this country on a visa or temporary basis and does not have the right to remain indefinitely. NOTE - Nonresident aliens are to be reported separately, in the boxes provided, rather than included in any of the seven racial/ethnic categories. Resident aliens and other eligible (for financial aid purposes) non-citizens who are not citizens or nationals of the United States and who have been admitted as legal immigrants for the purpose of obtaining permanent resident alien status (and who hold either an alien registration card (Form I-551 or I-151), a Temporary Resident Card (Form I-688), or an Arrival-Departure Record (Form I-94) with a notation that conveys legal immigrant status such as Section 207 Refugee, Section 208 Asylee, Conditional Entrant Parolee or Cuban-Haitian) are to be reported in the appropriate racial/ethnic categories along with United States citizens.
- **Race and ethnicity unknown** - This category is used only if the person did not select EITHER a racial or ethnic designation.

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### Establishing Cohorts

The method used to report graduation rates data on this survey is predetermined by your institution's response to the predominant calendar system question (B3) on the IC Header component of the IPEDS Fall 2020 data collection, according to the following rules:

1. Institutions that offer a predominant number of programs based on standard academic terms (semesters, trimesters, quarters, or 4-1-4 plan) will report using a **fall cohort** of students. Institutions may use October 15, 2017 or the institution's official fall reporting date to determine the cohort. This should be the same reporting date used for the IPEDS Fall Enrollment survey.
2. Institutions that do not offer a predominant number of programs based on standard academic terms (as defined above) will report using a **full-year cohort**. These institutions must count as entering students all those students who entered the institution between September 1, 2017 and August 31, 2018, and who were enrolled for at least 15 days in a program of up to and including one year in length; or 30 days in a program of greater than one year in length.

#### Report each student only once.

**Initial cohort** - If you are reporting on a fall cohort, the information reported on full-time, first-time degree/certificate-seeking undergraduate student enrollment at your institution on the 2017 IPEDS Fall Enrollment survey will be preloaded in this column. If you did not respond to that survey, or if you are reporting on a full-year cohort, the column will be blank.

**Revised cohort** - Institutions have the option of revising their preloaded cohort if:

- there are eligible students who were omitted in the past

- students were reported who did not belong in the cohort (e.g., they were not actually first-time, or full-time)
- better information regarding race/ethnicity or gender is available for eligible students

Please review the data in the *Initial cohort* column (if applicable) and re-enter the cohort data in the *Revised cohort* column according to the 1997 racial/ethnic categories, making any necessary corrections for omissions, erroneous reporting, or where better information regarding race/ethnicity or gender is available. If your data do not appear in the *Initial cohort* column, please provide the enrollment data as requested in the *Revised cohort* column.

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## Completers within 150% of Normal Time to Completion

### Report each student only once.

Report the status of the 2017 cohort of degree/certificate-seeking undergraduate students as of 150% of normal time to completion for their program or August 31, 2020 (whichever was earlier) in terms of the number of completers by type of program completed. Report all students by race/ethnicity and gender.

**Report only for full-time, first-time degree/certificate-seeking students.** Do NOT include part-time students or students who transferred into your institution.

### Count completers only once and indicate the highest award level attained.

**SPECIAL NOTE:** In order to resolve a potential conflict between transfers-out and the mission of particular programs to prepare students for transfer to other institutions, institutions may count as completers those students who have successfully completed a transfer-preparatory program.

Transfer-preparatory program is defined in 34 CFR Student Assistance General Provisions, Section 668.8(b)(1)(ii) as "the successful completion of at least a 2-year program that is acceptable for full credit toward a bachelor's degree and qualifies a student for admission into the third year of a bachelor's degree program." The Secretary considers this the equivalent of an associate's degree, thus completers of transfer-preparatory programs (although they do not receive a "recognized postsecondary credential") should be counted as completers of an at least 2 but less than 4 year program.

Similarly, institutions may count as completers those students who have successfully completed the first three years of a 3-2 program and are eligible to enter another institution to complete the program (provided the program is acceptable for full credit towards a five-year bachelor's degree, and qualifies a student for admission into the fourth year of a five-year bachelor's degree program). As with a transfer-preparatory program, these students should be counted as completers of an at least 2 but less than 4 year program.

In order to calculate a graduation rate that complies with Student Right-to-Know regulations, institutions may count as completers only those students who received their degree/certificate (or completed a transfer-preparatory program) within 150% of the normal time for program completion (normal time to completion is the amount of time necessary to complete all requirements for a program according to the institution's catalog). **Do not count as completers students who receive their degree from another institution.**

Note that completers should be reported in terms of the type of program completed, as defined by award level. For programs that are defined in terms of clock or credit hours, the program type may not be indicative of the actual calendar time a program takes to complete. For more information on program types, please consult the IPEDS Glossary.

**Revised Cohort (Column 10)** – The data in this column are carried forward from the "Establishing Cohort" screen for your reference.

**Completers of programs of less than 2 academic years (or equivalent) (Column 11)** - Enter the number of students who completed programs of less than 2 full-time equivalent academic years within 150% of normal time to completion. This includes awards, certificates, or diplomas of less than one academic year and of at least one but less than two academic years; or designed for completion in less than 60 semester or trimester credit hours, less than 90 quarter credit hours, or less than 1,800 clock hours.

**Completers of programs of at least 2 years but less than 4 academic years (or equivalent) (Column 12)** - Enter the number of students who completed programs of at least 2 but less than 4 full-time equivalent academic years within 150% of normal time to completion. This includes awards, certificates, or diplomas of at least two but less than four academic years - or designed for completion in at least 60 but less than 120 semester or trimester credit hours, at least 90 but less than 180 quarter credit hours, or at least 1,800 but less than 3,600 clock hours - and associate's degrees.

**Total completers within 150% (Column 29)** - This column is the sum of the previous two columns, and is calculated for you by the data collection system.

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## Non-completers

### Report each student only once.

Report the status of the non-completers from the 2017 cohort of full-time, first-time degree/certificate-seeking undergraduate students as of 150% of the normal time to completion for their program or August 31, 2020 (whichever was earlier). Report all students by race/ethnicity and gender.

**Report only for full-time, first-time degree/certificate-seeking students.** Do NOT include part-time students or students who transferred into your institution.

**Revised Cohort (Column 10)** – The data in this column are carried forward from the "Establishing Cohort" screen for your reference.

**Total completers within 150% (Column 29)** - The data in this column are carried forward from the "Completers within 150%" screen for your reference.

**Total transfer-out students (Column 30)** - If the mission of your institution includes providing substantial preparation for students to enroll in another eligible institution without having completed a program, you must report the total number of students who transferred out of your institution (without earning a degree/award) within 150% of normal time to completion. Include students who transferred out of your institution and subsequently re-enrolled at another eligible institution. If it is not part of your mission, you may still report transfer-out data if you wish.

**Total exclusions (Column 45)**- Indicate the total number of students who left your institution within 150% of normal time to completion for their program (and have neither graduated nor transferred to another institution) due to one of the following documented reasons:

- The student is deceased or is totally and permanently disabled and thus unable to return to school.
- The student left school to serve in the armed forces or was called up to active duty. (Do NOT include students already in the military who transfer to another duty station.)
- The student left school to serve with a foreign aid service of the Federal Government, such as the Peace Corps.
- The student left school to serve on an official church mission.

**NOTE:** Students who leave the institution for one of the above reasons, but return prior to the status date of August 31, 2020, may still be subtracted/excluded from the cohort during the calculation of graduation rates.

**Still enrolled (Column 51)** - Enter the number of students who were still enrolled at your institution as of 150% of normal time to completion for their program (or August 31, 2020 if 150% of normal time to completion had not elapsed by that time).

**No longer enrolled (Column 52)** - This column represents the difference between the revised cohort (Column 10) and the sum of Columns 29, 30, 45, and 51. This column should include students who graduated from their program after 150% of normal time to completion elapsed.

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## Completers within 100% of Normal Time to Completion

**Report each student only once.**

Report the status of the 2017 cohort of full-time, first-time degree/certificate-seeking undergraduate students who completed their program as of 100% of normal time to completion for their program or August 31, 2020 (whichever was earlier) in terms of the number of completers by type of program completed. **Note that this should be a subset of the students reported as completers within 150% of normal time reported earlier in this survey.**

**Report only for full-time, first-time degree/certificate-seeking students.** Do NOT include part-time students or students who transferred into your institution.

**Count completers only once and indicate the highest award level attained.**

**Revised Cohort (Column 10)** – The data in this column are carried forward from the "Establishing Cohort" screen for your reference.

**Exclusions** - The data in this column are carried forward from Column 45 – Total Exclusions of the "Transfers/Exclusions" screen for your reference.

**Cohort students who completed their program within 100% of normal time to completion (Columns 55 and 56)**

**Completers of programs of less than 2 academic years (or equivalent) (Column 55)** - Enter the number of students who completed programs of less than 2 full-time equivalent academic years within 100% of normal time to completion. This includes awards, certificates, or diplomas of less than one academic year and of at least one but less than two academic years; or designed for completion in less than 60 semester or trimester credit hours, less than 90 quarter credit hours, or less than 1,800 clock hours.

**Completed a program of at least 2 years, but less than 4 years within 100% (Column 56)** - Enter the number of students who completed programs of at least 2 but less than 4 full-time equivalent academic years within 100% of normal time to completion. This includes awards, certificates, or diplomas of at least two but less than four academic years - or designed for completion in at least 60 but less than 120 semester or trimester credit hours, at least 90 but less than 180 quarter credit hours, or at least 1,800 but less than 3,600 clock hours - and associate's degrees.

**Total completers within 100% (Column 57)** – This column is the sum of the previous two columns and is calculated for you by the data collection system.

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## Pell recipients and recipients of a subsidized Direct Loan who did not receive a Pell Grant

**Report each student only once.**

Report the status of the 2017 cohort of first-time, full-time degree/certificate-seeking students as of 150% of normal time to completion or August 31, 2020 (whichever was earlier) in terms of the number of completers.

**Report only for full-time, first-time degree/certificate-seeking students.**

Do NOT include part-time students or students who transferred into your institution.

**Count completers only once and indicate the highest award level attained.**

**SPECIAL NOTE:** In order to resolve a potential conflict between transfers-out and the mission of particular programs to prepare students for transfer to other institutions, institutions may count as completers those students who have successfully completed a transfer-preparatory program.

Transfer-preparatory program is defined in 34 CFR Student Assistance General Provisions, Section 668.8(b)(1)(ii) as "the successful completion of at least a 2-year program that is acceptable for full credit toward a bachelor's degree and qualifies a student for admission into the third year of a bachelor's degree program." The Secretary considers this the equivalent of an associate's degree, thus completers of transfer-preparatory programs (although they do not receive a "recognized postsecondary credential") should be counted as completers of an at least 2 but less than 4 year program.

Similarly, institutions may count as completers those students who have successfully completed the first three years of a 3-2 program and are eligible to enter another institution to complete the program (provided the program is acceptable for full credit towards a five-year bachelor's degree, and qualifies a student for admission into the fourth year of a five-year bachelor's degree program). As with a transfer-preparatory program, these students should be counted as completers of an at least 2 but less than 4 year program.

In order to calculate a graduation rate that complies with Student Right-to-Know regulations, institutions may count as completers only those students who received their degree/certificate (or completed a transfer-preparatory program) within 150% of the normal time for program completion (normal time to completion is the amount of time necessary to complete all requirements for a program according to the institution's catalog). **Do not count as completers students who receive their degree from another institution.**

For the first-time, full-time degree/certificate-seeking cohort, data will be collected on those students who were recipients of a Pell Grant and those students who were recipients of a subsidized Direct Loan that did not receive a Pell Grant.

Recipients are defined as those students receiving and using their Pell Grant or Subsidized Direct loan. These students must receive and use the award within their first year at the institution. If the student is a recipient of an award at a later point, they would not be included in one of the subcohorts.

**Number of students in the cohort** - The number of students in the full-time, first-time degree/certificate-seeking cohort will be preloaded from the GR form. Of those students, report the number of students who received a Pell Grant and the number of students who received a subsidized Direct Loan but DID NOT receive a Pell Grant. These two categories will be mutually exclusive. The total of these two subcohorts must be less than or equal to the total of all students.

**Total exclusions** - The number of exclusions in the full-time, first-time degree/certificate-seeking cohort will be preloaded from the GR form. Of those students reported as exclusions, report the number of students who received a Pell Grant and the number of students who received a subsidized Direct Loan but DID NOT receive a Pell Grant. These two categories will be mutually exclusive. The total of these two subcohorts must be less than or equal to the total of all students.

**Number of students completing within 150% or normal time** - The number of students in the full-time, first-time degree/certificate-seeking cohort who completed within 150% of normal time to completion will be preloaded from the GR form. Of those students reported as completers, report the number of students who received a Pell Grant and the number of students who received a subsidized Direct Loan but DID NOT receive a Pell Grant. These two categories will be mutually exclusive. The total of these two subcohorts must be less than or equal to the total of all students.

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## Calculation of Graduation and Transfer-out Rates

### Worksheets

Worksheets calculating the Student Right-To-Know and overall four-year average completion/graduation and transfer-out rates of full-time, first-time degree/certificate-seeking undergraduate students for the 2017 student cohort are provided as a convenience to the institution. Data previously reported by the institution are preloaded for use in these calculations.

Note that certain information from these worksheets will be displayed on College Navigator, as noted. Additional information relevant to the calculated rates may be entered in the context box provided, and will also be displayed on College Navigator.

### Privacy Issue With Disclosure

Before using the worksheets for disclosure, please consider the following:

- The Family Educational Rights and Privacy Act of 1974 as amended (1998) (FERPA) requires that institutions not disclose information where students could be identified.

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## Glossary

Term	Definition
Adjusted cohort	The result of removing any allowable <u>exclusions</u> from a <u>cohort</u> (or <u>subcohort</u> ). For the <u>Fall Enrollment</u> component, it is the cohort for calculating retention rate; for the <u>Graduation Rates</u> component, this is the cohort from which graduation and transfer-out rates are calculated; and for the <u>Outcome Measures</u> component, these are the four cohorts (first-time, full-time; first-time, part-time; non-first-time, full-time; or non-first-time, part-time) for which outcomes rates are calculated at 4, 6, and 8 years.
American Indian or Alaska Native	A person having origins in any of the original peoples of North and South America (including Central America) who maintains cultural identification through tribal affiliation or community attachment.
Asian	A person having origins in any of the original peoples of the Far East, Southeast Asia, or the Indian Subcontinent, including, for example, Cambodia, China, India, Japan, Korea, Malaysia, Pakistan, the Philippine Islands, Thailand, and Vietnam.
Associate's degree	An award that normally requires at least 2 but less than 4 years of full-time equivalent college work.
Black or African American	A person having origins in any of the black racial groups of Africa.
Cohort	A specific group of students established for tracking purposes.
Cohort year	The year that a cohort of students begins attending college.
Completers within 150% of normal time	Students who completed their <u>program</u> within 150% of the normal (or expected) time for completion.
Degree/certificate-seeking students	Students enrolled in courses for credit who are seeking a degree, certificate, or other recognized postsecondary credential. This includes students who: <ul style="list-style-type: none"> <li>- received any type of federal financial aid, regardless of what courses they took at any time;</li> <li>- received any state or locally based financial aid with an eligibility requirement that the student be enrolled in a degree, certificate, or transfer-seeking program; or</li> <li>- obtained a student visa to study at a U.S. postsecondary institution</li> </ul> <p>High school students also enrolled in postsecondary courses for credit are not considered degree/certificate-seeking.</p>
Exclusions	Those students who may be removed (deleted) from a <u>cohort</u> (or <u>subcohort</u> ). For the <u>Graduation Rates</u> , <u>Outcome Measures</u> , and <u>Fall Enrollment</u> retention rate reporting, students may be removed from a cohort if they left the institution for one of the following reasons: death or total and permanent disability; service in the armed forces (including those called to active duty); service with a foreign aid service of the federal government, such as the Peace Corps; or service on official church missions.
Fall cohort	The group of students entering in the <u>fall term</u> established for tracking purposes. For the <u>Graduation Rates</u> component, this includes all students who enter an institution as full-time, first-time <u>degree</u> or <u>certificate-seeking undergraduate</u> students during the fall term of a given year.
First-time student (undergraduate)	A student who has no prior postsecondary experience (except as noted below) attending any institution for the first time at the <u>undergraduate level</u> . This includes students enrolled in <u>academic</u> or <u>occupational programs</u> . It also includes students enrolled in the <u>fall term</u> who attended college for the first time in the prior summer term, and students who entered with advanced standing (college <u>credits</u> or recognized postsecondary credential earned before graduation from high school).
Full-time student	Undergraduate: A student enrolled for 12 or more <u>semester credits</u> , or 12 or more <u>quarter</u> credits, or 24 or more <u>clock hours</u> a week each term. Graduate: A student enrolled for 9 or more semester credits, or 9 or more quarter credits, or a student involved in thesis or dissertation preparation that is considered full-time by the institution. <u>Doctor's degree - Professional practice</u> - as defined by the institution.
Full-year cohort	This is a group of students entering at any time during the <u>12-month period</u> for tracking and reporting. For <u>Graduation Rate (GR)</u> , a full-year cohort is from September 1 through August 31 and is used primarily by institutions that offer <u>occupational programs</u> of varying lengths. Students must be <u>full-time</u> and <u>first-time</u> to be considered in the <u>cohort</u> . For <u>Outcome Measures (OM)</u> component, all degree-granting institutions report on a full-year cohort from July 1 through June 30. Students are reported once in one of the four OM cohorts: first-time, full-time; first-time, part-time; non-first-time, full-time; or non-first-time, part-time.
Graduation rate	The rate required for disclosure and/or reporting purposes under <u>Student Right-to-Know Act</u> . This rate is calculated as the total number of <u>completers</u> within 150% of normal time divided by the revised <u>adjusted cohort</u> .



Graduation Rates (GR)	This annual component of <u>IPEDS</u> was added in 1997 to help institutions satisfy the requirements of the Student Right-to-Know legislation. Data are collected on the number of students entering the institution as full-time, first-time, degree/certificate-seeking undergraduate students in a particular year (cohort), by race/ethnicity and gender; the number completing their program within 150 percent of normal time to completion; the number that transfer to other institutions if transfer is part of the institution's mission. Prior to 2007, institutions who offered athletically-related student aid were asked to report, by sport, the number of students receiving aid and whether they completed within 150 percent of normal time to completion. Now, these institutions only need to report a URL where the athletic data is located on their website, when available. GR automatically generates worksheets that calculate rates, including average rates over 4 years.
Hispanic/Latino	A person of Cuban, Mexican, Puerto Rican, South or Central American, or other Spanish culture or origin, regardless of race.
Initial cohort	A specific group of individuals established for tracking purposes. For the <u>Graduation Rates (GR)</u> and <u>Outcome Measures (OM)</u> components of <u>IPEDS</u> , the initial <u>cohort</u> is defined as the enrollment count before removing revisions and exclusions of all <u>degree/certificate-seeking students</u> who enter in either (1) the <u>fall term</u> of a given <u>academic year</u> , or (2) between September 1st and August 31st of the following year. For the GR component of <u>IPEDS</u> , the initial cohort is only for full-time, first-time students. For OM, all undergraduates are placed in one of four initial cohorts: full-time, first-time; part-time, first-time; full-time, non-first-time; and part-time, non-first time.
Integrated Postsecondary Education Data System (IPEDS)	The Integrated Postsecondary Education Data System (IPEDS), conducted by the <u>NCES</u> , began in 1986 and involves annual institution-level data collections. All <u>postsecondary institutions</u> that have a <u>Program Participation Agreement</u> with the Office of Postsecondary Education (OPE), U.S. Department of Education (throughout IPEDS referred to as "Title IV") are required to report data using a web-based <u>data collection system</u> . IPEDS currently consists of the following components: <u>Institutional Characteristics (IC)</u> ; <u>12-month Enrollment (E12)</u> ; <u>Completions (C)</u> ; <u>Admissions (ADM)</u> ; <u>Student Financial Aid (SFA)</u> ; <u>Human Resources (HR)</u> composed of Employees by Assigned Position, Fall Staff, and Salaries; <u>Fall Enrollment (EF)</u> ; <u>Graduation Rates (GR)</u> ; <u>Outcome Measures (OM)</u> ; <u>Finance (E)</u> ; and <u>Academic Libraries (AL)</u> .
Native Hawaiian or Other Pacific Islander	A person having origins in any of the original peoples of Hawaii, Guam, Samoa, or other Pacific Islands.
Nonresident alien	A person who is not a citizen or national of the United States and who is in this country on a visa or temporary basis and does not have the right to remain indefinitely.
Normal time to completion	The amount of time necessary for a student to complete all requirements for a <u>degree</u> or <u>certificate</u> according to the institution's catalog. This is typically 4 years (8 semesters or trimesters, or 12 quarters, excluding summer terms) for a <u>bachelor's degree</u> in a standard term-based institution; 2 years (4 semesters or trimesters, or 6 quarters, excluding summer terms) for an <u>associate's degree</u> in a standard term-based institution; and the various scheduled times for certificate <u>programs</u> .
Race and ethnicity unknown	The category used to report students or employees whose race and ethnicity are not known.
Race/ethnicity	Categories developed in 1997 by the Office of Management and Budget (OMB) that are used to describe groups to which individuals belong, identify with, or belong in the eyes of the community. The categories do not denote scientific definitions of anthropological origins. The designations are used to categorize U.S. citizens, resident aliens, and other eligible non-citizens.  Individuals are asked to first designate ethnicity as: <ul style="list-style-type: none"> <li>- <u>Hispanic or Latino</u> or</li> <li>- Not Hispanic or Latino</li> </ul> Second, individuals are asked to indicate all races that apply among the following: <ul style="list-style-type: none"> <li>- <u>American Indian or Alaska Native</u></li> <li>- <u>Asian</u></li> <li>- <u>Black or African American</u></li> <li>- <u>Native Hawaiian or Other Pacific Islander</u></li> <li>- <u>White</u></li> </ul>
Recognized postsecondary credential	A recognized postsecondary credential includes any credential that is received after completion of a program that is eligible for Title IV federal student aid or that is awarded in recognition of an individual's attainment of measurable technical or industry/occupational skills necessary to obtain employment or advance within an industry/occupation. These technical or industry/occupational skills generally are based on standards developed or endorsed by employers or industry associations.
Revised cohort	Initial <u>cohort</u> after revisions are made. Cohorts may be revised if an institution discovers that incorrect data were reported in an earlier year.

Student Right-to-Know Act	Also known as the "Student Right-to-Know and Campus Security Act" (P.L. 101-542), which was passed by Congress November 9, 1990. Title I, Section 103, requires institutions eligible for Title IV funding to calculate completion or <u>graduation rates</u> of certificate- or degree-seeking, full-time students entering that institution, and to disclose these rates to all students and prospective students. Further, Section 104 requires each institution that participates in any Title IV program and is attended by students receiving athletically-related student aid to submit a report to the Secretary of Education annually. This report is to contain, among other things, graduation/completion rates of all students as well as students receiving athletically-related student aid by race/ethnicity and gender and by sport, and the average completion or graduation rate for the four most recent years. These data are also required to be disclosed to parents, coaches, and potential student athletes when the institution offers athletically-related student aid. The <u>Graduation Rates</u> component of IPEDS was developed specifically to help institutions respond to these requirements. See Graduation Rates for the current description of data collected.
Title IV institution	An institution that has a written agreement with the Secretary of Education that allows the institution to participate in any of the Title IV federal student financial assistance programs (other than the State Student Incentive Grant (SSIG) and the National Early Intervention Scholarship and Partnership (NEISP) programs).
Transfer-in (non-first-time entering) student	A student entering the reporting institution for the first time but known to have previously attended a postsecondary institution at the same level (e.g., undergraduate, graduate). This includes new students enrolled in the fall term who transferred into the reporting institution the prior summer term. The student may transfer with or without credit. For systems of coordinated institutions (multi-campus system), students are to be identified as transfer-in students upon entering an institution from another institution within the same coordinated system.
Transfer-out rate	Total number of students who are known to have transferred out of the reporting institution within 150% of <u>normal time to completion</u> divided by the <u>adjusted cohort</u> .
Transfer-out student	A student that leaves the reporting institution and enrolls at another institution. For systems of coordinated institutions (multi-campus system), students are to be identified as transfer-out students when leaving an institution to enroll into another institution within the same coordinated system.
Transfer-preparatory program	A <u>program</u> designed specifically to provide a student with the basic knowledge needed to transfer into a higher level program. For example, this may be the first 2 years of a baccalaureate level program for which the institution does not offer an award, or 2 years of undergraduate study needed for entrance into a first-professional program, or 1 or more years of undergraduate study needed for entrance into health services fields.
Undergraduate	A student enrolled in a 4- or 5-year <u>bachelor's degree</u> program, an <u>associate's degree</u> program, or a vocational or technical <u>program</u> below the baccalaureate.
White	A person having origins in any of the original peoples of Europe, the Middle East, or North Africa.