

### Data Governance: What, Why, Who and How

Examples from New Mexico and Arkansas



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### DQC 10 State Actions to Ensure Effective Data Use



### State of the Nation: 10 Actions



Using Data To Improve Student Achievement

### State Progress: By Actions



Expand the ability of state data systems to link across P-20/Workforce pipeline



Action 3 Develop governance structures to guide data collection, sharing and use.



24 states are taking this Action



### **Intra-Agency Governance**

#### Type of Intra-Agency Governance Activities





### **Inter-Agency Governance**

# Type of Interagency Governance Structure P-20 council 14 Other body 15 0 4 8 12 16 20 24 28 32 36 40 44 48 52

Number of States



### FOR MORE INFORMATION

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### DATA GOVERNANCE AND P-20 SYSTEMS

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Presented March 3, 2010

to the

NCES Winter Forum and 23rd Annual Management Information Systems (MIS) Conference "DESERTech"

#### Quality Counts: 2007 Chance For Success



### New Mexico's Challenge

- How Can New Mexico Address The P-20 Educational Issues It Faces?
- One Important Key Is To Use Data Effectively To Inform Policy
- The Data Quality Campaign has defined 10 Actions to Ensure Data Use:
  - Link state K-12 data systems with early learning, post-secondary education, workforce, social services and other critical agencies.
  - Create stable, sustained support for robust state longitudinal data systems.
  - Develop governance structures to guide data collection, sharing and use.
  - Build state data repositories (warehouses) that integrate student, staff, financial and facility data.
  - Implement systems to provide all stakeholders with timely access to the information they need while protecting privacy.
  - Create progress reports with individual student data that provide information educators, parents and students can use to improve student performance.
  - Create reports that include longitudinal statistics on school systems and groups of students to guide school-, district- and state-level improvement efforts.
  - Develop a purposeful research agenda and collaborate with universities, researchers and intermediary groups to explore the data for useful information.
  - Implement policies and promote practices, including professional development and credentialing, to ensure educators know how to access, analyze and use data appropriately.
  - Promote strategies to raise awareness of available data and ensure that all key stakeholders, including state policymakers, know how to access, analyze and use the information.

### Thinking About Data And Policy



### The Notion Of Killer Questions

- The term "Killer Questions" was developed by several states working with The Wallace Foundation in an effort to improve the datainformed decision-making process of principals and other school leaders.
- Killer questions refer to the key policy and political questions that come up over and over when leaders look at data (e.g. student achievement, graduation, dropout, health and safety indicators, financial resources, workforce needs) and say, "How do I use these data to make a difference?"
- Answering killer questions requires judgment, the ability to deal with ambiguity and the authority to allocate time, people and money.
- Identifying and addressing the killer questions is important whether one is at the school house or the state house.
- The better one's data system, the more one is confronted with the killer questions.

### The Killer Questions: The Policy Perspective

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Here are some of the most common killer questions that policymakers ask when they look at education data for New Mexico:

- How do we help more young children get ready for school?
- How do we increase the level of student performance for all students and close the achievement gap among students?
- How do we ensure that students graduate from high school ready for college and the workforce?
- How do we ensure that more students enter college and successfully complete programs and degrees?
- How do we strengthen the relationship between education and the cultural wealth of New Mexico?
- How do we strengthen the relationship between education and the economy?
- What will these educational initiatives cost?
- How will we know if these efforts are successful?
- Where will the funding come from?
- Who has the power and influence to make change happen?

### Data Questions, Policy Questions and Political Questions

Data Questions	Policy Questions	Political Questions
Data for Advocacy and Accountability	Statute, Regulation, Standards, Framework and Budget	Diverse Perspectives, Power Groups, Practices and Behaviors
<ul> <li>What percentage of students score at proficient or above on achievement tests in math or reading?</li> <li>What are the achievement gaps among different groups of students?</li> <li>Which schools have failed to improve student achievement over time?</li> </ul>	Should certification requirements for teachers be strengthened? What kinds of instructional interventions should be implemented? How do we help schools that have consistently struggled to improve student achievement? How will these reforms be funded? Where will the money come from?	<ul> <li>Who has the influence to change how teachers are prepared?</li> <li>What groups control professional development?</li> <li>Who determines what instructional interventions are most effective?</li> <li>Who decides where the most effective teachers and principals are placed?</li> <li>Who evaluates teachers?</li> <li>Who can change how resources can be allocated?</li> </ul>

# Answering These Questions Requires Interagency Cooperation

- Initial work, 2005-2008 between the New Mexico Public Education and Higher Education Departments had both successes and challenges:
- - Ready for College
  - High school redesign
    - Implementation of dual credit
    - Redesign of high school exit exam
    - Restructuring of high school graduation requirements
- Challenges
  - Technical challenges
  - Legitimate fears on both sides

### New Mexico's Approach

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- 2008 Data Warehouse Bill HB 39 did not pass
- 2009 Data Warehouse Bill SB 581- did not pass
- 2009 Governor's Executive Order 2009-019 (June, 2009)
  - Created the New Mexico Data Warehouse Council
  - Seeks to address the 10 DQC Actions.
  - The Council, co-chaired by the Public and Higher Education Departments, is comprised of representatives from New Mexico's
    - Children, Youth and Families Department;
    - Workforce Solutions Department;
    - Economic Development Department;
    - Information Technology Department;
    - Human Services Department;
    - Health Department;
    - The Office of Education Accountability;
    - The Office of The Governor;
    - 3 Post-Secondary Institutions; and
    - 3 School District Superintendents.

### New Mexico's Approach

#### □ Executive Order, Continued.

- The Council created and signed an Inter-agency Memorandum of Understanding outlining data sharing protocols and the use of data across agencies for research purposes. (November, 2009)
- The Council, under the guidance of Public and Higher Education Departments developed and submitted a P-20 data systems grant under the State Longitudinal Data Systems grant program. (November, 2009)
- Allows New Mexico to meet the assurance of having a longitudinal P-20 data system outlined in the American Recovery and Reinvestment Act, and became part of New Mexico's application for Race to the Top (January, 2010).
- 2010 Data Warehouse Bill HB 70 did pass
  - Added additional higher education and public education representation
  - Added representation from Legislative agencies— the Legislative Education Study Committee and the Legislative Finance Committee.

#### Data Governance Structure

Achieving Collaborative Heights In Education Via e-Systems (NM-ACHIEVeS)



### NM-ACHEIVeS: New Mexico Achieving Collaborative

Heights in Education Via e-Systems

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NM-ACHIEVeS School District Systems University Systems Agency-Specific Reports Æ TE. PED Databases HED Databases Education Business Intelligence Unique ID Data Elemeni Unique ID Data Elements Servers NM-ACHIEVes Unique ID Deta Elements Unique ID Deta Elementa Policy Business Intelligence CYFD Databases DWS Databases T. THE. Multi-Agency Reports *C*oo DWS Systems CYFD Systems

### Next Steps

- □ If signed, HB 70 will become law in May of 2010.
- Funding through the State Longitudinal Data Systems grant and Race to the Top.
- The Data Warehouse Council will determine the initial reports produced by the P-20 data system.
- The Council will begin to implement training protocols for data use.

### Contact

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# Arkansas Department of Education: Data Governance



ARKANSAS DEPARTMENT OF EDUCATION

STATEWIDE INFORMATION SYSTEM (SIS) 2009/2010

T. Kenneth James, Commissioner

James Boardman, Assistant Commissioner Research & Technology

# Statewide Information System: Schema





# Statewide Information System: Cycle Requirements

CYCLE 2 SUBMISSION - October 15th

#### DATA TABLES AND DATA ELEMENTS

		SPECIAL		
		EDUCATION		
SAFETY DRILL	SCHOOL	EMPLOYEES	STUDENT	STUDENT ID
Fiscal Year	Fiscal Year	Fiscal Year	Fiscal Year	Fiscal Year
Cycle	Cycle	Cycle	Cycle	Cycle
LEA	LEA	LEA	LEA	LEA
Safety Code			SSN	SSN Student
	Sch Web Addr	Staff, SSN		
SafetyDate	Mail Address	TeacherID	Uniq Stu ID	Date
SafetyHour	Mail City	TitleCode	Fname	ChangeFromID
SafetyMin	Mail State	GradeLevels	Mname	ChangeToID
SafetyAMPM	Mail Zip	BldgCode	Lname	2
Safety Eva. Min	MailZip4	EmpFName	Race	
Safety Eva. Sec	Ship Address	EmpMName	Gender	
	Ship City	EmpLName	Birth Date	
	Ship State	Cert. StatusTeacher	Resident LEA	
	Ship Zip	Service Provider	Resident Code	
	Ship Zip4	ClassrmAide	Grade Level	
	Phone AC	Provider FTE	PreSchKinderg	
	Phone Prefix	PrimDisab	Entry Code	
	Phone Suffix	IntegratedClassrm	Entry Date	
	Phone Ext.	PerPeriodRange	SmartCoreWavier 8 1	
	Fax AC	TotHrs	Consolidated LEA	
	Fax Prefix	ECHConsulHrs	SchChoice (Gen)	
	Fax Suffix	ECHInstrucHrs	SchImpry In Dist	
	Fax Ext.	Folder WM Count	SchImpry Out Dist	
	NoCentlAccred	Folder WF Count	SchChoice 1st Time	
1	<b>D1 10 1 1</b>	T11 17 6	AL	



# Statewide Information System: Cycle Requirements

ID	Element	Name	Туре	Size	Values	Cycles
	Key					
ST0010	Fiscal Year – The fiscal year that this reporting represents:	fy	Num	2	Table	2,3,4,5,6,7
	For the 2009/2010 reporting year fy must be = 20	-				
ST0020	Cycle – The reporting cycle for which the data is submitted:	cycle	Num	2	Table	2,3,4,5,6,7
	2 = October 15 <sup>th</sup>	-				
	3 = November 15 <sup>th</sup>					
	4 = December 15 <sup>th</sup>					
	5 = February 15 <sup>th</sup>					
	6 = April 15 <sup>th</sup>					
	$7 = \text{June } 15^{\text{th}}$					
ST0030	LEA, School – Local Education Agency, the unique seven-digit	lea	Alpha	7	Appendix	2,3,4,5,6,7
	number assigned by the ADE to identify individual county, district,				C	
	schools, cooperatives, and vocational institutions. The first two digits					
	represent the county, the second two digits represent the district, and					
	the last three digits represent the individual school, for example:					
	6001002					
	60 = Pulaski County					
	01 = Little Rock School District					
	002 = Hall High School					
ST0040	SSN, Student – The nine-digit Social Security number of the student.	ssn	Alpha	9	#######################################	2,3,4,5,6,7
	If a student's SSN cannot be obtained, then the ADE assigned number				#	
	as required by Arkansas Code Ann. 6-18-208 should be used. This					
	number should remain the same throughout the student's school					
	career.					
	General					
ST0045	State Reporting ID – The unique 10-digit Triand identifier generated	uniq_stu_id	Alpha	10	#######################################	2,3,4,5,6,7
	for the student by the state.				##	
	Student Name					
ST0046	First Name – The student's first name.	fname	Alpha	20		2,3,4,5,6,7
ST0047	Middle Name – The student 's middle name.	mname	Alpha	15		2,3,4,5,6,7
ST0048	Last Name – The student's last name.	lname	Alpha	25		2,3,4,5,6,7
ST0050	Race – The general racial or ethnic heritage with which the	race_ethnic	Alpha	6	NNNNN	2,3,4,5,6,7
	individual most identifies from the following codes:	-	-			
	YNNNN = Hispanic					
	NYNNN = Native American/Alaskan Native					
1	NNYNNN = Asian					
	NNNYNN = Black					
	NNNNYN = Native Hawaiian/Pacific Islander					
	NNNNY = White					
	Y in more than one combination will be considered 2 or more races					



### **Uniform Course Codes**

Level 4 (ADE/DWE APPROVED)			
Number	HQT*	Course Title	Subject Areas
531020	Ŷ	ADE Approved Geometry	31 Geometry
531030	Y	IB Geometry	31 Geometry
531040	Y	Investigating Geometry	31 Geometry
531070	Y	Core-Plus (2)	31 Geometry
531080	Y	SIMMS (2)	31 Geometry
531090	Y	ARISE (2)	31 Geometry
531100	Y	First Part Geometry 1	31 Geometry
531200	Y	Second Part Geometry 1	31 Geometry
532010	Y	ADE Approved Algebra II Honors	32 Algebra II
532020	Y	ADE Approved Algebra II	32 Algebra II
532030	Y	IB Algebra II	32 Algebra II
532040	Y	Core-Plus (3)	32 Algebra II
532050	Y	SIMMS (3)	32 Algebra II
532060	Y	ARISE (3)	32 Algebra II
533010	Y	ADE Approved Pre Calculus including Trig Honors	33 Pre Calculus/Trig
533020	Y	ADE Approved Pre Calculus including Trig	33 Pre Calculus/Trig
533070	Y	Pacesetter Math Pre Calculus	33 Pre Calculus/Trig
533130	Y	Core-Plus (4)	33 Pre Calculus/Trig
533140	Y	SIMMS (4)	33 Pre Calculus/Trig
533150	Y	ARISE (4)	33 Pre Calculus/Trig
533160	Y	IB Pre Calculus/Trig	33 Pre Calculus/Trig
534020	Y	ADE Approved Calculus Honors	34 ADE Approved Calculus
534040	Y	AP Calculus AB	34 ADE Approved Calculus
534050	Y	AP Calculus BC	34 ADE Approved Calculus
534060	Y	IB Calculus	34 ADE Approved Calculus
539030	Y	AP Statistics	39 ADE Approved Mathematics
539040	Y	IB Trigonometry	39 ADE Approved Mathematics
539050	Y	ADE Approved Discrete Mathematics Honors	39 ADE Approved Mathematics
539060	Y	IB Mathematical Studies	39 ADE Approved Mathematics
539070	Y	IB Mathematics SL	39 ADE Approved Mathematics
539100	Y	ESL Mathematics	39 ADE Approved Mathematics
539700	Y	Ramp-Up to Algebra	39 ADE Approved Mathematics
539900		Other Concurrent Credit Mathematics	39 ADE Approved Mathematics



### The "Architecture"





# **A Fundamental Problem**







# **An Inconvenient Inconsistency**

# **The Need for Governance**

FLORENCE MATTISON ELEM. SCHOOL - Scale Scores and Student Growth 2009





# **The Need for Governance**



CONWAY SCHOOL DISTRICT ELLEN SMITH ELEMENTARY SCHOOL - Scale Scores and Student Growth 2009

