

EDFacts 3-Year OMB Clearance Package **SY 2019-20, 2020-21, AND 2021-22**

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Public Packages

- The information clearance package is the official registration of federal data collections with the Office of Management and Budget (OMB).
- Packages must include information needed to understand the federal request.
- There is a public announcement and a 60-day and a 30-day public comment period.

ED*Facts* Package

- Every three years ED*Facts* must go through a clearance process.
- The current data collection expires with the 2018-19 School Year.
- All comments received during the 60- and 30-day public review periods must be recognized and addressed (not necessarily adopted).
- Any changes to the ED*Facts* data collection package must be presented to OMB, before final clearance can be obtained.
- This process is to be completed by the summer of 2019.

How the *EDFacts* Package Is Developed

- ED program offices review their data collection needs and submit proposals
 - Legislative requirements
 - Regulatory requirements
 - Program monitoring needs
- Proposals reviewed by *EDFacts* team
 - duplications, “close” but not equal, reality checks
 - **Content** is the responsibility of the data **stewarding office**
 - **EDFacts provides the IT system** for collecting, securely storing, and accessing the data
- Package is developed from all ED program office proposals
 - new, revised, retired items

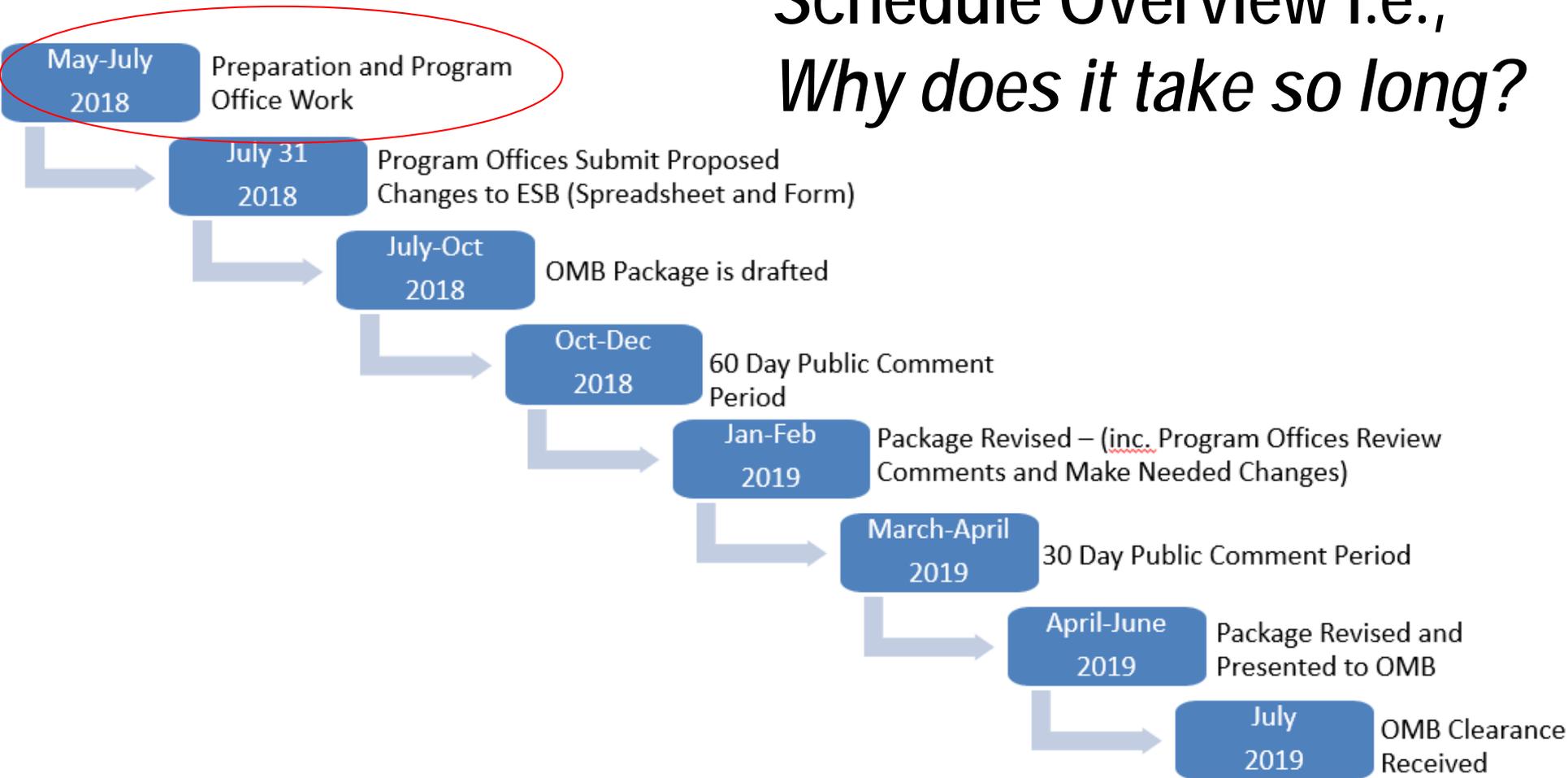
Surveys / Programs Represented and the Authority to Collect

- Common Core of Data (Non-Fiscal) – ESRA authority
- OCTAE – in accordance with Perkins requirements
- ESSA Title I, Part B – State Assessment Grants
- ESSA Title I, Part C - Migrant
- ESSA Title I, Part D – Neglected, Delinquent, or At-Risk
- ESSA Title III – Language Instruction for English Learners and Immigrant Students
- ESSA Title IV, GFSA
- ESSA Title IV, Part C - Charter
- *IDEA, Part C (infant toddler)*
- IDEA Part B (school age – prek-12+)
- ESSA Title V – Rural education (REAP)
- ESSA Title IX – Homeless

Note: Two programs are not in our package, but we use our tools for data collection:

- *ESSA, Title II – Principal, Teacher, Leader training funds*
- *IDEA, Part C (infant toddler)*

Schedule Overview i.e., *Why does it take so long?*



What Is in the ED*Facts* OMB Package?

“Attachments”

- Explanatory
- Data Groups and Categories
- Directory
- EMAPS Collections
- ***Directed Questions***
- *Response to Comments*

Data Governance and the Package

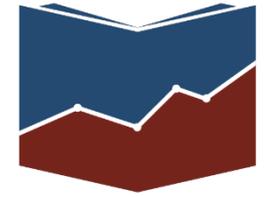
- Internal U.S. Department of Education
 - ED*Facts* Data Governance Board (EDGB)
 - Members are Program Offices and data users
 - Data Strategy Team
- Package Developed by U.S. Department of Education but Improved by SEAs and LEAs (vertical governance)
 - **Directed questions**
 - Comments (please provide alternative recommendations)
 - Response to comments

Stay Informed

- Data Conference Session II–F: *Engaging in the Public Comment Process and Data Governance: A Federal, State, and Local Perspective*
- Federal Register – daily announcements
- Regulations.gov – posting of proposed package
- Emails/Listservs – there will be many announcements with the package comes out

Action Items

- Watch Federal Register and email listservs
- When posted, share link with appropriate staff
- Read directed questions
- Talk with other states and your LEAs
- Review changes:
 - Is the proposed data available in the format required?
 - Does the proposed data capture what ED has intended?
 - Do you have questions about the proposed changes that require further clarification?
 - Are there potential data quality issues that ED should know?
 - Do you have a better idea? Share it!
- Submit comments – we read and consider each idea!!



California Department of Education: Federal Register Review Process



Monitoring Components



- 1.0 Select Staff to Monitor the Federal Register
- 2.0 Subscribe to the Federal Register
- 3.0 Daily Monitoring Process
- 4.0 Log and Track Proposed Data Collection
- 5.0 Inform the Program Area
- 6.0 Comment Process

1.0 Select Staff to Monitor the Federal Register



Select the best staff in the organization to monitor for proposed data collections.

Consider these:

- *EDFacts* Coordinator
- CSPR (Consolidated State Performance Report) Coordinator

2.0 Subscribe to the Federal Register



To subscribe to the electronic mailing list, visit the Federal Register Table of Contents Subscription Page - <https://public.govdelivery.com/accounts/USGPOOFR/subscriber/new> and enter your email address. Then follow the instructions to join, leave, or manage your subscription.

The screenshot shows the Federal Register website's subscription page. At the top left is the seal of the National Archives and Records Administration, established in 1985. To its right is the text "FEDERAL REGISTER" in a large, bold, sans-serif font, with "The Daily Journal of the United States Government" in a smaller font below it. The main heading is "Email Updates". Below this is a paragraph: "To sign up for updates or to access your subscriber preferences, please enter your contact information below." There is a label "Email Address" followed by a red asterisk and a text input field. Below the input field are two buttons: "SUBMIT" and "CANCEL". At the bottom of the form, there is a line of text: "Your contact information is used to deliver requested updates or to access your subscriber preferences." At the very bottom, there are links for "Privacy Policy", "Cookie Statement", and "Help".

3.0 Daily Monitoring Process



From the daily Federal Registry email notification:

- Review proposed regulations and related documents published from ED
- Access the Federal Register notice by clicking on the text or PDF link

Education Department

NOTICES

Agency Information Collection Activities; Proposals, Submissions, and Approvals:

Borrower Defenses against Loan Repayment ,
32944–32945 [2015–14184]

[\[TEXT\]](#) [\[PDF\]](#)

Excerpt of Federal Register – Initial Review



Important information to look at when reviewing the federal register:

DATES: Interested persons are invited to submit comments on or before December 31, 2015.

Type of Review: A revised information collection.
Total Estimated Number of Annual Burden Hours: 126,880.

Abstract: EDFacts is a U.S. Department of Education (ED) initiative to collect, analyze, report on and

[Docket No.: ED-2015-ICCD-0090]

that written response to this notice will be considered public records.

Title of Collection: Data Challenges and Appeals Solution (DCAS).

OMB Control Number: 1845-0137.

Type of Review: An extension of an existing information collection.

Respondents/Affected Public: Private Sector, State, Local and Tribal Governments.

Total Estimated Number of Annual Responses: 1,029,889.

Total Estimated Number of Annual Burden Hours: 175,061.

Abstract: This is a request for an extension of the emergency clearance that was granted on this collection for the Data Challenges and Appeals Solution (DCAS), a new system that will allow institutions to challenge their self-reported data as well as Department-calculated metrics. The system will ultimately provide for the receipt, processing, data storage and archiving of data challenges received from institutions for challenges of Gainful Employment (GE) metrics, Cohort Default Rates (institutional and programmatic), and Disclosure Rates and Metrics. This request is for the first phase of DCAS, the institutional challenge to the GE completers list provided to institutions by the Department of Education. The other aspects of DCAS will be made functional and available to institutions in stages, to allow for full development and testing, through subsequent system releases.

Dated: November 25, 2015.
Kate Mullan,
Supervisor, Emergency Clearance Division, Office of the Chief Privacy Officer, Office of Management.
[FR Doc. 2015-30405 Filed 11-30-15; 8:45 am]
BILLING CODE 4000-01-P

DEPARTMENT OF EDUCATION

[Docket No.: ED-2015-ICCD-0090]

Agency Information Collection Activities; Comment Request; EDFacts Data Collection School Years 2016-17, 2017-18, and 2018-19

AGENCY: National Center for Education Statistics (NCES), Department of Education (ED).

ACTION: Notice.

SUMMARY: In accordance with the Paperwork Reduction Act of 1995 (44 U.S.C. chapter 3501 *et seq.*), ED is announcing a revised information collection.

DATES: Interested persons are invited to submit comments on or before December 31, 2015.

ADDRESSES: Comments submitted in response to this notice should be submitted electronically through the Federal eRulemaking Portal at <http://www.regulations.gov> by selecting Docket ID number ED-2015-ICCD-0090 or via postal mail, commercial delivery, or hand delivery. If the public for any reason, ED will temporarily accept comments at ICCD@ed.gov. Please note that comments submitted by fax or email and those submitted after the comment period will not be accepted; ED will ONLY accept comments during the comment period in this notice when the regulations.gov site is not available. Written requests for information or comments submitted by postal mail or delivery should be addressed to the Director of the Information Collection Clearance Division, U.S. Department of Education, 400 Maryland Avenue SW, LBJ, Mainstop L-404, Washington, DC 20202.

FOR FURTHER INFORMATION CONTACT: For specific questions related to collection activities, please contact Kashka Kuzdzela at kkuzdzela@ed.gov.

SUPPLEMENTARY INFORMATION: The Department of Education (ED), in accordance with the Paperwork Reduction Act of 1995 (PRA) (44 U.S.C. 3501-3507), provides the general public and Federal agencies with an opportunity to comment on proposed, revised, and continuing collections of information. This helps the Department assess the impact of its information collection requirements and minimize the public's reporting burden. It also helps the public understand the Department's information collection requirements and provide the requested data in the desired format. ED is soliciting comments on the proposed information collection request (ICR) that is described below. The Department of Education is especially interested in public comment addressing the following issues: (1) Is this collection necessary to the proper functions of the Department; (2) will this information be processed and used in a timely manner; (3) is the estimate of burden accurate; (4) how might the Department enhance the quality, utility, and clarity of the information to be collected; and (5) how might the Department minimize the burden of this collection on the respondents, including through the use of information technology. Please note that written comments received in response to this notice will be considered public records.

Title of Collection: EDFacts Data Collection School Years 2016-17, 2017-18, and 2018-19.

OMB Control Number: 1850-NEW.

Type of Review: A revised information collection.

Respondents/Affected Public: State, Local or Tribal Government.

Total Estimated Number of Annual Responses: 61.

Total Estimated Number of Annual Burden Hours: 126,880.

Abstract: EDFacts is a U.S. Department of Education (ED) initiative to collect, analyze, report on and promote the use of high-quality, pre-kindergarten through grade 12 (pre-K-12) performance data for use in education planning, policymaking, and management and budget decision making to improve outcomes for students. EDFacts enables the National Center for Education Statistics (NCES) to report on students, schools, staff, services, and education outcomes at the state, district, and school levels, by centralizing data provided by state education agencies, local education agencies, and schools. This centralized approach provides ED users with the ability to efficiently analyze and report on submitted data and has reduced the reporting burden for state and local data producers through the use of streamlined data collection, analysis, and reporting tools. EDFacts collects information on behalf of ED grant and program offices for approximately 180 data groups for all 50 states, Washington DC, Puerto Rico, and seven outlying areas and freely associated states (American Samoa, Federated States of Micronesia, Guam, Marshall Islands, Commonwealth of the Northern Mariana Islands, Republic of Palau, and the U.S. Virgin Islands), the Department of Defense Education Activity (DoDEA), and the Bureau of Indian Education (BIE). NCES seeks authorization from OMB to continue its EDFacts data collection and is requesting a new clearance for the 2016-17, 2017-18, and 2018-19 school years in order to continue to provide EDFacts data to Department of Education program offices, as well as SEAs, LEAs, and schools. In response to the 60-day public comment period announced in the Federal Register on July 9, 2015, ED received 52 comments from 49 commenters. A summary of the comments and ED's responses are provided in Attachment F. This notice announces that the revised collection package is now available for a 30-day public comment period. This submission includes a few proposed

Additional Information



- Visit the [Regulations.gov](https://www.regulations.gov) website.
- Enter the Docket ID number in the search button.
- Click the Open Docket Folder.

The screenshot shows the Regulations.gov website interface. At the top, there is a navigation bar with links for Home, Help, Resources, and Contact Us. A search bar contains the docket ID 'ED-2015-ICCD-0090-0001'. Below the search bar, the search results are displayed, including the title 'Agency Information Collection Activities; Comment Request; EDFacts Data Collection School Years 2016-17, 2017-18, and 2018-19'. The text indicates that the notice was issued by the Department of Education (ED) and provides a link to 'Open Docket Folder'. A sidebar on the right contains document information, including the ID, date posted (Jul 9, 2015), and federal register number (2015-16798).

Docket Folder



- It contains rulemaking materials, supporting documents, studies and other references, all public comments, and other relevant documents.
- Save materials by clicking on the links.

 **EDFacts Data Collection School Years 2016-17, 2017-18, and 2018-19**

Docket Folder Summary [View all documents and comments in this Docket](#)

Docket ID: ED-2015-ICCD-0090 Agency: Department of Education (ED)
[+ View More Docket Details](#)

Primary Documents [View All \(2\)](#)

	Agency Information Collection Activities; Comment Request; EDFacts Data Collection School Years 2016... 	Comment Period Closed Dec 31, 2015 11:59 PM ET
Notice	Posted: 12/01/2015 ID: ED-2015-ICCD-0090-0058	
	Agency Information Collection Activities; Comment Request; EDFacts Data Collection School Years 2016... 	Comment Period Closed Sep 08, 2015 11:59 PM ET
Notice	Posted: 07/09/2015 ID: ED-2015-ICCD-0090-0001	

Supporting Documents [View All \(7\)](#)

	Supporting Statements (30D FRN) 	Comments Not Accepted
Supporting & Related Material	Posted: 02/29/2016 ID: ED-2015-ICCD-0090-0079	
	Forms and Instructions (30D FRN) 	Comments Not Accepted

4.0 Log and Track Proposed Data Collection



- Create a system for logging/tracking proposed collections.
- Create a new folder to track the proposed data collection.
- Save all supporting documents or related documents from [Regulations.gov](https://www.regulations.gov) to the new folder.

- Fed Register
 - 0-Reviews-Federal Register Procedures
 - 1-Reviews-Federal Register-Open Rvws
 - 2018-11734 Due 20180702 Expand Opportunity Through Quality Charter Schools Program 30-Day
 - 2-Reviews-Federal Register-Closed Rvws

5.0 Inform the Program Area



Email the appropriate program staff about reviewing proposed regulations and related published document.

Send	To...	
	Cc...	
	Subject	FR Boilerplate EMAIL

Today, the proposed federal data collection, **Statewide Longitudinal Data System Survey 2018-19** (see below), showed up on our radar, which we believe impact your office and other educational stakeholders. More information can also be found on the Regulations.gov website under docket #: [ED-2018-ICCD-0043](http://www.regulations.gov/docket/ED-2018-ICCD-0043). **The 30-day comment window closes May 18.**

As you may know, the Education Data Office (EDO) and the Educational Data Governance Program (EDGOI) are always on the lookout for data collections (including changes and new) that will impact education agencies (local and state). We then try to identify and engage stakeholders in a formal review of the proposed collection. To the extent the collection contains anything that would pose a challenge to making progress towards our goals, we strive to prepare and submit public comments. EDO has helped program offices prepare and submit many comment letters over the years. We are here to guide and support you through the process. We have also built a network with other states such that if they agree with our comments, they will submit similar comments.

Being on the lookout for proposed collections helps the Department make progress towards our overarching goals to: (1) Minimize data reporting burden; (2) Enhance Data Quality; (3) Be Transparent About the data we collect/use/report; and (4) Enhance Data Privacy and Security (see <http://intranet.cde.ca.gov/da/eq/edgocharter.aspx> for more information on these goals).

Just in case you're not familiar with the Paperwork Reduction process, here's a little background. When a federal agency proposes a new data collection, the agency is required to post it for public comment. Typically, there are two comment periods. The first period is a 60-day comment window. The agency must publish the proposed collection and estimate burden on Regulations.Gov and respond to all comments. Sometimes, the agency will revise the proposed data collection based on comments. Then, there is another window for 30 days where the federal agency responds to comments made during the initial 60-day period.

We look forward to collaborating with you to forward the Department's progress towards realizing our goals.

Niki Vang
Education Data Office
California Department of Education
1430 N Street, Suite 6308
Sacramento, CA 95814
916.323.1552
NVang@cde.ca.gov

Key Areas to Consider



Program staff are asked to examine the proposed data collection and consider these questions:

- Are the proposed data appropriate to the stated purpose?
- Does the department already have this data available in its existing data resource?
- If the proposed collection would require collecting new data from LEAs or other local entities, are the LEAs being provided with enough lead time to modify whatever local information systems they have, such that they can submit the data with minimal effort?
- Are the estimated hours of burden for our department (an SEA) and local agencies realistic? If not, what would be a realistic estimate?
- Any other thoughts regarding the proposed collection?

Example: Supporting Statements



Provide estimates of the hour burden of the collection of information.

- Indicate # of respondents by affected public type
- Frequency of response
- Annual hour burden
- Explanation of how burden is estimated

Supporting Documents [View All \(7\)](#)



[Supporting Statements \(30D FRN\)](#)

Supporting & Related Material

Posted: 02/29/2016

Burden Estimate SY 2017-18 Collection			
CSPR Part I and II	SEA Burden hours	LEA Burden hours	Total
Approximate # of agencies	53	14,600	14,653
Average burden per agency	34.84 hours	1 hour	
Total for 1810-0724	1,846.52 hours	14,600 hours	16,446.52

6.0 Comment Process



- Comments are uploaded through [Regulations.gov](https://www.regulations.gov).
- Comments not considered if reported incorrectly or after due date.
- No standard format
 - Formal letters
 - Single documents
 - E-mails

Primary Documents [View All](#) (2)



Agency Information Collection Activities; Proposals, Submissions, and Approvals: Agency Information... 

Notice

Posted: 05/31/2018

ID: ED-2018-ICCD-0030-0010

Comment Now!

Due Jul 02, 2018 11:59 PM ET

Submitting Comments Online



1 Your Information

1 Your Information 2 Your Preview 3 Your Receipt

1 | [Home](#) | [About Us](#) | [Contact Us](#) | [Feedback](#) | [Privacy Policy](#) | [Terms of Use](#)

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Your comment may be viewable on Regulations.gov once the agency has reviewed it. This process is dependent on agency public submission policies/procedures and processing times. Use your tracking number to find out the status of your comment.

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Why California Participates in This Process



- Data Management Improvement Program
 - Major goal: Minimize reporting burden on LEAs
- Educational Data Governance (EDGO) program
 - See <https://www.cde.ca.gov/ds/ed/>
- Since 2004, 101 collections reviewed; 9 in 2017

Consolidated State Performance Report (OMB Control Number 1810-0724)



CSPR sections 1.3.1, 1.3.2, and 1.3.3

“...will also be populated by ED Facts file specification 103 which collects data group 699 State poverty designation.

Regarding the school levels, the Department has decided to no longer disaggregate CSPR section 1.3 by elementary and secondary schools.”

ED Facts Data Group 699

“Each school is designated as either an elementary or a secondary school. The quartiles are determined as two distinct calculations. **One calculation includes all elementary schools in the state and the other includes all the secondary schools in the state.** DG699 collects information that is the result of each of these two calculations.”

Consolidated State Performance Report (OMB Control Number 1810-0724)



30-Day Comment Window

5 Comments Received

- 3 from SEAs
- 1 from LEAs
- 1 unknown

60-Day Comment Window

12 Comments Received

- 12 from SEAs

Why All States Should Participate



- U.S. Department of Education needs feedback on reality of data management at state and local levels
- If more states comment, message has more weight
- Proactive (avoid surprises)
- Cooperative/collaborative

Comments Influence Requirements



- Last *EDFacts* package (SYs 2016-17 to 2018-19): There was a proposal to collect chronic absenteeism for neglected and delinquent students. SEA respondents overwhelmingly reported that they could not collect or report this data. The U.S. Department of Education withdrew their proposal for this information.
- Revised ESSA *EDFacts* package (SYs 2016-17 to 2018-19): There was a proposal for a new collection of teachers and U.S. Department of Education asked respondents if the number of teachers should be a headcount or FTE. SEA respondents overwhelming answered FTE and that is what is collected today.